

CITY OF RYE

NOTICE

There will be a regular meeting of the City Council of the City of Rye on Wednesday, October 4, 2017, at 7:30 p.m. in Council Chambers at City Hall. *The Council will convene at 6:30 p.m. and it is expected they will adjourn into Executive Session at 6:31 p.m. to attorney/client matters.*

AGENDA

1. Pledge of Allegiance.
2. Roll Call.
3. Recognition of the Rye Little League Boys Baseball District 20 Championship Winners.
4. General Announcements.
5. Draft unapproved minutes of the regular meeting of the City Council held September 13, 2017.
6. Issues Update/Old Business.
7. Presentation by BFJ Planning on the City of Rye Comprehensive Master Plan.
8. Presentation of the 2018-2022 Capital Improvements Program.
9. Presentation on City Financials by Brendan K. Kennedy of the auditing firm of BST & Co, LLP.
10. Residents may be heard on matters for Council consideration that do not appear on the agenda.
11. Continuation of the Public Hearing to amend the Rye City Code: (a) local law Chapter 133, "Noise", by amending Section §133-4, "Points and method for measuring intensity of sound" to regulate placement and noise of telecommunication devices; (b) local law Chapter 167, "Streets and Sidewalks", to add a new 196, "Wireless Telecommunications Facilities", by amending Sections §196-3 through §196-8, §196-14, §196-17, §196-18, and §196-22 to regulate wireless facilities and structures regarding size, visual impact and permit process.
12. Continuation of the Public Scoping Meeting on the Crown Castle application pursuant to the New York State Environmental Quality Review Act (SEQRA).
13. Continuation of the Public Hearing to amend local law Chapter 161, "Sewers", of the Rye City Code by amending Article II, "Sewer Rents", to order costs for infrastructure maintenance and access to be charged to all customer classes located in such municipality.

14. Authorization for the City Manager to enter into an agreement with Brown & Weinraub, PLLC to represent the City before the New York Public Service Commission to allow municipalities to receive water usage data.
15. Consideration of a Resolution to petition the Public Service Commission (PSC) to order hydrant costs for infrastructure maintenance and access to be charged to all customer classes located in such municipality.
16. Consideration of a Resolution to petition the Westchester Joint Water Works (WJWW) Transportation Corporation Board to order hydrant costs for infrastructure maintenance and access to be charged to all customer classes located in such municipality.
17. Consideration of a request from the Rye Golf Club Commission to commence an Article 7 tax certiorari proceeding against the Board of Assessment Review.
Roll Call.
18. Resolution to transfer \$80,000 from the Contingency account to the Assessor Appraiser and Legal Services accounts to fund small claims assessment review (SCAR) proceedings.
Roll Call.
19. Resolution to transfer \$150,000 from the Contingency account to the Legal Department to fund legal services.
Roll Call.
20. Consideration of the proposed changes and additions to the Rules and Regulations of the City of Rye Police Department:
 - General Order #102.2 regarding the rank structure of the City of Rye Police Department
 - General Order #102.3 regarding the authority and command of police officers on duty
 - General Order #102.4 regarding job classifications of the City of Rye Police Department
 - General Order #113.4 regarding policies and procedures for the identification, collection, and preservation of physical evidence
 - General Order #116.3 regarding the performance evaluation of City of Rye Police Personnel
 - General Order #117.7 regarding the use of safety belts/restraining devices in City of Rye Police Department vehicles
21. Four appointments to the Rye Golf Club Commission for a three-year term expiring January 1, 2021.
22. One appointment to the Board of Architectural Review for a three-year term expiring January 1, 2020.
23. Consideration of a request by the Rye Little League to approve a parade to kickoff Opening Day of the 61st Little League Season on Saturday, April 14, 2018 beginning at 12:00 p.m.
24. Resolution to declare certain City of Rye equipment as surplus.
Roll Call.
25. Miscellaneous communications and reports.
26. New Business.

27. Adjournment.

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The next regular meeting of the City Council will be held on Wednesday, October 18, 2017 at 7:30 p.m.

** City Council meetings are available live on Cablevision Channel 75, Verizon Channel 39, and on the City Website, indexed by Agenda item, at www.ryeny.gov under “RyeTV Live”.

* Office Hours of the Mayor by appointment by emailing jsack@ryeny.gov or contacting the City Manager’s Office at (914) 967-7404.



CITY COUNCIL AGENDA

NO. 3

DEPT.: City Manager

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Recognition of the Rye Little League Boys Baseball 12 and Under (12U) District 20 Championship Winners.

FOR THE MEETING OF:

October 4, 2017

**RYE CITY CODE,
CHAPTER
SECTION**

RECOMMENDATION: That the Council recognize the achievements of the Rye Little League Boys Baseball 12U District 20 Championship Winners.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND: The Rye Little League Boys Baseball 12U team advanced undefeated to the District Championship game held on July 11, 2017. The team played a doubleheader against Port Chester at the Ardsley Little League field for the District 20 Championship title. Rye lost the first game 2-1 then hit their stride in game two defeating Port Chester with a final score of 2-1. This 2017 team joins a long list of accomplished Rye Little League Teams who won a District Championship: 12U won in 1981 and 2008, 11U won in 2014 and 10U won in 1999. The Rye City Council commends and congratulates the players, Manager, and Coaches on this outstanding achievement.

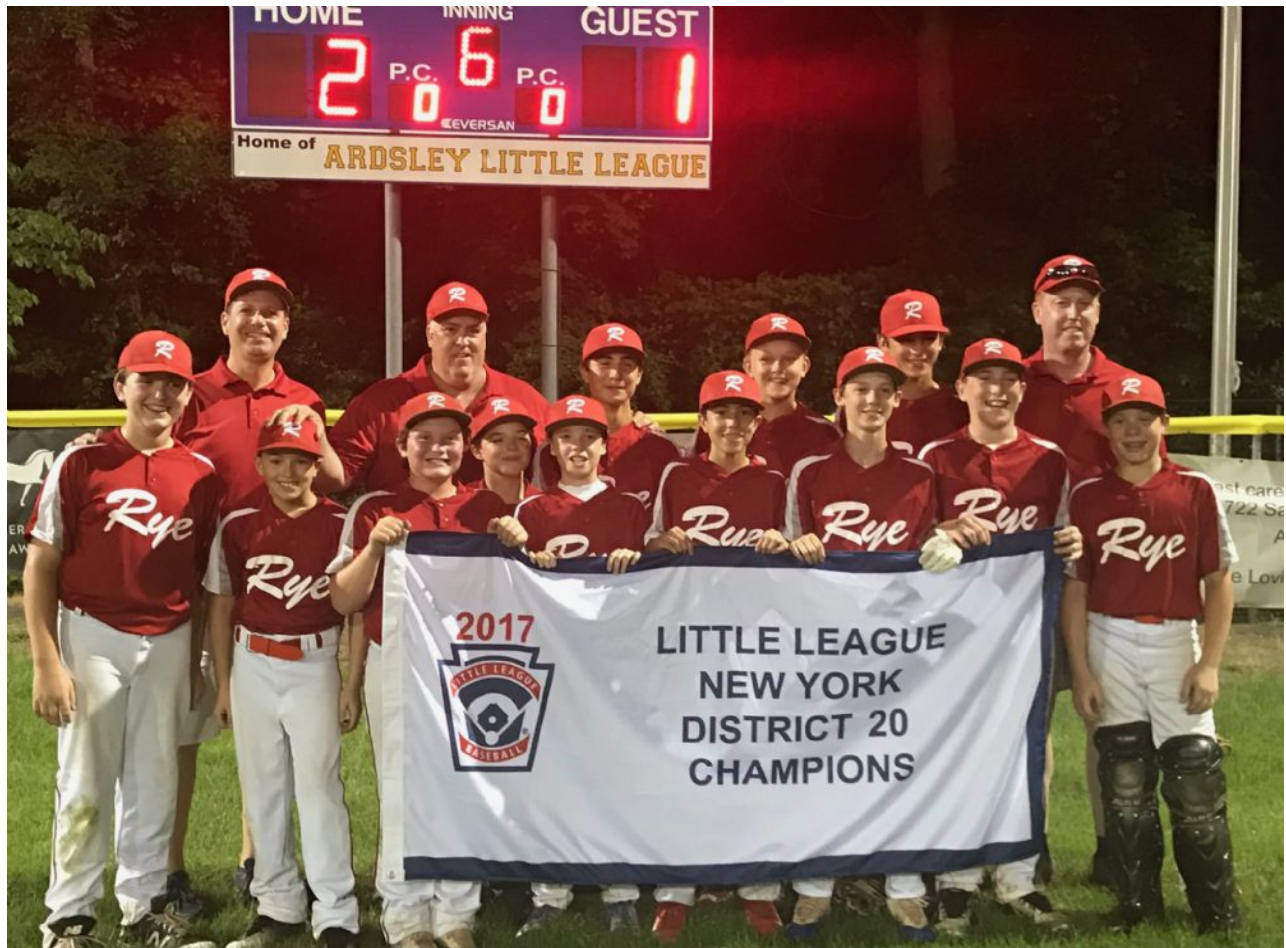
Rye Little League Boys Baseball 12 and Under District 20 Championship Roster:

Tillman Ball, Luke Beyer, Evan Caffrey, Charlie Howard, Jack Margiloff, Julian Pasquale, Michael Siano, Jack Sullivan, Ryan Surhoff, Matt Ulicny, JT Wolf and Derek Woods

Manager: Mark Surhoff

Coaches: Mark Sullivan and John Ulicny

**Rye Little League Boys Baseball
12 and Under
District 20 Championship Winners**



Front row left to right: Jack Sullivan, Evan Caffrey, Julian Pasquale, Michael Siano,
Luke Beyer, Matt Ulicny, Jack Margiloff, Ryan Surhoff, Tillman Ball

Back row left to right: Coach John Ulicny, Manager Mark Surhoff, JT Wolf, Charlie Howard,
Derek Woods, Coach Mark Sullivan



CITY COUNCIL AGENDA

NO. 5

DEPT.: City Clerk

DATE: October 4, 2017

CONTACT: Carolyn D'Andrea, City Clerk

AGENDA ITEM: Draft unapproved minutes of the regular meeting of the City Council held September 13, 2017.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the Council approve the draft minutes.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND: Approve the minutes of regular meeting of the City Council held September 13, 2017, as attached.

DRAFT UNAPPROVED MINUTES of the
Regular Meeting of the City Council of the City of
Rye held in City Hall on September 13, 2017, at 8:00
P.M.

PRESENT:

JOSEPH A. SACK Mayor
KIRSTIN BUCCI
EMILY HURD
JULIE KILLIAN
RICHARD MECCA
DANIELLE TAGGER-EPSTEIN
Councilmembers

ABSENT:

TERRENCE McCARTNEY

The regular meeting of the City Council convened at 8:00 P.M.

1. Pledge of Allegiance.

Mayor Sack called the meeting to order and invited the Council to join in the Pledge of Allegiance.

2. Roll Call.

Mayor Sack asked the Corporation Counsel to call the roll; a quorum was present to conduct official City business.

3. Recognition of the Rye Golf Club Swim Coach Cathleen Ferguson.

Mayor Sack recognized Rye Golf Club Swim Coach Cathleen Ferguson, who is stepping down after 23 years of service. He thanked Ms. Ferguson and her family, who were present at the meeting. He presented Ms. Ferguson with a plaque memorializing her service for her many years as the Rye Golf Club Swim Coach.

Ms. Ferguson addressed the Council and the community and thanked everyone for having her for twenty-three years.

14A. Resolution to accept dedication of a new sanitary sewer system intended to serve the properties along Club Road and the beginning of Sunset Lane.

This item was taken out of order. Jonathan Kraut, Esq., addressed the Council. He explained that the sewer main had been installed by private homeowners, which provides for access for homes that are currently on septic. This will be accomplished by the connections to the sewer system connections and the City's acceptance of the dedication.

Linda Whitehead, Esq. also addressed the Council. She thanked the Council for taking this item early on the agenda. She stated that this will be installed at no cost to the City, but does provide a significant environmental benefit to the City. It has been reviewed by the City Engineer and the County Department of Health.

City Manager Serrano stated that everything that has been stated was correct, and that the City Engineer also agreed that moving forward would be an appropriate recommendation in this case.

Councilman Mecca made a motion, seconded by Councilwoman Killian, to adopt the following resolution:

WHEREAS, the City Council has been requested to accept an offer of dedication of a new sanitary sewer system intended to serve the properties along Club Road and the beginning of Sunset Lane, and;

WHEREAS, the Corporation Council and City Engineer have reviewed the request of dedication, and;

WHEREAS the Corporation Council and City Engineer believe that the new sanitary sewer system is in the best interest of the City, now therefore be it,

RESOLVED, that the City Council accept dedication of the new sanitary sewer system as presented.

ROLL CALL

AYES: Mayor Sack, Councilmembers Bucci, Hurd, Killian, Mecca and Tagger-Epstein
NAYS: None
ABSENT: Councilman McCartney

10. **Presentation and request by the Landmarks Committee to landmark the City owned properties of the Purdy Family Burial Ground and Baird Square.**

This item was taken out of order. Jack Zahringer, Chair of the Landmarks Advisory Committee, addressed the Council. He stated that the Landmarks Advisory Committee was requesting that the City Council designate two City-owned properties as landmarks: the Purdy Family Burial Ground and Baird Square.

Margot Clark-Junkins, Landmarks Advisory Committee, made a presentation to the Council. She first described the Purdy Family Burial Ground, a property used as a burial ground for the Purdy Family. She explained that the Purdy Family was very influential to Rye's history. She stated the burial dates were between the years 1720 and 1825. She then discussed Baird

Square, located near Citibank. This is open space that is used by the City for community purposes. Mr. Baird was one of the most respected ministers of Rye Presbyterian Church.

Mayor Sack stated that the City staff should have an opportunity to research the properties and the recommendation. Once that occurs, if the proposal moves forward, a local law would be drafted for Council approval.

7. Presentation on the Rye Fire Department Safety and Staffing Practices.

This item was taken out of order. Commissioner Corcoran addressed the City Council. He stated he was proud of his role as Commissioner of Public Safety. He acknowledged Chief Ballantoni, member of the Rye Fire Department for 50 years. He felt strongly about the teamwork that the Rye Fire Department puts forth, both with volunteer and career members. He recounted a recent emergency fire, in which both volunteer and career firefighters worked alongside one another successfully. Mayor Sack thanked the Commissioner for his hard work creating a team environment.

Commissioner Corcoran stated that when he began as Commissioner, he was tasked with doing a top-to-bottom analysis of the Fire Department. His two main priorities were safety and supervision. He announced that the City had been issued PESH violations for safety and supervision procedures just prior to him being tasked with the position of Public Safety Commissioner. He said the department dealt with the violations swiftly by working together with PESH. He stated a plan to build volunteerism within Rye Fire Department. With respect to the career firefighters, there are currently 18 members consisting of one career lieutenant, and 17 members for him to supervise. He explained that this ratio is not ideal, leaving the department with a lack of supervision, and therefore, lack of safety. He recommended that four career lieutenants be added for supervision, inspection, and prevention of fires. From a risk management perspective, this is vital. He discussed a Deputy Chief position pursuant to the City Charter. He also recommended hiring three career firefighters, which would ensure that there are 4 firefighters on every shift, complying with OSHA requirements. This would also be important to ensuring that Rye is nationally accredited. He stated he was sympathetic to cost factors, but this has been discussed for close to twenty years.

17. Resolution to transfer \$88,000 from the Contingency account to the Fire Salaries Expense account to fund the hiring of new firefighters.
Roll Call.

This item was taken out of order. Mayor Sack thanked the Commissioner for his presentation and recalled that prior City Manager Culross also recommended this change. City Manager Serrano stated that if this gets approved, City Comptroller Fazzino would do an analysis of the cost for years to come. The City is also currently in contract negotiations with the Fire Department. He said that if the recommendation was approved, there would be an added \$419,518 in next year's budget, which equates to a 1.8% tax increase.

Councilwoman Hurd thanked the Rye Firefighters for keeping the community safe. She expressed concerns over the budget increase. She mentioned shared services as a future option.

Councilwoman Killian asked whether Commissioner Corcoran thought shared services would eliminate the need to add positions; he responded that at this point, since a shared services agreement was not in place, he needed to make his recommendation based on current conditions.

Councilwoman Tagger-Epstein felt strongly that Commissioner Corcoran's recommendation was responsible, as a fully-staffed department benefits residents, as safety should be a primary focus.

Councilman Mecca asked about the urgency of this recommendation. Commissioner Corcoran responded that the PESH violations and OSHA rules pointed out some glaring deficiencies in the staffing levels and safety. There is a sense of urgency also with the Firefighting Academy, which only holds classes twice a year. Councilman Mecca asked if candidates were already in place contingent on Council vote. Commissioner Corcoran responded that there were candidates, all three which happened to be current volunteers. Commissioner Corcoran stated that this was important because it meant hiring from within.

Mayor Sack thanked Commissioner Corcoran for his hard work and due diligence.

Ken Mezcat, volunteer Firefighter, stated that he was against the recommendation and felt that it was bad for the volunteerism.

Councilman Mecca stated that the difference between the volunteers and the career staff was that the volunteers can go to sleep at night, while the career staff must take on the responsibility all hours of the day and night. He said that this has been an issue for years, as it is very difficult to get volunteers to take on the hundreds hours of training required.

Councilwoman Hurd asked Mr. Mezcat to be a volunteer recruiter for the community.

Mayor Sack stated that this had been an issue in the making for many years, and the demographics have changed overtime.

Councilwoman Bucci made a motion, seconded by Councilman Mecca, to adopt the following resolution:

WHEREAS, City staff has determined that the amounts required for the hiring of three additional firefighters was not anticipated and were not provided for in the adopted 2017 budget, and;

WHEREAS, the General Fund Contingent Account has a balance of \$233,559, now therefore be it;

RESOLVED, that the City Comptroller is authorized to transfer \$93,100 from the General Fund Contingent Account to the Fire Salaries Expense Account.

ROLL CALL

AYES: Mayor Sack, Councilmembers Bucci, Hurd, Killian, Mecca and Tagger-Epstein
NAYS: None
ABSENT: Councilman McCartney

23. Consideration of a request by Rye High School student Jade Iadarola for use of city streets on Saturday, October 21, 2017 from 10:15 a.m. to 12:00 p.m. to hold a *Walk for Cancer*.

Jane Iadarola, Rye High School student, addressed the Council to request the use of city streets on October 21, 2017 for a Walk for Cancer. She was excited to bring the community together for an important event.

The Council voted unanimously to approve the request of the use of city streets on Saturday, October 21, 2017 from 10:15 a.m. to 12:00 p.m. to hold a *Walk for Cancer*.

8. Presentation on the New York Rising Reconstruction Program projects.

Brian Platt, O'Brien and Gere Engineers, addressed the Council. He introduced Brad Cantor, Governor's Office Storm Recovery unit; and two members of the Dormitory Authority of the State of New York (DASNY). Mr. Platt thanked the Council for hearing the status of the New York Rising project. He stated he was there to present the status of two projects, Blind Brook/ Bowman Avenue Dam, and the Milton Road drainage improvements.

He spoke about the Blind Brook/ Bowman Avenue Dam, and the study performed on expanding the pond, which would result in six inches to one foot reduction in water surface elevations. The gate and the dam as it exists today is in the closed position. Mr. Platt discussed a cost/ benefit analysis, developed by FEMA, with the possible construction of possible downstream gauges. He demonstrated photos of the location of the possible gauges. He said that the result of the analysis was that this project would be cost-effective.

Councilwoman Hurd thanked the team for their analysis. She asked about the timeline for the project, as there is a deadline on the New York Rising grant. Mr. Platt responded that the grant deadline had been pushed back and that timing would not be an issue.

There was some discussion among the resident audience members on the dangers of flooding and possible suggestions.

Mr. Platt then discussed the Milton Road drainage improvement analysis. He first highlighted the issues near the Milton Harbor House and the storm sewer. He said he constructed a hydraulic model of the tide elevation, runoff, and the sewer pipe capacity. He said that the pipes do not have the capacity during a storm to handle the water, which causes flooding. Mr. Platt demonstrated an aerial view map of the Milton Harbor House and the surrounding areas. He discussed the analysis of a 25 or 50 year storm, and recommended a possible addition

to the storm sewer system to avoid ponded water. Specifically he replacing three existing pipes on the Milton Harbor House property (to mitigate 5 inches of rain or current high tide), and connecting an additional pipe. He then discussed the area of Hewlett Avenue/ Milton Road, which experiences periodic flooding. There is a pipe currently there that discharges to the harbor, and recommends that a check valve to be added to the pipe.

Mayor Sack asked about next steps for each project. There was discussion about moving forward and the cost of the projects. There was confirmation that as of the date of the meeting, the cost of the project in its current form would not exceed \$3 million. There was then discussion about deadlines for the project.

Mack Cunningham, Rye resident, made a statement. He recounted the process of the Flood Committee with prioritizing the projects. He said that the sluice gate was the initial priority of the committee, with the Milton Harbor House issue not being an initial, City-wide priority. He showed a photo that he took of the Milton Harbor flood system. He asked that the engineers consider the option of introducing a bigger capacity pipe, which could potentially help the Boat Basin, which is a community asset. He said dredging is an issue for the harbor.

Councilman Mecca discussed the future maintenance of the proposed infrastructure and asked that engineers consider the issue of flooding, sediment, and maintenance. There was discussion about next steps to move forward.

9. Presentation on the Westchester Power Community Choice Aggregation Program.

Chris Burdick, Chairman of Sustainable Westchester and Town Supervisor of Bedford, addressed the Council. He introduced his team and stated that for the Con Edison service area, there is less than one year remaining in the two year contract for Sustainable Westchester. Rye is one of the 20 members of Sustainable Westchester. He said that Bedford utilized the Community Choice Aggregation program to help its town. The program gives purchasing power for residential properties and small business to create a highly competitive rate, in a way to promote renewable energy. Both the green option and the regular option both have been below the Con Edison pricing. This also provides a reduction in greenhouse emissions by utilizing renewable energy.

The team distributed an information packet to the Council. Dan Welsh, Sustainable Westchester and Westchester Power, explained the process details to the Council. He said that Rye would need to enact a local enabling law to move forward with the Westchester Power Community Choice Aggregation Program. He also discussed outreach within the community. There was discussion about rate and energy specifics, decision-making on energy providers, and other communities.

There was discussion about moving forward with community outreach and events for Rye residents.

There was a five minute recess.

13. Residents may be heard on matters for Council consideration that do not appear on the agenda.

Bob Zahm, 7 Ridgewood Drive, expressed concern over the pile of belgian blocks that has been sitting near Locust Avenue. City Manager Serrano stated he would look into the issue.

Mack Cunningham, Rye resident, commented on the cost of joining the pool at the Rye Golf Club. He said that the Golf Club runs as an enterprise fund, and thus, works differently than an operational fund. He commented that there had been a recent letter in the Rye Record on the cost of the pool membership, and while the Golf Club Commission wishes to lower the cost, the enterprise fund model may not allow for lowering that cost. He said that the Commission would continue to look at options to keep costs low for those looking to join the pool or other aspects at the Golf Club. There will be a future Golf Commission meeting, where all are welcome to approach the Commission about rates, etc.

Jerry Seitz, Rye resident, stated that the community was very fortunate to have amenities such as the Golf Club, Rye Town Park, and other items. Mr. Seitz then made a statement about the budget process and felt that last year's tax increase was high. He asked about possible revenue increases. Mayor Sack responded that staff and Council are always looking to raise revenues. Mr. Seitz discussed raising sales tax and imposing a City real estate transfer tax. He then made a statement against the recent Police Department policy addressing immigration status.

4. General Announcements.

Mayor Sack announced that a public workshop was scheduled for September 26, 2017 from 7:00 to 9:00 P.M. for the Master Plan in Council Chambers. He invited all to participate.

Councilwoman Tagger-Epstein announced that the Rotary Club would be sponsoring its second golf outing this year at the Rye Golf Club in support of the K-12 program on October 12, 2017 honoring Terry McCartney. She also encouraged everyone to attend "A Taste of Rye," which will be held on September 16, 2017 to benefit My Sister's Place, a nonprofit helping domestic violence survivors. She also announced that the Tree Fund for the Sustainability Committee continues to grow, with a current balance of \$5,000. Jay Day is September 24, 2017 at 11:00 A.M. to 3:00 P.M. The Jay Heritage Center will celebrate 25 years on October 21, 2017.

Councilman Mecca added that the Fire Department has done an excellent job lately with training of both career and volunteer members.

5. Draft unapproved minutes of the regular meeting of the City Council held August 22, 2017.

Councilwoman Hurd clarified that the dredging would be in winter of 2019, not 2018. Mayor Sack made a motion, seconded by Councilwoman Bucci, to adopt the minutes as amended of the City Council held August 22, 2017.

6. Issues Update/Old Business.

Councilwoman Tagger-Epstein asked for clarification on the City's intent for shared services with the Village of Port Chester. There was general discussion over shared services, the Thruway property, and that Port Chester approached the City for a possibility of working together for shared DPW services.

Vernon Rios, Grace Church Street, made a statement about the Thruway property. He thought it would be important to identify possible uses for the property, such as creating a parking lot.

Councilwoman Hurd asked about the state of the Starwood/ United Hospital development project. City Manager Serrano said he would look into it. Councilwoman Hurd asked if by approving the firefighters, the Council had superseded the tax cap. Mayor Sack responded that the Council did not need to approve the budget, which was a different vote.

**** Please note that the Public Hearing to amend the Rye City Code for telecommunications devices and the Public Scoping Meeting on the Crown Castle application will be adjourned until the October 4, 2017 City Council Meeting (Agenda Items #11 & #12).**

~~11. Continuation of the Public Hearing to amend the Rye City Code: (a) local law Chapter 133, "Noise", by amending Section §133-4, "Points and method for measuring intensity of sound" to regulate placement and noise of telecommunication devices; (b) local law Chapter 167, "Streets and Sidewalks", to add a new 196, "Wireless Telecommunications Facilities", by amending Sections §196-3 through §196-8, §196-14, §196-17, §196-18, and §196-22 to regulate wireless facilities and structures regarding size, visual impact and permit process.~~

~~12. Continuation of the Public Scoping Meeting on the Crown Castle application pursuant to the New York State Environmental Quality Review Act (SEQRA).~~

14. Consideration of the City Council's Intent to be Lead Agency under SEQRA and referral to the Planning Commission for their advisory review and comment in connection with a proposed Zoning Code Text Amendment to change a RA-2 lot to a B-1 designation for the property located at 280 Purchase Street.

Corporation Counsel Wilson said that the proposal before the Council was a consideration for the City of Rye to declare itself lead agency regarding a SEQRA determination for zoning changes regarding the property at 280 Purchase Street.

Mayor Sack made a motion, seconded by Councilwoman Bucci, to declare the City Council as lead agency under SEQRA and referral to the Planning Commission for their advisory review and comment in connection with a proposed Zoning Code Text Amendment to change a RA-2 lot to a B-1 designation for the property located at 280 Purchase Street.

ROLL CALL

AYES: Mayor Sack, Councilmembers Bucci, Hurd, Killian, Mecca and Tagger-Epstein
NAYS: None
ABSENT: Councilman McCartney

15. Authorization for the City Manager to enter into an agreement with Jackson Lewis to represent the City before the New York Public Service Commission to allow municipalities to receive water usage data.

Corporation Counsel Wilson explained that this item would allow the City to enter into a consolidated agreement with other communities and Jackson Lewis, to petition the Public Service Commission to provide water usage data.

Councilwoman Bucci felt that it might not be prudent for the Council to vote in favor of this, as it would not be a net benefit for the tax payer. Taking the sewer costs out of the tax base would minimize the benefit of a tax deduction on that cost. Councilwoman Killian agreed with Councilwoman Bucci. Councilwoman Bucci reviewed the mathematical figures.

The item was tabled.

- 15A. Appointments to the Commission of Human Rights for a three-year term, and the Rye Playland Advisory Committee for a three-year term by the Mayor with Council approval.

Mayor Sack appointed Ruth Merkatz, unanimously carried by the Council, to the Commission of Human Rights for a three-year term expiring January 1, 2020.

Mayor Sack appointed John Fray, unanimously carried by the Council, to the Rye Playland Advisory Committee for a three-year term expiring January 1, 2020.

16. Authorization for the City Manager to enter into an agreement with Best Best & Krieger LLP to represent the City in cable franchise agreement negotiations.

Roll Call.

Councilwoman Hurd stated that she and the Cable Committee had a conference call with Joseph Van Eaton, Esq. of Best Best & Krieger to discuss preliminary details with regard to representation of the City in cable franchise agreement negotiations.

Councilwoman Hurd stated that it total, the entire project should not exceed \$60,000. Councilwoman Bucci added that the negotiations would like be split into several phases.

Councilwoman Bucci made a motion to authorize the City Manager to enter into an agreement with Best Best & Krieger LLP to represent the City in cable franchise agreement negotiations, with a fee not to exceed \$20,000.

ROLL CALL

AYES: Mayor Sack, Councilmembers Bucci, Killian, and Mecca
NAYS: Councilwomen Hurd and Tagger-Epstein
ABSENT: Councilman McCartney

18. Resolution to transfer \$1,300 from the Cable TV Unassigned Fund balance to the Cable TV Operating Budget for the relocation of the RyeTV control room at the Rye High School.
Roll Call.

Mayor Sack made motion, seconded by Councilman Mecca, to adopt the following resolution:

WHEREAS, City staff has determined that the amounts required for the relocation of the RyeTV control room at the Rye High School were not anticipated and were not provided for in the adopted 2017 budget by \$1,300, and;

WHEREAS, the Cable TV Unassigned Fund balance has enough funds to be appropriated for the relocation of the RyeTV control room at the Rye High School, now, therefore be it;

RESOLVED, that the City Comptroller is authorized to transfer \$1,300 from the Cable TV Unassigned Fund balance to the Cable TV Operating Budget for the relocation of the RyeTV control room at the Rye High School.

ROLL CALL

AYES: Mayor Sack, Councilmembers Bucci, Hurd, Killian, Mecca and Tagger-Epstein
NAYS: None
ABSENT: Councilman McCartney

19. Consideration of the proposed changes and additions to the Rules and Regulations of the City of Rye Police Department:
- General Order #102.2 regarding the rank structure of the City of Rye Police Department

- General Order #102.3 regarding the authority and command of police officers on duty
- General Order #102.4 regarding job classifications of the City of Rye Police Department
- General Order #113.4 regarding policies and procedures for the identification, collection, and preservation of physical evidence
- General Order #116.3 regarding the performance evaluation of City of Rye Police Personnel
- General Order #117.7 regarding the use of safety belts/restraining devices in City of Rye Police Department vehicles

This item was tabled for a future meeting.

20. Resolution to declare certain City of Rye vehicles and equipment as surplus.
Roll Call.

City Manager Serrano stated that the following items were recommended to be declared as surplus, and would be auctioned off if approved:

- 2001 Chevy Pickup 1500, vin # IGCEK14VXIZS88814 mileage 35,909 (marina)
- Toro 345, 30789-24000 (marina)
- 1979 Ford tractor, Vin # 613851 (marina)

Mayor Sack made a motion, seconded by Councilman Mecca, to adopt the following resolution:

WHEREAS, the City has been provided with a list of City equipment identified as being obsolete or will become obsolete during 2017, and,

WHEREAS, the City Engineer has recommended that said equipment from the departments of the Boat Basin and Recreation be declared surplus, now, therefore, be it

RESOLVED, that said equipment are declared surplus, and, be it further

RESOLVED, that authorization is given to the City Comptroller to sell or dispose of said equipment in a manner that will serve in the best interests of the City.

ROLL CALL

AYES: Mayor Sack, Councilmembers Bucci, Hurd, Killian, Mecca and Tagger-Epstein
NAYS: None
ABSENT: Councilman McCartney

21. Consideration of a request by the Jarden Corporation for use of city streets on Sunday, September 24, 2017 from 7:00 a.m. to 6:00 p.m. for their annual Westchester Triathlon.

All were in favor of this proposal.

22. Consideration of a request by the Sole Ryeders & Friends and the Rye High School Breast Cancer Awareness Club to have a TieTheTownPink breast cancer awareness campaign in the City of Rye during the month of October, 2017.

All were in favor of this proposal.

24. Consideration of a request by Christ's Church Nursery School for use of city streets on Saturday, October 21, 2017 from 9:30 a.m. to 3:30 p.m. for their *Touch a Truck/Vehicle Fair* event.

All were in favor of this proposal.

25. Consideration of a request by the Recreation Department to hold their 41st annual *Turkey Run* on Saturday, November 25, 2017 during Thanksgiving Weekend.

All were in favor of this proposal.

26. Consideration of a request by the Rye Merchants Association to close a portion of Purchase Street on Sunday, November 26, 2017 from 10:00 a.m. to 3:00 p.m. for the *Mistletoe Magic* event.

All were in favor of this proposal.

27. Miscellaneous communications and reports.

There was nothing discussed under this agenda item.

28. New Business.

There was nothing discussed under this agenda item.

29. Adjournment.

There being no further business to discuss, Councilman Mecca made a motion, seconded by Councilwoman Bucci, to adjourn the meeting into executive session to discuss attorney-client privileged information and litigation matters at 12:15 A.M.

Respectfully submitted,

Carolyn E. D'Andrea
City Clerk



CITY COUNCIL AGENDA

NO. 6

DEPT.: City Council

DATE: October 4, 2017

CONTACT: Mayor Joseph A. Sack

AGENDA ITEM: Issues Update/Old Business

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That an update be provided on outstanding issues or Old Business.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND:



CITY COUNCIL AGENDA

NO. 7

DEPT.: City Manager

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Presentation by BFJ Planning on the City of Rye Comprehensive Master Plan.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION:

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND: The City Council approved BFJ Planning at the April 19, 2017 meeting for the City of Rye Comprehensive Master Plan process. BFJ Planning will present on the process to date and the upcoming schedule for the project.

Summer 2017

- Pop-up at the Sidewalk Sale - July 27-29, 2017
- Stakeholder Focus Groups - Date TBD

Fall 2017

- Public Workshop #1 - September 26, 2017

Winter 2017-2018

- Public Workshop #2 - December 2017
- Public Workshop #3 - January/February 2018

Information on the Master Plan process can be found online at www.ryeplan.com.



CITY COUNCIL AGENDA

NO. 8

DEPT.: City Manager's Office

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Presentation of the 2018-2022 Capital Improvements Program.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION:

IMPACT: Environmental Fiscal Neighborhood Other:

The Capital improvements Plan recommends a series of building and infrastructure improvement projects. Each project has a variety of impacts that are discussed more fully on the individual project sheets contained in the CIP document.

BACKGROUND:

The 2018-2022 Capital Improvements Plan, focusing on 2018 projects, will be made by the City Planner. Following the presentation the Council will have an opportunity to examine projects, ask questions and express any opinions and concerns about individual projects identified in the plan.

2018-2022 Capital Improvement Plan

City of Rye, New York



*Planning and Funding For City Projects
For Fiscal Years Ending December 31,
2018 through 2022*

September 2017

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SECTION I – OVERVIEW

Introduction

The City's five-year Capital Improvement Plan (CIP) identifies projects and acquisitions of infrastructure, buildings, land, facilities, vehicles and equipment for the years ending December 31, 2018 through 2022.

The CIP is organized into three sections. Section I includes an overview of the CIP. Section II includes tables that identify each project, its funding requirement for each year, and the source of funds. Section III includes worksheets that provide detailed information on each project, including a project description, estimated costs, priority considerations, sources of funding by year, need and potential issues, and operating cost considerations.

Purpose of the Capital Improvements Plan

The CIP is a multi-year *plan*, not a multi-year *budget*. It is not a commitment to fund requested projects, but rather a schedule of necessary and/or desired public physical improvements and possible funding sources. Reading this CIP, it is important to focus on the funding, priority, importance, and the impact of undertaking or not undertaking the projects included in this report. The existence and condition of infrastructure and major capital assets has a direct bearing on the City's ability to provide services and facilities needed or desired by the community, and the perception of the community on its quality of life. These capital assets have an impact on property values and the community's ability to attract and retain residents and businesses.

The CIP is the best available tool for advising the City Council, other agencies, and the public of the City's capital and infrastructure needs. The CIP comprehensively identifies projects so that they can be properly coordinated and staffed and future funding needs can be anticipated.

Project Selection

The CIP is the culmination of an annual process that seeks the input of City departments to identify what projects are needed to maintain a level of service expected by the community. This process includes establishing priorities, developing estimates, and determining possible funding sources. As with any plan, especially one covering a multi-year period, the projects, their requirements and resources, and even the need for the projects may change substantially over time. These changes are the impetus to update and redevelop the CIP on an annual basis.

Projects included in the CIP typically have a value exceeding \$15,000-\$20,000. Projects that are considered a reoccurring operating expense are generally not included in the CIP. Projects must also be reasonably anticipated to be needed or occur within the five-year planning period; however, in some cases, an identified project may occur beyond that timeframe. This CIP includes projects for the City Boat Basin and Rye Golf Club. These operations are enterprise

funds that pay for their operating expenses from user fee revenues. Generally, enterprise funds pay for their capital needs; however, larger projects may exceed their available revenue and reserves. In those cases there may be requests to use the City's general fund or the City's bonding authority to fund capital projects.

Project Priorities

Each project in the CIP was assigned one of four priority classifications. Table 1 identifies each priority classification and its description.

**TABLE 1:
CIP Project Priority Classifications and Description**

Classification	Description
<i>Urgent</i>	High-priority projects that should be done if at all possible; a special effort should be made to find sufficient funding for all of the projects in this group.
<i>High</i>	High-priority projects that should be done as funding becomes available.
<i>Moderate</i>	Worthwhile projects to be considered if funding is available; may be deferred to a subsequent year.
<i>Low</i>	Low-priority projects; desirable but not essential.

Source: APA PAS Report Number 442, *Capital Improvement Programs: Linking Budgeting and Planning*, Robert A. Browyer, AICP, January 1993.

A number of criteria are considered in assigning a priority classification to a project. The extent to which a project met or exceeded these criteria contributed to its priority classification. Each project worksheet located in Section III of the CIP identifies whether the project:

- Is required to replace or repair a *deteriorated facility*;
- Is required to address a *public safety* need or *legal mandate*, such as a Federal or State law or legal liability to the City;
- Is required as part of a *systematic replacement* or would result in an *operational efficiency* or cost savings to the City;
- Would result in *resource conservation* or provide an *environmental quality* benefit;
- Is required to meet a *new or expanded facility or program need*;
- Is *consistent with formal plans or identified policies* of the City; and
- Has an identified and *available funding source*.

Funding Requirements and Sources

Project cost estimates are based on the judgment of professional staff and/or estimates provided by external sources. Resources to fund each project include currently funded amounts (amounts provided in previous budgets), revenues and/or fund balance, debt, and grants and aid. Any anticipated grants or aid are first applied, followed by what is determined to be the appropriate mix of current funds and debt. Consideration is given to the expense of the project, its estimated life, and the short- and long-term impact on property taxes. The CIP assumes that City debt levels should be kept to a minimum. Debt is therefore a recommended source of funding for

capital projects that are both very expensive (generally exceeding \$200,000 in value) and have long useful lives (generally in excess of 15-20 years).

Revenue sources are limited and subject to change. The City's financial policies state that the unassigned fund balance should be maintained in the General Fund to be at least equal to 5% of operating expenditures. In addition, the amount of retained earnings available in the Building and Vehicle Fund to fund projects is essentially limited to unrestricted net assets. While City records are maintained on a current basis, a more appropriate picture of the fiscal year develops as the City budget is developed in the third and fourth quarters, whereupon actual funding availability for projects in the forthcoming year is projected.

The City's ability to fund projects with general obligation bonds issued by the City is subject to state law and the limits set forth in Section C21-9 of the City Charter. That section of the City Charter allows a certain level of bonding that can be authorized by City Council vote alone, an additional amount that can be authorized by City Council vote subject to permissive referendum, and certain purposes that are exempt from Charter limits. A public referendum is required for the authorization of all other bonded debt. The City Finance Department will likely use bond anticipation notes as a strategy to fund short-term cash flow needs related to capital projects.

CIP Funding Overview

The CIP identifies 87 capital improvement projects including 66 projects from non-enterprise fund categories and an additional 21 projects identified by the City's Boat Basin and Rye Golf enterprise operations. The total cost of all projects is \$56.7 million over the five year planning period. Table 2 provides a summary of total required funding by project type by year.

**TABLE 2:
CIP Funding Requirements by Project Type and Year: 2017-2021**

Project Type	2017	2018	2019	2020	2021+	Total Required
Building	\$3,400,000	\$600,000	\$4,600,000	\$170,000	\$900,000	\$9,670,000
Drainage	\$250,000	\$475,000	\$1,000,000	\$235,000	\$9,110,000	\$11,070,000
Sewer	\$980,000	\$760,000	\$610,000	\$610,000	\$670,000	\$3,630,000
Transportation	\$3,049,000	\$7,864,000	\$6,704,000	\$3,069,000	\$2,044,000	\$22,730,000
Recreation	\$1,110,000	\$308,000	\$250,000	\$315,000	\$19,500	\$2,002,500
<i>Rye Golf*</i>	<i>\$1,165,000</i>	<i>\$1,440,000</i>	<i>\$2,470,000</i>	<i>\$15,000</i>	<i>\$15,000</i>	<i>\$5,105,000</i>
<i>Boat Basin*</i>	<i>\$1,050,000</i>	<i>\$306,000</i>	<i>\$50,000</i>	<i>\$50,000</i>	<i>\$1,051,500</i>	<i>\$2,507,500</i>
Sub-Total Enterprise*	\$2,215,000	\$1,746,000	\$2,520,000	\$65,000	\$1,066,500	\$7,612,500
Sub-Total Non-Enterprise	\$8,789,000	\$10,007,000	\$13,164,000	\$4,399,000	\$12,743,500	\$49,102,500
GRAND TOTAL	\$11,004,000	\$11,753,000	\$15,684,000	\$4,464,000	\$13,810,000	\$56,715,000
Vehicles & Equipment	\$2,358,000	\$1,675,000	\$805,500	\$1,088,000	\$689,000	\$6,615,500

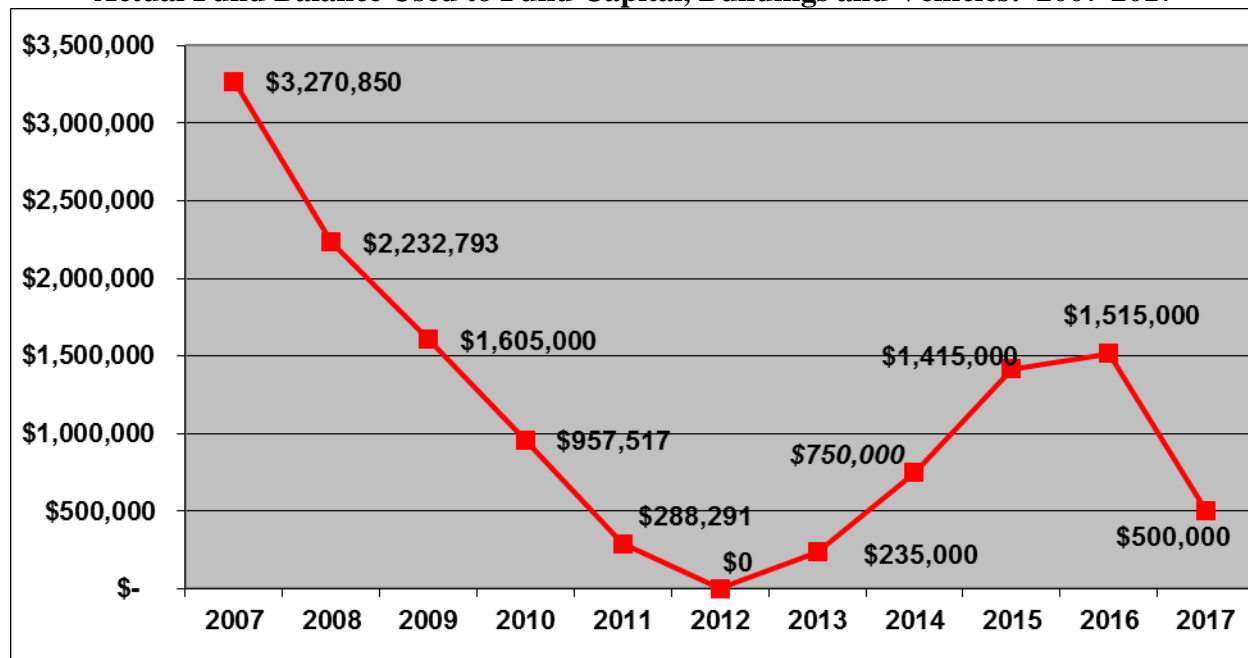
Fund Balance

The fiscal outlook for funding capital projects has improved from previous years, but challenges remain. Funding through the City’s annual budget (i.e., unassigned fund balance) has historically been a significant source of funding for capital projects but has been limited in previous years. In 2009, unassigned general fund balance was approximately \$2.7 Million or approximately 9.2% of total operating expenses. Currently, the fund balance has increased to \$4.9 Million or 15% of total operating expenses, which is slightly less than last year.

Improvement in the City’s financial position is an opportunity use fund balance as a funding source for capital projects more consistent with historic levels. Restrictions on the use of debt by the City Charter and its implications on the state-imposed tax cap also makes fund balance a potentially preferable source of funding for capital. Figure 1 shows actual fund balance used by the general fund to fund capital, building and vehicle expenses. There was a notable decline in capital funding over the years dropping from nearly \$3.3 Million in 2007 to \$0 in 2012. As the City’s financial position has improved since 2012 there has been greater use of fund balance to fund capital projects.

The City has millions of dollars in infrastructure assets that require capital improvement. Proper funding of these assets is essential. Deferring capital improvements is not a sustainable practice and shifts costs to later years, making difficult funding decisions even more difficult in the future. It also results in the City essentially managing its infrastructure to the point of failure before it is replaced, often at greater cost and disruption in service.

**FIGURE 1:
Actual Fund Balance Used to Fund Capital, Buildings and Vehicles: 2007-2017**



Note: 2017 Figure is budgeted as opposed to actual fund balance and does not reflect \$600,000 in annual street resurfacing, which as of the 2017 Budget is funded through the property tax levy rather than fund balance.

Grants and Aid

Federal, State and County government has been a reducing funding for local projects due to budget restrictions. These funds have become very competitive and going to communities with needs even greater than the City of Rye. In addition, compliance with grant requirements (i.e. “strings”) can have costs and liabilities that may make outside funding problematic. As a result, grants and aid are not expected to be a reliable source funding for projects in this CIP and is generally assigned to projects that have substantial costs.

A new potential source of capital funding revenue is associated with future development of multi-family units in the RA-6 Zoning District at 120 Old Post Road. In 2015, the City Council adopted a new zoning district for that property that requires a \$10,000 per multi-family unit contribution towards the City’s capital program. These earmarked funds could generate over \$1 Million if full development potential is realized.

General Revenue

The recently enacted tax cap legislation has made it more difficult for the City to fund capital improvements through increases in property taxes. Under the tax cap legislation a super-majority of City Council members (i.e., 5 out of 7 members) is required to adopt any annual budget that increases the tax levy by more than 2% (or the C.P.I. if less). Based on the City’s current \$37.8 Million budget, a 2% property tax increase translates into approximately \$442,840 in revenue. With the average cost of projects in the CIP exceeding \$652,000 that makes it difficult to fund even lower cost capital projects and absorb anticipated increases in City operations and necessary building and vehicle expenditures without exceeding the tax cap.

**TABLE 3:
CIP Funding Sources by Project Type: 2018-2022**

Project Type	General Revenues	Grants & Aid	Debt	Total Sources
Building	\$1,120,000	\$850,000	\$7,700,000	\$9,670,000
Drainage	\$570,000	\$10,500,000	\$0	\$11,070,000
Sewer	\$580,000	\$1,250,000	\$1,800,000	\$3,630,000
Transportation	\$9,710,000	\$7,220,000	\$5,800,000	\$22,730,000
Recreation	\$2,002,500	\$0	\$0	\$2,002,500
<i>Rye Golf*</i>	\$5,105,000	\$0	\$0	\$5,105,000
<i>Boat Basin*</i>	\$2,407,500	\$100,000	\$0	\$2,507,500
<i>Sub-Total Enterprise*</i>	\$7,512,500	\$100,000	\$0	\$7,612,500
Sub-Total Non-Enterprise	\$13,982,500	\$19,820,000	\$15,300,000	\$49,102,500
Sub-Total Enterprise*	\$21,495,000	\$19,920,000	\$15,300,000	\$56,715,000
Vehicles & Equipment	\$4,370,500	\$ -	\$2,245,000	\$6,615,500

Debt

Debt continues to be a restricted source of funding for capital. The City Charter places limitations on the issuance of new debt. The City Council can authorize the issuance of new debt not exceeding 5% of the average gross annual budget for the preceding three years. Debt exceeding 5% but not in excess of 10% requires permissive referendum. Debt in excess of 10% requires approval of the voting public in a general or special election.

In 2016 the City Council adopted changes in the City charter to modify debt restrictions. Under the current charter provisions, the City Council can authorize up to \$11.2 Million in debt by its own vote. This allows the Council greater flexibility in the use of debt. Prior to this change the 2016 CIP noted that the City Council had only \$700,000 in debt authorization. An additional \$1.4 Million in debt can be issued for public safety projects, such as improvements to the police/court building. The CIP proposes the use of approximately \$15.3 Million in debt to fund projects. Actual use of debt will be determined as projects are considered for funding and the financial position of the City at the time actual expenditures are incurred. Capital projects are often multi-year endeavors and their costs and funding sources change over the time period to implement a project.

CIP Project Highlights

The CIP identifies approximately \$49 Million in non-enterprise fund projects over the next five or more years and almost \$7.8 Million in vehicle purchases. Table 4 provides a summary of the CIP funding requirements by project type, year and source.

**TABLE 4:
CIP Funding Requirements by Project Type, Year, and Source: 2018-2022+**

Project Type	2017	2018	2019	2020	2021+	Total Required
Building	\$1,000,000	\$2,090,000	\$50,000	\$550,000	\$900,000	\$4,590,000
<i>General Rev.</i>	\$300,000	\$290,000	\$50,000	\$550,000	\$250,000	\$1,440,000
<i>Grants & Aid</i>	\$0	\$0	\$0	\$0	\$650,000	\$650,000
<i>Debt</i>	\$700,000	\$1,800,000	\$0	\$0	\$0	\$2,500,000
Drainage	\$250,000	\$475,000	\$1,000,000	\$235,000	\$9,110,000	\$11,070,000
<i>General Rev.</i>	\$50,000	\$75,000	\$50,000	\$135,000	\$260,000	\$570,000
<i>Grants & Aid</i>	\$200,000	\$400,000	\$950,000	\$100,000	\$8,850,000	\$10,500,000
<i>Debt</i>	\$0	\$0	\$0	\$0	\$0	\$0
Sewer	\$1,130,000	\$760,000	\$610,000	\$610,000	\$670,000	\$3,780,000
<i>General Rev.</i>	\$80,000	\$110,000	\$110,000	\$110,000	\$170,000	\$580,000
<i>Grants & Aid</i>	\$250,000	\$250,000	\$250,000	\$250,000	\$250,000	\$1,250,000
<i>Debt</i>	\$800,000	\$400,000	\$250,000	\$250,000	\$250,000	\$1,950,000
Transportation	\$3,254,000	\$7,689,000	\$3,654,000	\$3,094,000	\$4,569,000	\$21,960,000
<i>General Rev.</i>	\$1,710,000	\$1,395,000	\$1,140,000	\$1,145,000	\$1,150,000	\$6,540,000
<i>Grants & Aid</i>	\$344,000	\$3,694,000	\$414,000	\$1,299,000	\$3,419,000	\$9,170,000
<i>Debt</i>	\$1,200,000	\$2,600,000	\$2,100,000	\$650,000	\$0	\$6,250,000

Recreation	\$660,000	\$2,398,000	\$270,000	\$239,500	\$145,000	\$3,712,500
<i>General Rev.</i>	\$470,000	\$30,000	\$90,000	\$119,500	\$145,000	\$854,500
<i>Grants & Aid</i>	\$0	\$2,350,000	\$0	\$0	\$0	\$2,350,000
<i>Debt</i>	\$190,000	\$18,000	\$180,000	\$120,000	\$0	\$508,000
Rye Golf*	\$575,000	\$1,832,000	\$250,000	\$115,000	\$115,000	\$2,887,000
<i>General Rev.</i>	\$575,000	\$1,832,000	\$250,000	\$115,000	\$115,000	\$2,887,000
<i>Grants & Aid</i>	\$0	\$0	\$0	\$0	\$0	\$0
<i>Debt</i>	\$0	\$0	\$0	\$0	\$0	\$0
Boat Basin*	\$3,666,000	\$318,500	\$55,000	\$55,000	\$295,000	\$4,389,500
<i>General Rev.</i>	\$1,266,000	\$218,500	\$55,000	\$55,000	\$295,000	\$1,889,500
<i>Grants & Aid</i>	\$2,400,000	\$100,000	\$0	\$0	\$0	\$2,500,000
<i>Debt</i>	\$0	\$0	\$0	\$0	\$0	\$0
Sub-Total Enterprise*	\$4,241,000	\$2,150,500	\$305,000	\$170,000	\$410,000	\$7,276,500
<i>General Rev.</i>	\$1,841,000	\$2,050,500	\$305,000	\$170,000	\$410,000	\$4,776,500
<i>Grants & Aid</i>	\$2,400,000	\$100,000	\$0	\$0	\$0	\$2,500,000
<i>Debt</i>	\$0	\$0	\$0	\$0	\$0	\$0
Sub-Total Non-Enterprise	\$6,294,000	\$13,412,000	\$5,584,000	\$4,728,500	\$15,394,000	\$45,112,500
<i>General Rev.</i>	\$2,610,000	\$1,900,000	\$1,440,000	\$2,059,500	\$1,975,000	\$9,984,500
<i>Grants & Aid</i>	\$794,000	\$6,694,000	\$1,614,000	\$1,649,000	\$13,169,000	\$23,920,000
<i>Debt</i>	\$2,890,000	\$4,818,000	\$2,530,000	\$1,020,000	\$250,000	\$11,508,000
GRAND TOTAL	\$10,535,000	\$15,562,500	\$5,889,000	\$4,898,500	\$15,804,000	\$52,389,000
<i>General Rev.</i>	\$4,451,000	\$3,950,500	\$1,745,000	\$2,229,500	\$2,385,000	\$14,761,000
<i>Grants & Aid</i>	\$3,194,000	\$6,794,000	\$1,614,000	\$1,649,000	\$13,169,000	\$26,420,000
<i>Debt</i>	\$2,890,000	\$4,818,000	\$2,530,000	\$1,020,000	\$250,000	\$11,508,000

Other Capital Considerations

The City enterprise funds, including the City Boat Basin and Rye Golf Club, have capital needs not previously been identified in the CIP because these projects were typically funded by user fees. This year's CIP includes approximately \$5.1 million in projects identified by ***Rye Golf*** that will be necessary over the five-year planning period. The funding source is entirely from the enterprise reserve fund and not from other sources. The club will continue to pay its outstanding bond obligations through 2020 associated with prior Whitby Castle renovations.

The ***City Boat Basin*** is expected to need to fund another dredge within the next year to maintain its current operational levels at an estimated cost of \$1.0 Million. Increasing environmental restrictions on open water disposal of dredge material has significantly increased dredging disposal costs. Federal funding for dredging has not been available for recreational marinas for years, and funding for commercial harbors is increasingly difficult to obtain. Upland disposal of dredge material is cost prohibitive and logistically challenging given the limited land for dewatering.

There are 400 boaters at the boat basin and 150 of those are small boats and kayaks. This small number of boaters and rising dredging required approximately every seven years is a continuing challenge of the fiscal sustainability and functionality of the Boat Basin. Fees and charges can't

be too high since the Boat Basin has to remain competitive with the prices charged by other area marinas. If grants or other new sources of revenue are not identified, the boat basin will not be able to maintain its current level of operation, or the Basin will require supplemental funding from the City or some other revenue source.

Rye Town Park has identified approximately \$14 million in capital needs to its facilities over the next five years. Their capital needs are of particular concern because the City is responsible for approximately 40% of all capital expenditures at Rye Town Park. Capital projects are approved by the Rye Town Park Commission, subject to funding approval by the City Council and Town of Rye Board. The City will need to diligently work with the Rye Town Park Commission regarding the need, cost and timing of required capital improvements. If not, the City may not have funds available to cover its capital obligations to the Park while still preserving the City's already limited capital program.

The Rye City School District has an impact on the City's capital program. Their facilities generate demand for off-site improvements such as traffic and pedestrian safety, parking and other infrastructure improvements that are predominately funded by the City.

Disbrow Park and Public Works Improvements. There is an on-going discussion to re-organize the existing public works facility at Disbrow Park to consolidate operations and replace needed buildings. This project presents an opportunity to improve user safety by better segregating public works and recreation traffic and pedestrian activity. It also results in a more efficient use of land allowing for the expansion of or improvement to existing recreation facilities. The Disbrow Park Master Plan was initiated this year, but has not yet been completed. Upon plan completion and community consensus of a preferred alternative it is anticipated that cost estimates for existing DPW and recreation projects will be refined and new or modified project be included in a future CIP.

Sewer Improvements. The City should expect increased expenditures to identify and implement projects that improve the City's sewer infrastructure. There are increasing regulatory pressures on the City from State and County authorities to make improvements to the system that reduce inflow and infiltration of stormwater, groundwater and illegal discharges. These costs could be significant and may come with stiff penalties and fines if not implemented.

Conclusion

The Capital Improvement Plan is a document that provides the City Council, City management, and the entire community with an opportunity to plan for the longer term while budgeting for the short term. The project requirements and resources included in the first year of the plan, designed to provide guidance for the forthcoming year's budget, will most likely differ from the projects that appear in the budget that is adopted in December by the City Council.

This Capital Improvement Plan, will be presented to the City Council at a public meeting and seeks the input and consideration of the City Council and the public. Comments, questions, and suggestions are welcome as the City continues to identify and modify projects so that they best meet the needs of the community.

Section II:
Tables of Project Funding Requirements and Sources

Capital Improvement Plan (CIP): 2018-2022
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
BUILDING PROJECTS							
Annual Building Improvement Program	Moderate	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 250,000
Police/Court Building Improvements (in design)	Urgent	\$ 2,400,000	\$ -	\$ -	\$ -	\$ -	\$ 2,400,000
Police Department Building Upgrades	Moderate	\$ -	\$ 125,000	\$ -	\$ -	\$ -	\$ 125,000
Police Department Evidence Room	High	\$ -	\$ 75,000	\$ -	\$ -	\$ -	\$ 75,000
Police Storage Building At Disbrow Park - Roof Replacement	High	\$ -	\$ -	\$ -	\$ 120,000	\$ -	\$ 120,000
City Hall Improvements	High	\$ 700,000	\$ 300,000	\$ -	\$ -	\$ -	\$ 1,000,000
City Hall TV Studio	Low	\$ -	\$ -	\$ -	\$ -	\$ 850,000	\$ 850,000
DPW Salt Shed Replacement	High	\$ -	\$ -	\$ 500,000	\$ -	\$ -	\$ 500,000
DPW Fuel Tank Replacement	High	\$ 250,000	\$ -	\$ -	\$ -	\$ -	\$ 250,000
DPW Garage Replacement	High	\$ -	\$ -	\$ 2,000,000	\$ -	\$ -	\$ 2,000,000
DPW New Garage Building	High	\$ -	\$ -	\$ 2,000,000	\$ -	\$ -	\$ 2,000,000
Firehouse Improvements	Low	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ 100,000
Sub-Total Building Projects:		\$ 3,400,000	\$ 600,000	\$ 4,600,000	\$ 170,000	\$ 900,000	\$ 9,670,000
General Revenues		\$ 300,000	\$ 300,000	\$ 100,000	\$ 170,000	\$ 250,000	\$ 1,120,000
Grants & Aid		\$ 200,000	\$ -	\$ -	\$ -	\$ 650,000	\$ 850,000
Debt		\$ 2,900,000	\$ 300,000	\$ 4,500,000	\$ -	\$ -	\$ 7,700,000
DRAINAGE/FLOODING PROJECTS							
Annual Drainage Improvement Program	High	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 250,000
Bowman Avenue Dam Sluice Gate Modifications	Moderate	\$ 100,000	\$ 150,000	\$ -	\$ -	\$ -	\$ 250,000
Detention Ponds at SUNY Purchase Campus	Moderate	\$ -	\$ 100,000	\$ 900,000	\$ -	\$ -	\$ 1,000,000
Bowman Avenue Dam Upper Pond Resizing	Moderate	\$ -	\$ -	\$ -	\$ -	\$ 8,500,000	\$ 8,500,000
Milton Road Drain	Moderate	\$ 100,000	\$ 150,000	\$ -	\$ -	\$ -	\$ 250,000
Forest to Stonycrest Road Drain	Low	\$ -	\$ -	\$ -	\$ 35,000	\$ 350,000	\$ 385,000
Red Maple Swamp Study	Moderate	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
Colby Avenue Drainage	Low	\$ -	\$ -	\$ -	\$ -	\$ 120,000	\$ 120,000
Ellsworth Street Drainage	Low	\$ -	\$ -	\$ -	\$ -	\$ 90,000	\$ 90,000
Martin Road Drainage	Low	\$ -	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000
Nature Center Stream Bank Restoration	Moderate	\$ -	\$ -	\$ 50,000	\$ 100,000	\$ -	\$ 150,000
Sub-Total Drainage Projects:		\$ 250,000	\$ 475,000	\$ 1,000,000	\$ 235,000	\$ 9,110,000	\$ 11,070,000
General Revenues		\$ 50,000	\$ 75,000	\$ 50,000	\$ 135,000	\$ 260,000	\$ 570,000
Grants & Aid		\$ 200,000	\$ 400,000	\$ 950,000	\$ 100,000	\$ 8,850,000	\$ 10,500,000
Debt		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
SEWER PROJECTS							
Annual Sewer Improvement Program	High	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 250,000
Stuyvesant Ave. Pump Station Pump Replacement	Moderate	\$ -	\$ 150,000	\$ -	\$ -	\$ 120,000	\$ 270,000
Locust Avenue Sewer Siphon Replacement	Urgent	\$ 400,000	\$ -	\$ -	\$ -	\$ -	\$ 400,000
Pump Station Automation (SCADA System)	High	\$ 30,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ -	\$ 210,000
Sanitary Sewer Evaluation Survey Implementation	Urgent	\$ 500,000	\$ 500,000	\$ 500,000	\$ 500,000	\$ 500,000	\$ 2,500,000
Sub-Total Sewer Projects:		\$ 980,000	\$ 760,000	\$ 610,000	\$ 610,000	\$ 670,000	\$ 3,630,000
General Revenues		\$ 80,000	\$ 110,000	\$ 110,000	\$ 110,000	\$ 170,000	\$ 580,000
Grants & Aid		\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 1,250,000
Debt		\$ 650,000	\$ 400,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 1,800,000
TRANSPORTATION PROJECTS							
Annual Pedestrian Improvement Program	High	\$ 80,000	\$ 85,000	\$ 90,000	\$ 95,000	\$ 100,000	\$ 450,000
Annual Street Improvement Program	High	\$ 1,344,000	\$ 1,344,000	\$ 1,344,000	\$ 1,344,000	\$ 1,344,000	\$ 6,720,000
Annual Traffic Signal and Safety Program	High	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 500,000
Boston Post Road Retaining Wall	Urgent	\$ 300,000	\$ -	\$ -	\$ -	\$ -	\$ 300,000
Theodore Fremd/Blind Brook Retaining Wall	Urgent	\$ -	\$ 1,400,000	\$ -	\$ -	\$ -	\$ 1,400,000
Purchase Street Roundabout	Low	\$ -	\$ -	\$ -	\$ -	\$ 500,000	\$ 500,000
CBD - Purchase Street Reconstruction	Moderate	\$ -	\$ 50,000	\$ 800,000	\$ -	\$ -	\$ 850,000
Purchase/Fremd & Purdy Intersection Improvement	High	\$ 600,000	\$ -	\$ -	\$ -	\$ -	\$ 600,000
Locust Avenue Bridge	Moderate	\$ -	\$ 1,710,000	\$ -	\$ -	\$ -	\$ 1,710,000
Orchard Avenue Bridge Rehabilitation	Moderate	\$ -	\$ 200,000	\$ -	\$ -	\$ -	\$ 200,000
MTA Parking Lot Improvements	Moderate	\$ -	\$ 350,000	\$ 3,000,000	\$ -	\$ -	\$ 3,350,000
School/Purdy Parking Lot (Car Park 5)	High	\$ -	\$ -	\$ -	\$ 650,000	\$ -	\$ 650,000
Police Department Parking Lot	Moderate	\$ -	\$ 75,000	\$ -	\$ -	\$ -	\$ 75,000
Forest Avenue Pedestrian and Road Improvements	High	\$ 150,000	\$ 2,450,000	\$ -	\$ -	\$ -	\$ 2,600,000
5 Points Intersection Improvements	Moderate	\$ 250,000	\$ -	\$ -	\$ -	\$ -	\$ 250,000
Osborn Road Area Study & Improvements	Moderate	\$ 150,000	\$ -	\$ -	\$ -	\$ -	\$ 150,000
Stuyvesant Avenue Pedestrian Improvements	Moderate	\$ -	\$ -	\$ 50,000	\$ 700,000	\$ -	\$ 750,000
Boston Post Road/Parsons Street/Nature Center Roundabout	Moderate	\$ -	\$ 100,000	\$ 1,300,000	\$ -	\$ -	\$ 1,400,000
Boston Post Road/Old Post Road Traffic Signal Replacement	Moderate	\$ -	\$ -	\$ 20,000	\$ 180,000	\$ -	\$ 200,000
CBD - Car Park Improvement & Deck Feasibility Study	High	\$ 75,000	\$ -	\$ -	\$ -	\$ -	\$ 75,000
Sub-Total Transportation Projects:		\$ 3,049,000	\$ 7,864,000	\$ 6,704,000	\$ 3,069,000	\$ 2,044,000	\$ 22,730,000
General Revenues		\$ 1,255,000	\$ 1,870,000	\$ 4,190,000	\$ 1,195,000	\$ 1,200,000	\$ 9,710,000
Grants & Aid		\$ 1,194,000	\$ 3,544,000	\$ 414,000	\$ 1,224,000	\$ 844,000	\$ 7,220,000
Debt		\$ 600,000	\$ 2,450,000	\$ 2,100,000	\$ 650,000	\$ -	\$ 5,800,000

Funding Requirements

Capital Project Name	Priority	2018	2019	2020	2021	2022+	Total Required
RECREATION PROJECTS							
Damiano Center HVAC	Urgent	\$ 40,000	\$ -	\$ -	\$ -	\$ -	\$ 40,000
Gagliardo Park Improvements	Moderate	\$ 175,000	\$ -	\$ -	\$ -	\$ -	\$ 175,000
Tennis Court Lighting Improvement	Moderate	\$ -	\$ 160,000	\$ 160,000	\$ 120,000	\$ -	\$ 440,000
Nursery Field Rehabilitation and Drainage	High	\$ 450,000	\$ -	\$ -	\$ -	\$ -	\$ 450,000
Upper Picnic Shelter Replacement	High	\$ -	\$ -	\$ 90,000	\$ -	\$ -	\$ 90,000
Recreation Park Landscape and Signage Improvements	Low	\$ -	\$ -	\$ -	\$ -	\$ 19,500	\$ 19,500
Expand Maintenance Garage	Moderate	\$ -	\$ -	\$ -	\$ 150,000	\$ -	\$ 150,000
Recreation Park Tennis Court Replacement	High	\$ 180,000	\$ -	\$ -	\$ -	\$ -	\$ 180,000
Rec Patio Replacement/Repair	Moderate	\$ -	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000
Rec Parking Lot and Service Road Resurfacing	High	\$ 45,000	\$ -	\$ -	\$ -	\$ -	\$ 45,000
Handicapped Accessible Playground	High	\$ 200,000	\$ -	\$ -	\$ -	\$ -	\$ 200,000
Damiano Roof Replacement	Moderate	\$ -	\$ 20,000	\$ -	\$ -	\$ -	\$ 20,000
Damiano Floor Replacement	Moderate	\$ -	\$ -	\$ -	\$ 25,000	\$ -	\$ 25,000
Recreation Building Painting	Low	\$ -	\$ -	\$ -	\$ 20,000	\$ -	\$ 20,000
Recreation Basketball Repainting	Moderate	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ 20,000
Recreation Electrical Improvement	Moderate	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
Recreation Security System Upgrade	Moderate	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
Recreation Irrigation Upgrade	Moderate	\$ -	\$ 28,000	\$ -	\$ -	\$ -	\$ 28,000
Sub-Total Recreation Projects:		\$ 1,110,000	\$ 308,000	\$ 250,000	\$ 315,000	\$ 19,500	\$ 2,002,500
<i>General Revenues</i>		\$ 1,110,000	\$ 308,000	\$ 250,000	\$ 315,000	\$ 19,500	\$ 2,002,500
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

RYE GOLF PROJECTS							
RGC Whitby Castle Window Project	Moderate	\$ -	\$ 675,000	\$ -	\$ -	\$ -	\$ 675,000
RGC Whitby Castle Basement Support	Moderate	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ 25,000
RGC Course Signage	Moderate	\$ 15,000	\$ -	\$ -	\$ -	\$ -	\$ 15,000
RGC Greens Drainage & Expansion	Moderate	\$ 150,000	\$ 250,000	\$ 250,000	\$ -	\$ -	\$ 650,000
RGC Half Way House Master & 1st Tee Planning	Moderate	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ 20,000
RGC/Whitby HVAC Repair/Replacement	Moderate	\$ 5,000	\$ 30,000	\$ 10,000	\$ 5,000	\$ 5,000	\$ 55,000
RGC Parking Lot Treatment	Moderate	\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ 30,000
RGC Pool Mechanical Repair & Replacement	Moderate	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 50,000
RGC Pool Resurfacing	Moderate	\$ 700,000	\$ -	\$ -	\$ -	\$ -	\$ 700,000
RGC Course Irrigation Improvement Study	High	\$ 40,000	\$ 375,000	\$ 2,200,000	\$ -	\$ -	\$ 2,615,000
RGC Tree Management	Moderate	\$ 105,000	\$ 100,000	\$ -	\$ -	\$ -	\$ 205,000
RGC Whitby Castle Frames & Shutters	Moderate	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ 25,000
Golf Course Landscape Improvements	Moderate	\$ 40,000	\$ -	\$ -	\$ -	\$ -	\$ 40,000
Sub-Total Rye Golf Projects:		\$ 1,165,000	\$ 1,440,000	\$ 2,470,000	\$ 15,000	\$ 15,000	\$ 5,105,000
<i>Rye Golf Revenues</i>		\$ 1,165,000	\$ 1,440,000	\$ 2,470,000	\$ 15,000	\$ 15,000	\$ 5,105,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

BOAT BASIN PROJECTS							
Boat Basin - Milton Harbor Dredging	High	\$ 1,000,000	\$ -	\$ -	\$ -	\$ 1,000,000	\$ 2,000,000
Boat Basin - Rye, New York CIP: 2018-2022	High	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
Boat Basin Work Shed	Moderate	\$ -	\$ -	\$ -	\$ -	\$ 30,000	\$ 30,000
Boat Basin Dam Water Supply	Low	\$ -	\$ -	\$ -	\$ -	\$ 21,500	\$ 21,500
Boat Basin Parking Lot	Low	\$ -	\$ 42,500	\$ -	\$ -	\$ -	\$ 42,500
Boat Basin Float Replacement	Moderate	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ -	\$ 200,000
Boat Basin Main Gazebo Repair or Replacement	Moderate	\$ -	\$ 68,500	\$ -	\$ -	\$ -	\$ 68,500
Boat Basin Launch Ramp Extension	High	\$ -	\$ 120,000	\$ -	\$ -	\$ -	\$ 120,000
Sub-Total Boat Basin Projects:		\$ 1,050,000	\$ 306,000	\$ 50,000	\$ 50,000	\$ 1,051,500	\$ 2,507,500
<i>Boat Basin Reserves</i>		\$ 1,050,000	\$ 206,000	\$ 50,000	\$ 50,000	\$ 1,051,500	\$ 2,407,500
<i>Grants & Aid</i>		\$ -	\$ 100,000	\$ -	\$ -	\$ -	\$ 100,000
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Subtotal Enterprise Funds		\$ 2,215,000	\$ 1,746,000	\$ 2,520,000	\$ 65,000	\$ 1,066,500	\$ 7,612,500
<i>Total General Revenues</i>		\$ 2,215,000	\$ 1,646,000	\$ 2,520,000	\$ 65,000	\$ 1,066,500	\$ 7,512,500
<i>Total Grants & Aid</i>		\$ -	\$ 100,000	\$ -	\$ -	\$ -	\$ 100,000
<i>Total Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Subtotal Non-Enterprise Funds		\$ 8,789,000	\$ 10,007,000	\$ 13,164,000	\$ 4,399,000	\$ 12,743,500	\$ 49,102,500
<i>Total General Revenues</i>		\$ 2,795,000	\$ 2,663,000	\$ 4,700,000	\$ 1,925,000	\$ 1,899,500	\$ 13,982,500
<i>Total Grants & Aid</i>		\$ 1,844,000	\$ 4,194,000	\$ 1,614,000	\$ 1,574,000	\$ 10,594,000	\$ 19,820,000
<i>Total Debt</i>		\$ 4,150,000	\$ 3,150,000	\$ 6,850,000	\$ 900,000	\$ 250,000	\$ 15,300,000
TOTAL ALL PROJECTS:		\$ 11,004,000	\$ 11,753,000	\$ 15,684,000	\$ 4,464,000	\$ 13,810,000	\$ 56,715,000
<i>Total General Revenues</i>		\$ 5,010,000	\$ 4,309,000	\$ 7,220,000	\$ 1,990,000	\$ 2,966,000	\$ 21,495,000
<i>Total Grants & Aid</i>		\$ 1,844,000	\$ 4,294,000	\$ 1,614,000	\$ 1,574,000	\$ 10,594,000	\$ 19,920,000
<i>Total Debt</i>		\$ 4,150,000	\$ 3,150,000	\$ 6,850,000	\$ 900,000	\$ 250,000	\$ 15,300,000

Capital Improvement Plan (CIP): 2018-2022
Project Funding Sources

Capital Project Name	Funding Sources			Total Sources
	General Revenues	Grants & Aid	Debt	
<i>BUILDING PROJECTS</i>				
Annual Building Improvement Program	\$ 250,000	\$ -	\$ -	\$ 250,000
Police/Court Building Improvements (in design)	\$ -	\$ 200,000	\$ 2,200,000	\$ 2,400,000
Police Department Building Upgrades	\$ 125,000	\$ -	\$ -	\$ 125,000
Police Department Evidence Room	\$ 75,000	\$ -	\$ -	\$ 75,000
Police Storage Building At Disbrow Park - Roof Replacement	\$ 120,000	\$ -	\$ -	\$ 120,000
City Hall Improvements	\$ -	\$ -	\$ 1,000,000	\$ 1,000,000
City Hall TV Studio	\$ 200,000	\$ 650,000	\$ -	\$ 850,000
DPW Salt Shed Replacement	\$ -	\$ -	\$ 500,000	\$ 500,000
DPW Fuel Tank Replacement	\$ 250,000	\$ -	\$ -	\$ 250,000
DPW Garage Replacement	\$ -	\$ -	\$ 2,000,000	\$ 2,000,000
DPW New Garage Building	\$ -	\$ -	\$ 2,000,000	\$ 2,000,000
Firehouse Improvements	\$ 100,000	\$ -	\$ -	\$ 100,000
Sub-Total Building Projects:	\$ 1,120,000	\$ 850,000	\$ 7,700,000	\$ 9,670,000
<i>DRAINAGE/FLOODING PROJECTS</i>				
Annual Drainage Improvement Program	\$ 250,000	\$ -	\$ -	\$ 250,000
Bowman Avenue Dam Sluice Gate Modifications	\$ -	\$ 250,000	\$ -	\$ 250,000
Detention Ponds at SUNY Purchase Campus	\$ -	\$ 1,000,000	\$ -	\$ 1,000,000
Bowman Avenue Dam Upper Pond Resizing	\$ -	\$ 8,500,000	\$ -	\$ 8,500,000
Milton Road Drain	\$ -	\$ 250,000	\$ -	\$ 250,000
Forest to Stonycrest Road Drain	\$ 35,000	\$ 350,000	\$ -	\$ 385,000
Red Maple Swamp Study	\$ 25,000	\$ -	\$ -	\$ 25,000
Colby Avenue Drainage	\$ 120,000	\$ -	\$ -	\$ 120,000
Ellsworth Street Drainage	\$ 90,000	\$ -	\$ -	\$ 90,000
Martin Road Drainage	\$ 50,000	\$ -	\$ -	\$ 50,000
Nature Center Stream Bank Restoration	\$ -	\$ 150,000	\$ -	\$ 150,000
Sub-Total Drainage Projects:	\$ 570,000	\$ 10,500,000	\$ -	\$ 11,070,000

Capital Project Name	Funding Sources			Total Sources
	General Revenues	Grants & Aid	Debt	
SEWER PROJECTS				
Annual Sewer Improvement Program	\$ 250,000	\$ -	\$ -	\$ 250,000
Stuyvesant Ave. Pump Station Pump Replacement	\$ 120,000	\$ -	\$ 150,000	\$ 270,000
Locust Avenue Sewer Siphon Replacement	\$ -	\$ -	\$ 400,000	\$ 400,000
Pump Station Automation (SCADA System)	\$ 210,000	\$ -	\$ -	\$ 210,000
Sanitary Sewer Evaluation Survey Implementation	\$ -	\$ 1,250,000	\$ 1,250,000	\$ 2,500,000
Sub-Total Sewer Projects:	\$ 580,000	\$ 1,250,000	\$ 1,800,000	\$ 3,630,000
TRANSPORTATION PROJECTS				
Annual Pedestrian Improvement Program	\$ 450,000	\$ -	\$ -	\$ 450,000
Annual Street Improvement Program	\$ 5,000,000	\$ 1,720,000	\$ -	\$ 6,720,000
Annual Traffic Signal and Safety Program	\$ 500,000	\$ -	\$ -	\$ 500,000
Boston Post Road Retaining Wall	\$ -	\$ 300,000	\$ -	\$ 300,000
Theodore Fremd/Blind Brook Retaining Wall	\$ 100,000	\$ 1,300,000	\$ -	\$ 1,400,000
Purchase Street Roundabout	\$ -	\$ 500,000	\$ -	\$ 500,000
CBD - Purchase Street Reconstruction	\$ 50,000	\$ -	\$ 800,000	\$ 850,000
Purchase/Fremd & Purdy Intersection Improvement	\$ -	\$ -	\$ 600,000	\$ 600,000
Locust Avenue Bridge	\$ 10,000	\$ 1,700,000	\$ -	\$ 1,710,000
Orchard Avenue Bridge Rehabilitation	\$ -	\$ 200,000	\$ -	\$ 200,000
MTA Parking Lot Improvements	\$ 3,350,000	\$ -	\$ -	\$ 3,350,000
School/Purdy Parking Lot (Car Park 5)	\$ -	\$ -	\$ 650,000	\$ 650,000
Police Department Parking Lot	\$ 75,000	\$ -	\$ -	\$ 75,000
Forest Avenue Pedestrian and Road Improvements	\$ -	\$ 150,000	\$ 2,450,000	\$ 2,600,000
5 Points Intersection Improvements	\$ -	\$ 250,000	\$ -	\$ 250,000
Osborn Road Area Study & Improvements	\$ -	\$ 150,000	\$ -	\$ 150,000
Stuyvesant Avenue Pedestrian Improvements	\$ -	\$ 750,000	\$ -	\$ 750,000
Boston Post Road/Parsons Street/Nature Center Roundabout	\$ 100,000	\$ -	\$ 1,300,000	\$ 1,400,000
Boston Post Road/Old Post Road Traffic Signal Replacement	\$ -	\$ 200,000	\$ -	\$ 200,000
CBD - Car Park Improvement & Deck Feasibility Study	\$ 75,000	\$ -	\$ -	\$ 75,000
Sub-Total Transportation Projects:	\$ 9,710,000	\$ 7,220,000	\$ 5,800,000	\$ 22,730,000

Capital Project Name	Funding Sources			Total Sources
	General Revenues	Grants & Aid	Debt	
RECREATION PROJECTS				
Damiano Center HVAC	\$ 40,000	\$ -	\$ -	\$ 40,000
Gagliardo Park Improvements	\$ 175,000	\$ -	\$ -	\$ 175,000
Tennis Court Lighting Improvement	\$ 440,000	\$ -	\$ -	\$ 440,000
Nursery Field Rehabilitation and Drainage	\$ 450,000	\$ -	\$ -	\$ 450,000
Upper Picnic Shelter Replacement	\$ 90,000	\$ -	\$ -	\$ 90,000
Recreation Park Landscape and Signage Improvements	\$ 19,500	\$ -	\$ -	\$ 19,500
Expand Maintenance Garage	\$ 150,000	\$ -	\$ -	\$ 150,000
Recreation Park Tennis Court Replacement	\$ 180,000	\$ -	\$ -	\$ 180,000
Rec Patio Replacement/Repair	\$ 50,000	\$ -	\$ -	\$ 50,000
Rec Parking Lot and Service Road Resurfacing	\$ 45,000	\$ -	\$ -	\$ 45,000
Handicapped Accessible Playground	\$ 200,000	\$ -	\$ -	\$ 200,000
Damiano Roof Replacement	\$ 20,000	\$ -	\$ -	\$ 20,000
Damiano Floor Replacement	\$ 25,000	\$ -	\$ -	\$ 25,000
Recreation Building Painting	\$ 20,000	\$ -	\$ -	\$ 20,000
Recreation Basketball Repainting	\$ 20,000	\$ -	\$ -	\$ 20,000
Recreation Electrical Improvement	\$ 25,000	\$ -	\$ -	\$ 25,000
Recreation Security System Upgrade	\$ 25,000	\$ -	\$ -	\$ 25,000
Recreation Irrigation Upgrade	\$ 28,000	\$ -	\$ -	\$ 28,000
Sub-Total Recreation Projects:	\$ 2,002,500	\$ -	\$ -	\$ 2,002,500
RYE GOLF CLUB (Enterprise Fund)				
RGC Whitby Castle Window Project	\$ 675,000	\$ -	\$ -	\$ 675,000
RGC Whitby Castle Basement Support	\$ 25,000	\$ -	\$ -	\$ 25,000
RGC Course Signage	\$ 15,000	\$ -	\$ -	\$ 15,000
RGC Greens Drainage & Expansion	\$ 650,000	\$ -	\$ -	\$ 650,000
RGC Half Way House Master & 1st Tee Planning	\$ 20,000	\$ -	\$ -	\$ 20,000
RGC/Whitby HVAC Repair/Replacement	\$ 55,000	\$ -	\$ -	\$ 55,000
RGC Parking Lot Treatment	\$ 30,000	\$ -	\$ -	\$ 30,000
RGC Pool Mechanical Repair & Replacement	\$ 50,000	\$ -	\$ -	\$ 50,000
RGC Pool Resurfacing	\$ 700,000	\$ -	\$ -	\$ 700,000
RGC Course Irrigation Improvement Study	\$ 2,615,000	\$ -	\$ -	\$ 2,615,000
RGC Tree Management	\$ 205,000	\$ -	\$ -	\$ 205,000
RGC Whitby Castle Frames & Shutters	\$ 25,000	\$ -	\$ -	\$ 25,000
Golf Course Landscape Improvements	\$ 40,000	\$ -	\$ -	\$ 40,000
Sub-Total Rye Golf Projects:	\$ 5,105,000	\$ -	\$ -	\$ 5,105,000

Capital Project Name	Funding Sources			Total Sources
	General Revenues	Grants & Aid	Debt	
<i>BOAT BASIN (Enterprise Fund)</i>				
Boat Basin - Milton Harbor Dredging	\$ 2,000,000	\$ -	\$ -	\$ 2,000,000
Boat Basin - Generator	\$ 25,000	\$ -	\$ -	\$ 25,000
Boat Basin Work Shed	\$ 30,000	\$ -	\$ -	\$ 30,000
Boat Basin Dam Water Supply	\$ 21,500	\$ -	\$ -	\$ 21,500
Boat Basin Parking Lot	\$ 42,500	\$ -	\$ -	\$ 42,500
Boat Basin Float Replacement	\$ 200,000	\$ -	\$ -	\$ 200,000
Boat Basin Main Gazebo Repair or Replacement	\$ 68,500	\$ -	\$ -	\$ 68,500
Boat Basin Launch Ramp Extension	\$ 20,000	\$ 100,000	\$ -	\$ 120,000
<i>Sub-Total Boat Basin Projects:</i>	\$ 2,407,500	\$ 100,000	\$ -	\$ 2,507,500
<i>Subtotal Enterprise Funds:</i>	\$ 7,512,500	\$ 100,000	\$ -	\$ 7,612,500
<i>Subtotal Non-Enterprise Funds:</i>	\$ 13,982,500	\$ 19,820,000	\$ 15,300,000	\$ 49,102,500
Total:	\$ 21,495,000	\$ 19,920,000	\$ 15,300,000	\$ 56,715,000

Capital Improvement Plan (CIP): 2018-2022 - BUILDING PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements						Total Required
		2018	2019	2020	2021	2022+		
Building Projects								
Annual Building Improvement Program	Moderate	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 250,000	\$ 250,000
	General Revenues	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 250,000	\$ 250,000
	Grants & Aid	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Police/Court Building Improvements (in design)	Urgent	\$ 2,400,000	\$ -	\$ -	\$ -	\$ -	\$ 2,400,000	\$ 2,400,000
	General Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Grants & Aid	\$ 200,000	\$ -	\$ -	\$ -	\$ -	\$ 200,000	\$ 200,000
	Debt	\$ 2,200,000	\$ -	\$ -	\$ -	\$ -	\$ 2,200,000	\$ 2,200,000
Police Department Building Upgrades	Moderate	\$ -	\$ 125,000	\$ -	\$ -	\$ -	\$ 125,000	\$ 125,000
	General Revenues	\$ -	\$ 125,000	\$ -	\$ -	\$ -	\$ 125,000	\$ 125,000
	Grants & Aid	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Police Department Evidence Room	High	\$ -	\$ 75,000	\$ -	\$ -	\$ -	\$ 75,000	\$ 75,000
	General Revenues	\$ -	\$ 75,000	\$ -	\$ -	\$ -	\$ 75,000	\$ 75,000
	Grants & Aid	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Police Storage Building At Disbrow Park - Roof Replacement	High	\$ -	\$ -	\$ -	\$ 120,000	\$ -	\$ 120,000	\$ 120,000
	General Revenues	\$ -	\$ -	\$ -	\$ 120,000	\$ -	\$ 120,000	\$ 120,000
	Grants & Aid	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
City Hall Improvements	High	\$ 700,000	\$ 300,000	\$ -	\$ -	\$ -	\$ 1,000,000	\$ 1,000,000
	General Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Grants & Aid	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt	\$ 700,000	\$ 300,000	\$ -	\$ -	\$ -	\$ 1,000,000	\$ 1,000,000
City Hall TV Studio	Low	\$ -	\$ -	\$ -	\$ -	\$ 850,000	\$ 850,000	\$ 850,000
	General Revenues	\$ -	\$ -	\$ -	\$ -	\$ 200,000	\$ 200,000	\$ 200,000
	Grants & Aid	\$ -	\$ -	\$ -	\$ -	\$ 650,000	\$ 650,000	\$ 650,000
	Debt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
DPW Salt Shed Replacement	High	\$ -	\$ -	\$ 500,000	\$ -	\$ -	\$ 500,000	\$ 500,000
	General Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Grants & Aid	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt	\$ -	\$ -	\$ 500,000	\$ -	\$ -	\$ 500,000	\$ 500,000
DPW Fuel Tank Replacement	High	\$ 250,000	\$ -	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000
	General Revenues	\$ 250,000	\$ -	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000
	Grants & Aid	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Debt	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - BUILDING PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
DPW Garage Replacement	High	\$ -	\$ -	\$ 2,000,000	\$ -	\$ -	\$ 2,000,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ 2,000,000	\$ -	\$ -	\$ 2,000,000
DPW New Garage Building	High	\$ -	\$ -	\$ 2,000,000	\$ -	\$ -	\$ 2,000,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ 2,000,000	\$ -	\$ -	\$ 2,000,000
Firehouse Improvements	Low	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ 100,000
	<i>General Revenues</i>	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ 100,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Subtotal Building	\$ 3,400,000	\$ 600,000	\$ 4,600,000	\$ 170,000	\$ 900,000	\$ 9,670,000
	<i>General Revenues</i>	\$ 300,000	\$ 300,000	\$ 100,000	\$ 170,000	\$ 250,000	\$ 1,120,000
	<i>Grants & Aid</i>	\$ 200,000	\$ -	\$ -	\$ -	\$ 650,000	\$ 850,000
	<i>Debt</i>	\$ 2,900,000	\$ 300,000	\$ 4,500,000	\$ -	\$ -	\$ 7,700,000

Capital Improvement Plan (CIP): 2018-2022 - DRAINAGE/FLOODING PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
DRAINAGE/FLOODING PROJECTS							
Annual Drainage Improvement Program	High	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 250,000
	<i>General Revenues</i>	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 250,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Bowman Avenue Dam Sluice Gate Modifications	Moderate	\$ 100,000	\$ 150,000	\$ -	\$ -	\$ -	\$ 250,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ 100,000	\$ 150,000	\$ -	\$ -	\$ -	\$ 250,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Detention Ponds at SUNY Purchase Campus	Moderate	\$ -	\$ 100,000	\$ 900,000	\$ -	\$ -	\$ 1,000,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ -	\$ 100,000	\$ 900,000	\$ -	\$ -	\$ 1,000,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Bowman Avenue Dam Upper Pond Resizing	Moderate	\$ -	\$ -	\$ -	\$ -	\$ 8,500,000	\$ 8,500,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ 8,500,000	\$ 8,500,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Milton Road Drain	Moderate	\$ 100,000	\$ 150,000	\$ -	\$ -	\$ -	\$ 250,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ 100,000	\$ 150,000	\$ -	\$ -	\$ -	\$ 250,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Forest to Stonycrest Road Drain	Low	\$ -	\$ -	\$ -	\$ 35,000	\$ 350,000	\$ 385,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ 35,000	\$ -	\$ 35,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ 350,000	\$ 350,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Red Maple Swamp Study	Moderate	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
	<i>General Revenues</i>	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Colby Avenue Drainage	Low	\$ -	\$ -	\$ -	\$ -	\$ 120,000	\$ 120,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ 120,000	\$ 120,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ellsworth Street Drainage	Low	\$ -	\$ -	\$ -	\$ -	\$ 90,000	\$ 90,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ 90,000	\$ 90,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - DRAINAGE/FLOODING PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
Martin Road Drainage	Low	\$ -	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ 50,000	\$ -	\$ 50,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Nature Center Stream Bank Restoration	Moderate	\$ -	\$ -	\$ 50,000	\$ 100,000	\$ -	\$ 150,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ -	\$ -	\$ 50,000	\$ 100,000	\$ -	\$ 150,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Subtotal Drainage	\$ 250,000	\$ 475,000	\$ 1,000,000	\$ 235,000	\$ 9,110,000	\$ 11,070,000
	<i>General Revenues</i>	\$ 50,000	\$ 75,000	\$ 50,000	\$ 135,000	\$ 260,000	\$ 570,000
	<i>Grants & Aid</i>	\$ 200,000	\$ 400,000	\$ 950,000	\$ 100,000	\$ 8,850,000	\$ 10,500,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - SEWER PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
SEWER PROJECTS							
Annual Sewer Improvement Program	High	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 250,000
	<i>General Revenues</i>	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ 250,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Stuyvesant Ave. Pump Station Pump Replacement	Moderate	\$ -	\$ 150,000	\$ -	\$ -	\$ 120,000	\$ 270,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ 120,000	\$ 120,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ 150,000	\$ -	\$ -	\$ -	\$ 150,000
Locust Avenue Sewer Siphon Replacement	Urgent	\$ 400,000	\$ -	\$ -	\$ -	\$ -	\$ 400,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ 400,000	\$ -	\$ -	\$ -	\$ -	\$ 400,000
Pump Station Automation (SCADA System)	High	\$ 30,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ -	\$ 210,000
	<i>General Revenues</i>	\$ 30,000	\$ 60,000	\$ 60,000	\$ 60,000	\$ -	\$ 210,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Sanitary Sewer Evaluation Survey Implementation	Urgent	\$ 500,000	\$ 500,000	\$ 500,000	\$ 500,000	\$ 500,000	\$ 2,500,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 1,250,000
	<i>Debt</i>	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 1,250,000
	Subtotal Sewer	\$ 980,000	\$ 760,000	\$ 610,000	\$ 610,000	\$ 670,000	\$ 3,630,000
	<i>General Revenues</i>	\$ 80,000	\$ 110,000	\$ 110,000	\$ 110,000	\$ 170,000	\$ 580,000
	<i>Grants & Aid</i>	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 1,250,000
	<i>Debt</i>	\$ 650,000	\$ 400,000	\$ 250,000	\$ 250,000	\$ 250,000	\$ 1,800,000

Capital Improvement Plan (CIP): 2018-2022 - TRANSPORTATION PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
TRANSPORTATION PROJECTS							
Annual Pedestrian Improvement Program	High	\$ 80,000	\$ 85,000	\$ 90,000	\$ 95,000	\$ 100,000	\$ 450,000
<i>General Revenues</i>		\$ 80,000	\$ 85,000	\$ 90,000	\$ 95,000	\$ 100,000	\$ 450,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Annual Street Improvement Program	High	\$ 1,344,000	\$ 1,344,000	\$ 1,344,000	\$ 1,344,000	\$ 1,344,000	\$ 6,720,000
<i>General Revenues</i>		\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ 1,000,000	\$ 5,000,000
<i>Grants & Aid</i>		\$ 344,000	\$ 344,000	\$ 344,000	\$ 344,000	\$ 344,000	\$ 1,720,000
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Annual Traffic Signal and Safety Program	High	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 500,000
<i>General Revenues</i>		\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 500,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Boston Post Road Retaining Wall	Urgent	\$ 300,000	\$ -	\$ -	\$ -	\$ -	\$ 300,000
<i>General Revenues</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Grants & Aid</i>		\$ 300,000	\$ -	\$ -	\$ -	\$ -	\$ 300,000
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Theodore Fremd/Blind Brook Retaining Wall	Urgent	\$ -	\$ 1,400,000	\$ -	\$ -	\$ -	\$ 1,400,000
<i>General Revenues</i>		\$ -	\$ 100,000	\$ -	\$ -	\$ -	\$ 100,000
<i>Grants & Aid</i>		\$ -	\$ 1,300,000	\$ -	\$ -	\$ -	\$ 1,300,000
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Purchase Street Roundabout	Low	\$ -	\$ -	\$ -	\$ -	\$ 500,000	\$ 500,000
<i>General Revenues</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ 500,000	\$ 500,000
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CBD - Purchase Street Reconstruction	Moderate	\$ -	\$ 50,000	\$ 800,000	\$ -	\$ -	\$ 850,000
<i>General Revenues</i>		\$ -	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ 800,000	\$ -	\$ -	\$ 800,000
Purchase/Fremd & Purdy Intersection Improvement	High	\$ 600,000	\$ -	\$ -	\$ -	\$ -	\$ 600,000
<i>General Revenues</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ 600,000	\$ -	\$ -	\$ -	\$ -	\$ 600,000
Locust Avenue Bridge	Moderate	\$ -	\$ 1,710,000	\$ -	\$ -	\$ -	\$ 1,710,000
<i>General Revenues</i>		\$ -	\$ 10,000	\$ -	\$ -	\$ -	\$ 10,000
<i>Grants & Aid</i>		\$ -	\$ 1,700,000	\$ -	\$ -	\$ -	\$ 1,700,000
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - TRANSPORTATION PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
Orchard Avenue Bridge Rehabilitation	Moderate	\$ -	\$ 200,000	\$ -	\$ -	\$ -	\$ 200,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ -	\$ 200,000	\$ -	\$ -	\$ -	\$ 200,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
MTA Parking Lot Improvements	Moderate	\$ -	\$ 350,000	\$ 3,000,000	\$ -	\$ -	\$ 3,350,000
	<i>General Revenues</i>	\$ -	\$ 350,000	\$ 3,000,000	\$ -	\$ -	\$ 3,350,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
School/Purdy Parking Lot (Car Park 5)	High	\$ -	\$ -	\$ -	\$ 650,000	\$ -	\$ 650,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ 650,000	\$ -	\$ 650,000
Police Department Parking Lot	Moderate	\$ -	\$ 75,000	\$ -	\$ -	\$ -	\$ 75,000
	<i>General Revenues</i>	\$ -	\$ 75,000	\$ -	\$ -	\$ -	\$ 75,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Forest Avenue Pedestrian and Road Improvements	High	\$ 150,000	\$ 2,450,000	\$ -	\$ -	\$ -	\$ 2,600,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ 150,000	\$ -	\$ -	\$ -	\$ -	\$ 150,000
	<i>Debt</i>	\$ -	\$ 2,450,000	\$ -	\$ -	\$ -	\$ 2,450,000
5 Points Intersection Improvements	Moderate	\$ 250,000	\$ -	\$ -	\$ -	\$ -	\$ 250,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ 250,000	\$ -	\$ -	\$ -	\$ -	\$ 250,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Osborn Road Area Study & Improvements	Moderate	\$ 150,000	\$ -	\$ -	\$ -	\$ -	\$ 150,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ 150,000	\$ -	\$ -	\$ -	\$ -	\$ 150,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Stuyvesant Avenue Pedestrian Improvements	Moderate	\$ -	\$ -	\$ 50,000	\$ 700,000	\$ -	\$ 750,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Grants & Aid</i>	\$ -	\$ -	\$ 50,000	\$ 700,000	\$ -	\$ 750,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Boston Post Road/Parsons Street/Nature Center Roundabout	Moderate	\$ -	\$ 100,000	\$ 1,300,000	\$ -	\$ -	\$ 1,400,000
	<i>General Revenues</i>	\$ -	\$ 100,000	\$ -	\$ -	\$ -	\$ 100,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ 1,300,000	\$ -	\$ -	\$ 1,300,000

Capital Improvement Plan (CIP): 2018-2022 - TRANSPORTATION PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
Boston Post Road/Old Post Road Traffic Signal Replacement	Moderate	\$ -	\$ -	\$ 20,000	\$ 180,000	\$ -	\$ 200,000
<i>General Revenues</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Grants & Aid</i>		\$ -	\$ -	\$ 20,000	\$ 180,000	\$ -	\$ 200,000
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CBD - Car Park Improvement & Deck Feasibility Study	High	\$ 75,000	\$ -	\$ -	\$ -	\$ -	\$ 75,000
<i>General Revenues</i>		\$ 75,000	\$ -	\$ -	\$ -	\$ -	\$ 75,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Subtotal Transportation		\$ 3,049,000	\$ 7,864,000	\$ 6,704,000	\$ 3,069,000	\$ 2,044,000	\$ 22,730,000
<i>General Revenues</i>		\$ 1,255,000	\$ 1,870,000	\$ 4,190,000	\$ 1,195,000	\$ 1,200,000	\$ 9,710,000
<i>Grants & Aid</i>		\$ 1,194,000	\$ 3,544,000	\$ 414,000	\$ 1,224,000	\$ 844,000	\$ 7,220,000
<i>Debt</i>		\$ 600,000	\$ 2,450,000	\$ 2,100,000	\$ 650,000	\$ -	\$ 5,800,000

Capital Improvement Plan (CIP): 2018-2022 - RECREATION PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
RECREATION/ENTERPRISE FUND PROJECTS							
Damiano Center HVAC	Urgent	\$ 40,000	\$ -	\$ -	\$ -	\$ -	\$ 40,000
	<i>General Revenues</i>	\$ 40,000	\$ -	\$ -	\$ -	\$ -	\$ 40,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Gagliardo Park Improvements	Moderate	\$ 175,000	\$ -	\$ -	\$ -	\$ -	\$ 175,000
	<i>General Revenues</i>	\$ 175,000	\$ -	\$ -	\$ -	\$ -	\$ 175,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Tennis Court Lighting Improvement	Moderate	\$ -	\$ 160,000	\$ 160,000	\$ 120,000	\$ -	\$ 440,000
	<i>General Revenues</i>	\$ -	\$ 160,000	\$ 160,000	\$ 120,000	\$ -	\$ 440,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Nursery Field Rehabilitation and Drainage	High	\$ 450,000	\$ -	\$ -	\$ -	\$ -	\$ 450,000
	<i>General Revenues</i>	\$ 450,000	\$ -	\$ -	\$ -	\$ -	\$ 450,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Upper Picnic Shelter Replacement	High	\$ -	\$ -	\$ 90,000	\$ -	\$ -	\$ 90,000
	<i>General Revenues</i>	\$ -	\$ -	\$ 90,000	\$ -	\$ -	\$ 90,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Recreation Park Landscape and Signage Improvements	Low	\$ -	\$ -	\$ -	\$ -	\$ 19,500	\$ 19,500
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ 19,500	\$ 19,500
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Expand Maintenance Garage	Moderate	\$ -	\$ -	\$ -	\$ 150,000	\$ -	\$ 150,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ 150,000	\$ -	\$ 150,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Recreation Park Tennis Court Replacement	High	\$ 180,000	\$ -	\$ -	\$ -	\$ -	\$ 180,000
	<i>General Revenues</i>	\$ 180,000	\$ -	\$ -	\$ -	\$ -	\$ 180,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - RECREATION PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
Rec Patio Replacement/Repair	Moderate	\$ -	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000
	<i>General Revenues</i>	\$ -	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Rec Parking Lot and Service Road Resurfacing	High	\$ 45,000	\$ -	\$ -	\$ -	\$ -	\$ 45,000
	<i>General Revenues</i>	\$ 45,000	\$ -	\$ -	\$ -	\$ -	\$ 45,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Handicapped Accessible Playground	High	\$ 200,000	\$ -	\$ -	\$ -	\$ -	\$ 200,000
	<i>General Revenues</i>	\$ 200,000	\$ -	\$ -	\$ -	\$ -	\$ 200,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Damiano Roof Replacement	Moderate	\$ -	\$ 20,000	\$ -	\$ -	\$ -	\$ 20,000
	<i>General Revenues</i>	\$ -	\$ 20,000	\$ -	\$ -	\$ -	\$ 20,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Damiano Floor Replacement	Moderate	\$ -	\$ -	\$ -	\$ 25,000	\$ -	\$ 25,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ 25,000	\$ -	\$ 25,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Recreation Building Painting	Low	\$ -	\$ -	\$ -	\$ 20,000	\$ -	\$ 20,000
	<i>General Revenues</i>	\$ -	\$ -	\$ -	\$ 20,000	\$ -	\$ 20,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Recreation Basketball Repainting	Moderate	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ 20,000
	<i>General Revenues</i>	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ 20,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Recreation Electrical Improvement	Moderate	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
	<i>General Revenues</i>	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - RECREATION PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
Recreation Security System Upgrade	Moderate	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
	<i>General Revenues</i>	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Recreation Irrigation Upgrade	Moderate	\$ -	\$ 28,000	\$ -	\$ -	\$ -	\$ 28,000
	<i>General Revenues</i>	\$ -	\$ 28,000	\$ -	\$ -	\$ -	\$ 28,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Subtotal Recreation	\$ 1,110,000	\$ 308,000	\$ 250,000	\$ 315,000	\$ 19,500	\$ 2,002,500
	<i>General Revenues</i>	\$ 1,110,000	\$ 308,000	\$ 250,000	\$ 315,000	\$ 19,500	\$ 2,002,500
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - RYE GOLF ENTERPRISE FUND PROJECTS
 Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
RYE GOLF ENTERPRISE FUND PROJECTS							
RGC Whitby Castle Window Project	Moderate	\$ -	\$ 675,000	\$ -	\$ -	\$ -	\$ 675,000
<i>Enterprise Reserve Fund</i>		\$ -	\$ 675,000	\$ -	\$ -	\$ -	\$ 675,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC Whitby Castle Basement Support	Moderate	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ 25,000
<i>Enterprise Reserve Fund</i>		\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ 25,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC Course Signage	Moderate	\$ 15,000	\$ -	\$ -	\$ -	\$ -	\$ 15,000
<i>Enterprise Reserve Fund</i>		\$ 15,000	\$ -	\$ -	\$ -	\$ -	\$ 15,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC Greens Drainage & Expansion	Moderate	\$ 150,000	\$ 250,000	\$ 250,000	\$ -	\$ -	\$ 650,000
<i>Enterprise Reserve Fund</i>		\$ 150,000	\$ 250,000	\$ 250,000	\$ -	\$ -	\$ 650,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC Half Way House Master & 1st Tee Planning	Moderate	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ 20,000
<i>Enterprise Reserve Fund</i>		\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ 20,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC/Whitby HVAC Repair/Replacement	Moderate	\$ 5,000	\$ 30,000	\$ 10,000	\$ 5,000	\$ 5,000	\$ 55,000
<i>Enterprise Reserve Fund</i>		\$ 5,000	\$ 30,000	\$ 10,000	\$ 5,000	\$ 5,000	\$ 55,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC Parking Lot Treatment	Moderate	\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ 30,000
<i>Enterprise Reserve Fund</i>		\$ 30,000	\$ -	\$ -	\$ -	\$ -	\$ 30,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC Pool Mechanical Repair & Replacement	Moderate	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 50,000
<i>Enterprise Reserve Fund</i>		\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 50,000
<i>Grants & Aid</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<i>Debt</i>		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - RYE GOLF ENTERPRISE FUND PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
RGC Pool Resurfacing	Moderate	\$ 700,000	\$ -	\$ -	\$ -	\$ -	\$ 700,000
	<i>Enterprise Reserve Fund</i>	\$ 700,000	\$ -	\$ -	\$ -	\$ -	\$ 700,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC Course Irrigation Improvement Study	High	\$ 40,000	\$ 375,000	\$ 2,200,000	\$ -	\$ -	\$ 2,615,000
	<i>Enterprise Reserve Fund</i>	\$ 40,000	\$ 375,000	\$ 2,200,000	\$ -	\$ -	\$ 2,615,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC Tree Management	Moderate	\$ 105,000	\$ 100,000	\$ -	\$ -	\$ -	\$ 205,000
	<i>Enterprise Reserve Fund</i>	\$ 105,000	\$ 100,000	\$ -	\$ -	\$ -	\$ 205,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
RGC Whitby Castle Frames & Shutters	Moderate	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ 25,000
	<i>Enterprise Reserve Fund</i>	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ 25,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Golf Course Landscape Improvements	Moderate	\$ 40,000	\$ -	\$ -	\$ -	\$ -	\$ 40,000
	<i>Enterprise Reserve Fund</i>	\$ 40,000	\$ -	\$ -	\$ -	\$ -	\$ 40,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Subtotal Rye Golf	\$ 1,165,000	\$ 1,440,000	\$ 2,470,000	\$ 15,000	\$ 15,000	\$ 5,105,000
	<i>Enterprise Reserve Fund</i>	\$ 1,165,000	\$ 1,440,000	\$ 2,470,000	\$ 15,000	\$ 15,000	\$ 5,105,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - BOAT BASIN ENTERPRISE FUND PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
BOAT BASIN ENTERPRISE FUND PROJECTS							
Boat Basin - Milton Harbor Dredging	High	\$ 1,000,000	\$ -	\$ -	\$ -	\$ 1,000,000	\$ 2,000,000
	<i>Boat Basin Revenues</i>	\$ 1,000,000	\$ -	\$ -	\$ -	\$ 1,000,000	\$ 2,000,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Boat Basin - Generator	High	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
	<i>Boat Basin Revenues</i>	\$ -	\$ 25,000	\$ -	\$ -	\$ -	\$ 25,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Boat Basin Work Shed	Moderate	\$ -	\$ -	\$ -	\$ -	\$ 30,000	\$ 30,000
	<i>Boat Basin Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ 30,000	\$ 30,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Boat Basin Dam Water Supply	Low	\$ -	\$ -	\$ -	\$ -	\$ 21,500	\$ 21,500
	<i>Boat Basin Revenues</i>	\$ -	\$ -	\$ -	\$ -	\$ 21,500	\$ 21,500
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022 - BOAT BASIN ENTERPRISE FUND PROJECTS
Project Funding Requirements

Capital Project Name	Priority	Funding Requirements					Total Required
		2018	2019	2020	2021	2022+	
Boat Basin Parking Lot	Low	\$ -	\$ 42,500	\$ -	\$ -	\$ -	\$ 42,500
	<i>Boat Basin Revenues</i>	\$ -	\$ 42,500	\$ -	\$ -	\$ -	\$ 42,500
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Boat Basin Float Replacement	Moderate	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ -	\$ 200,000
	<i>Boat Basin Revenues</i>	\$ 50,000	\$ 50,000	\$ 50,000	\$ 50,000	\$ -	\$ 200,000
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Boat Basin Main Gazebo Repair or Replacement	Moderate	\$ -	\$ 68,500	\$ -	\$ -	\$ -	\$ 68,500
	<i>Boat Basin Revenues</i>	\$ -	\$ 68,500	\$ -	\$ -	\$ -	\$ 68,500
	<i>Grants & Aid</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Boat Basin Launch Ramp Extension	High	\$ -	\$ 120,000	\$ -	\$ -	\$ -	\$ 120,000
	<i>Boat Basin Revenues</i>	\$ -	\$ 20,000	\$ -	\$ -	\$ -	\$ 20,000
	<i>Grants & Aid</i>	\$ -	\$ 100,000	\$ -	\$ -	\$ -	\$ 100,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	Subtotal Boat Basin	\$ 1,050,000	\$ 306,000	\$ 50,000	\$ 50,000	\$ 1,051,500	\$ 2,507,500
	<i>Enterprise Reserve Fund</i>	\$ 1,050,000	\$ 206,000	\$ 50,000	\$ 50,000	\$ 1,051,500	\$ 2,407,500
	<i>Grants & Aid</i>	\$ -	\$ 100,000	\$ -	\$ -	\$ -	\$ 100,000
	<i>Debt</i>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Capital Improvement Plan (CIP): 2018-2022
Vehicles and Equipment Funding Requirments and Sources

Requirements	2018	2019	2020	2021	2022+	Total Required	Revenues Fund Balance	Funding Sources			
								Debt	Grants & Aid	Total Sources	
Police Vehicles Mobile Video Recorders & Body Cameras	\$ -	\$ 125,000	\$ -	\$ -	\$ -	\$ -	\$ 125,000	\$ 125,000	-	-	\$ 125,000
Police Vehicles	\$ 180,000	\$ 135,000	\$ 135,000	\$ 135,000	\$ -	\$ 585,000	\$ 585,000	-	-	\$ 585,000	
Police Portable Radio Upgrades	\$ 100,000	\$ -	\$ -	\$ -	\$ -	\$ 100,000	\$ 100,000	-	-	\$ 100,000	
DPW Truck 5	\$ -	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000	\$ 250,000	-	-	\$ 250,000	
DPW Truck 2	\$ -	\$ 65,000	\$ -	\$ -	\$ -	\$ 65,000	\$ 65,000	-	-	\$ 65,000	
DPW Truck 11	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	-	\$ -	
DPW Truck 13	\$ -	\$ -	\$ -	\$ 65,000	\$ -	\$ 65,000	\$ 65,000	-	-	\$ 65,000	
DPW Chipper	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	-	-	\$ -	
DPW Truck 26	\$ -	\$ -	\$ -	\$ 250,000	\$ -	\$ 250,000	\$ 250,000	-	-	\$ 250,000	
DPW Truck 24	\$ -	\$ -	\$ -	\$ 40,000	\$ -	\$ 40,000	\$ 40,000	-	-	\$ 40,000	
DPW Truck 18 Salter	\$ 20,000	\$ -	\$ -	\$ -	\$ 250,000	\$ 270,000	\$ 270,000	-	-	\$ 270,000	
DPW Truck 1	\$ -	\$ -	\$ 65,000	\$ -	\$ -	\$ 65,000	\$ 65,000	-	-	\$ 65,000	
DPW Truck 21	\$ 250,000	\$ -	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000	-	-	\$ 250,000	
DPW Sweeper 1	\$ -	\$ 225,000	\$ -	\$ -	\$ -	\$ 225,000	\$ 225,000	-	-	\$ 225,000	
DPW R3 Salter Conversion	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ 25,000	\$ 25,000	-	-	\$ 25,000	
DPW Truck 15	\$ -	\$ -	\$ 65,000	\$ -	\$ -	\$ 65,000	\$ 65,000	-	-	\$ 65,000	
DPW Garbage Trucks	\$ 1,600,000	\$ -	\$ -	\$ -	\$ -	\$ 1,600,000	\$ -	1,600,000	-	\$ 1,600,000	
DPW Truck 25	\$ -	\$ -	\$ -	\$ 65,000	\$ -	\$ 65,000	\$ 65,000	-	-	\$ 65,000	
DPW Truck 20	\$ -	\$ 65,000	\$ -	\$ -	\$ -	\$ 65,000	\$ 65,000	-	-	\$ 65,000	
DPW Truck 4	\$ -	\$ -	\$ 250,000	\$ -	\$ -	\$ 250,000	\$ 250,000	-	-	\$ 250,000	
DPW Truck 9	\$ -	\$ -	\$ -	\$ 250,000	\$ -	\$ 250,000	\$ 250,000	-	-	\$ 250,000	
DPW Backhoe	\$ -	\$ -	\$ 100,000	\$ -	\$ -	\$ 100,000	\$ 100,000	-	-	\$ 100,000	
FIRE Boat	\$ -	\$ 250,000	\$ -	\$ -	\$ -	\$ 250,000	\$ 250,000	-	-	\$ 250,000	
FIRE Command Vehicles	\$ 60,000	\$ -	\$ -	\$ 75,000	\$ -	\$ 135,000	\$ 135,000	-	-	\$ 135,000	
FIRE Engine No. 191	\$ -	\$ 645,000	\$ -	\$ -	\$ -	\$ 645,000	\$ -	645,000	-	\$ 645,000	
REC Aeravator with Speeder	\$ 18,000	\$ -	\$ -	\$ -	\$ -	\$ 18,000	\$ 18,000	-	-	\$ 18,000	
REC Turf Sweeper	\$ -	\$ 16,000	\$ -	\$ -	\$ -	\$ 16,000	\$ 16,000	-	-	\$ 16,000	
REC 20' Trailer	\$ -	\$ -	\$ 6,000	\$ -	\$ -	\$ 6,000	\$ 6,000	-	-	\$ 6,000	
REC Leaf Vac	\$ -	\$ -	\$ 12,500	\$ -	\$ -	\$ 12,500	\$ 12,500	-	-	\$ 12,500	
REC Chevy Tahoe	\$ -	\$ -	\$ -	\$ 42,500	\$ -	\$ 42,500	\$ 42,500	-	-	\$ 42,500	
REC Field Van	\$ -	\$ -	\$ 35,000	\$ -	\$ -	\$ 35,000	\$ 35,000	-	-	\$ 35,000	
REC 10' Riding Mower	\$ 55,000	\$ -	\$ -	\$ -	\$ -	\$ 55,000	\$ 55,000	-	-	\$ 55,000	
REC Chevy 12-Passenger Van	\$ -	\$ -	\$ -	\$ -	\$ 39,000	\$ 39,000	\$ 39,000	-	-	\$ 39,000	
REC Tennis Roller	\$ -	\$ -	\$ 12,000	\$ -	\$ -	\$ 12,000	\$ 12,000	-	-	\$ 12,000	
REC Ford Pickup Truck	\$ -	\$ -	\$ -	\$ 37,500	\$ -	\$ 37,500	\$ 37,500	-	-	\$ 37,500	
REC John Deere 1445 w/ Attach.	\$ -	\$ 49,000	\$ -	\$ -	\$ -	\$ 49,000	\$ 49,000	-	-	\$ 49,000	
REC Toro 328D Riding Mower	\$ -	\$ -	\$ -	\$ 28,000	\$ -	\$ 28,000	\$ 28,000	-	-	\$ 28,000	
REC John Deere Bunker Rake	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000	\$ 25,000	-	-	\$ 25,000	
REC Case Front End Loader	\$ -	\$ -	\$ -	\$ -	\$ 50,000	\$ 50,000	\$ 50,000	-	-	\$ 50,000	
Digital Document Management	\$ 50,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 100,000	\$ 450,000	\$ 450,000	-	-	\$ 450,000	
Total Requirements	\$ 2,358,000	\$ 1,675,000	\$ 805,500	\$ 1,088,000	\$ 689,000	\$ 6,615,500	\$ 4,370,500	\$ 2,245,000	\$ -	\$ 6,615,500	

Section III:

Project Worksheets

Building Projects:

Project Name:	Annual Building Improvement Program
Project Type:	Building
Department:	Multi
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2022+

Project Description:

This annual program supports the on-going repair and maintenance needs required for City buildings.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$250,000
Construction Inspect./Other	\$0
Total	\$250,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenue:	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$250,000
Grants& Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Project Name:	Police/Court Building Improvements
Project Type:	Building
Department:	Police
Project Priority:	Urgent
Project Start Date:	2018
Project End Date:	2018

Project Description:

The Office of Court Administration (OCA) has identified needed upgrades to the Rye City Court. The existing Police Department lacks operational and security needs and will require mechanical upgrades in the future. The project includes construction of new secured sally port, elevator, interior stairwell, expanded court clerk facilities, judges’ chamber, court officer facilities and prisoner holding facility.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$2.2 M
Construction Inspect./Other	\$0
Total	\$2.2 M

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenue:	\$0	\$0	\$0	\$0	\$0	\$0
Grants & Aid:	\$200,000	\$0	\$0	\$0	\$0	\$200,000
Debt:	\$2.2 M	\$0	\$0	\$0	\$0	\$2.2 M

Project Need/Issues:

Upon finding that there are no suitable sites to accommodate a 25,000 to 30,000 square foot police/court facility that is viable to fund at estimated \$25M (*excluding* property acquisition), the City Council has authorized staff to initiate final design to renovate the existing Police/Court Building. Those renovations and improvements will address deficiencies identified by the Office of Court Administration (OCA) and Police Department. The project architect continues to work with OCA to fulfill their requirements and has developed updated preliminary construction costs. Those cost estimates have increased from previous estimates to approximately \$2.4 M. Staff is seeking to potentially secure grant funding or aid from OCA to help subsidize costs.



Project Name:	Police Department Building Upgrades
Project Type:	Building and Grounds
Department:	Police
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

Cosmetic improvements to the City of Rye Police Department Building.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$125,000
Construction Inspection	\$0
Total	\$0

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$125,000	\$0	\$0	\$0	\$50,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The police building is a dated and deteriorating facility that is used 24 hours a day, seven days a week. The building’s interior needs to be painted, secure access doors installed and carpeting needs to be replaced. Cabinets need to be replaced in the Communications and Report Room areas.

Operating Cost Considerations:

Minimal operating and maintenance costs will be incurred once the project is completed.

Project Name:	Police Department Evidence Room
Project Type:	Building
Department:	Police
Project Priority:	High
Project Start Date:	2019
Project End Date:	2019

Project Description:

Overhaul evidence rooms and upgrade systems.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$10,000
Construction	\$65,00
Construction Inspection	\$0
Total	\$75,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$75,000	\$0	\$0	\$0	\$75,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The current evidence holding system is overwhelmed by the extreme number of evidence files and physical evidence. Chain of evidence and organization is getting more difficult to maintain each year. The storage (including refrigeration) and tracking of evidence is a vital part to the successful prosecution of criminal cases. This much needed overhaul will reduce the possibility of losing criminal cases due to poor accounting/protection of evidence. During construction, all evidence must be securely removed from the evidence room and temporarily held in a secure storage unit that will be under camera surveillance. All evidence will be given a barcode to ensure proper storage and handling. A second evidence storage area is the "Patrol Drop Evidence Room." This is where members of the patrol division place evidence when there are no detectives on duty. It is vital that we store evidence in a safe environment while maintaining chain of evidence. The ventilation system needs to be upgraded and storage and shelving systems need to be added that meet or exceed DCJS standards.

Operating Cost Considerations:

Possible operating and maintenance costs should include the costs for a barcode system, a one-time cost. No other major costs are expected.

Project Name:	Police Storage Building At Disbrow Park - Roof Replacement
Project Type:	Building
Department:	Police
Project Priority:	High
Project Start Date:	2021
Project End Date:	2021

Project Description:

The police vehicles and equipment and impounded vehicles are kept in a building that has holes in the roof. This building was formerly used by DPW to house a trash compactor. Rain and snow are a problem. Birds and small animals are also using the facility as a nesting place, making it filthy and unhealthy. This project would replace the roof; however, the deteriorated building condition may require replacement, which would be a greater cost.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$120,000
Construction Inspect./Other	\$0
Total	\$120,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$120,000	\$0	\$120,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

This project will be deferred until the completion of the Disbrow Park Master Plan. As part of that plan there is the potential that some existing buildings at Disbrow Park may need to be relocated or expanded to better serve both need storage needs of Police and DPW and also to accommodate the recreational needs of the community. Included in that assessment will be the feasibility of potentially creating a new emergency command center during storm or civil emergencies.

Operating Cost Considerations:

Operating and maintenance costs would be minimal.

Project Name:	City Hall Improvements
Project Type:	Building
Department:	Public Works
Project Priority:	High
Project Start Date:	2018
Project End Date:	2019

Project Description:

Approximately \$700,000 of this project includes the replacement of the City’s HVAC system, which is many years past its useful life. The HVAC system is increasingly unreliable, energy inefficient and requires considerable maintenance and expense to maintain its operation. This project was formerly intended to be funded with a NYPA grant, however the project was deemed cost ineffective since the increased savings from energy efficiency would not cover the cost of implementation over the project life. This project also includes a second phase that consolidates former CIP projects to implement other miscellaneous improvements to the 50 year-old City Hall including ceiling, floor and other improvements.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$0
Construction Inspect./Other	\$0
Total	\$1,000,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt	\$700,000	\$300,000	\$0	\$0	\$0	\$1,000,000

Project Need/Issues:

The HVAC system is increasingly unreliable, energy inefficient and requires considerable maintenance and expense to maintain its operation.

Operating Cost Considerations:

Anticipate reduced maintenance and energy costs.

Project Name:	City Hall TV Studio
Project Type:	Building
Department:	Rye TV
Project Priority:	Low
Project Start Date:	2022
Project End Date:	2022

Project Description:

Construction of third floor studio space to include new control room, 3 camera studio, edit bays and engineering room.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$350,000
Video Equipment	\$470,000
Construction Inspection	\$30,000
Total	\$850,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$200,000	\$200,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$650,000	\$650,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Rough construction costs were submitted by Interior Alteration Inc and Equipment estimates by HB Communications. Architectural drawings were prepared by Crozier Gedney Architects, P.C. This project would provide the community with greater access to a studio space. RyeTV studio currently exists within Rye High School. It is only accessible by the public after 3pm each day. A new studio would allow more flexibility on time and show content. Having a community space in City Hall would reduce or eliminate demands for public access to the existing studio in Rye High School. This would reduce potential security concerns at the High School. A second studio would allow the City to separate the two user groups, as well as, provide an alternate space should it be needed in the future. Project funding is from a combination of franchise fees and equipment grant money from the cable companies accumulated over the last few years. It should cover costs of all video equipment.

Operating Cost Considerations:

Although there will be additional air conditioning requirements in the space, we expect to use LED lighting to keep electric costs lower. There will be some additional custodial support required.

Project Name:	DPW Salt Shed Replacement
Project Type:	Building
Department:	Public Works
Project Priority:	High
Project Start Date:	2020
Project End Date:	2020

Project Description:

The project involves the replacement of the existing salt shed, which is nearing the end of its useful life.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$500,000
Construction Inspect./Other	\$0
Total	\$500,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$500,000	\$0	\$0	\$500,000

Project Need/Issues:

The salt shed size is inefficient for the storage of salt to last a complete snow season, which can be problematic in the event of salt shortages in high demand periods. The project needs to coordinate with potential recreation improvements considered as part of the Disbrow Park Master Plan. There is potential to relocate this facility to accommodate recreation demands and the needs of DPW.

Operating Cost Considerations:

Reduced costs to maintain building.

Project Name:	DPW Fuel Tank Replacement
Project Type:	Building
Department:	Public Works
Project Priority:	High
Project Start Date:	2018
Project End Date:	2018

Project Description:

The project calls for the removal of the underground fuel tanks at the DPW fueling depot and their replacement with above-ground tanks.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$25,000
Construction	\$225,000
Construction Inspect./Other	\$0
Total	\$250,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$250,000	\$0	\$0	\$0	\$0	\$250,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Remove the underground gas and diesel tanks and replace them with above-ground tanks. The present fuel depot at Disbrow Park has two 4,000-gallon underground tanks. These tanks must be tested annually for leaks and, if leaks are detected, repairs are difficult and expensive.

Operating Cost Considerations:

If the tanks develop leaks, the City could incur considerable expense in cleanup costs and potential fines. The tanks were last repaired in 2004 and are manually inspected and tested.

Project Name:	DPW Garage Replacement
Project Type:	Building
Department:	Public Works
Project Priority:	High
Project Start Date:	2020
Project End Date:	2020

Project Description:

Replacement of DPW Garage Building 2 in Disbrow Park.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$2.0 M
Construction Inspect./Other	\$0
Total	\$2.0 M

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$2 M	\$0	\$0	\$2 M

Project Need/Issues:

This project previously involved only the replacement of the DPW Garage roof which has several leaks. The roof leaks have deteriorated the exterior brickwork and interior wiring and provide inadequate protection of vehicles from the elements. Based on preliminary analysis from the on-going Disbrow Park Master Plan it appears that the condition of the building is worse than previous assessments and needs to be replaced.

Operating Cost Considerations:

The building is used primarily for storage of the City’s heavy duty trucks and large pieces of equipment – all of which are expensive and must be housed indoors to prevent weather deterioration and vandalism.



Project Name:	DPW New Garage
Project Type:	Building
Department:	Public Works
Project Priority:	High
Project Start Date:	2020
Project End Date:	2020

Project Description:

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$2 M
Construction Inspect./Other	\$0
Total	\$2 M

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$2 M	\$0	\$0	\$2 M

Project Need/Issues:

Operating Cost Considerations:

Project Name:	Firehouse Improvements
Project Type:	Building
Department:	Public Works
Project Priority:	Low
Project Start Date:	2019
Project End Date:	2020

Project Description:

This project calls for the repainting of public areas of both Rye firehouses.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$100,000
Construction Inspect./Other	\$0
Total	\$100,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$50,000	\$50,000	\$0	\$0	\$100,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

By the year 2020, both firehouses will show deterioration to the paint in public areas of the facilities. If the use of Zolotone-brand paint is required, the price will increase by at least \$20,000 for each building.

Operating Cost Considerations:

No change in operating costs is anticipated.



Drainage/ Flooding Projects:

Project Name:	Annual Drainage Improvement Program
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	High
Project Start Date:	2018
Project End Date:	2022+

Project Description:

This is an annual program to cover the cost of relatively small or unforeseen miscellaneous drainage improvements that may be required in a year. These funds are used to support the City’s nearly 30 miles of drain lines and 1,400 catch basins.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$250,000
Construction Inspect./Other	\$0
Total	\$250,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$250,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

This funding source can be used to address smaller drainage improvements before they become larger and more expensive infrastructure challenges.

Operating Cost Considerations:

Reduces long term operating costs with improved maintenance and repair.

Project Name:	Bowman Avenue Dam Sluice Gate Modifications
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2019

Project Description:

This project consists of modifications to the operation of the sluice gate at Bowman Avenue Dam, including the installation of additional stream gauges on Blind Brook to provide real-time water surface elevation readings during storm events, which will allow for improved optimization of the existing sluice operation. This has the potential to reduce the extent of damages sustained as a result of flooding from storm events, particularly when coupled with the expansion of the Upper Pond behind the dam and the creation of stormwater ponds at SUNY Purchase.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$100,000
Construction	\$150,000
Construction Inspect./Other	\$0
Total	\$250,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$100,000	\$150,000	\$0	\$0	\$0	\$250,00
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The project benefits were identified in a comprehensive flood study of Blind Brook completed in 2014 and was considered a high priority project in the December 2014 NY Rising Community Reconstruction Plan. Although it would not eliminate flood exposure for all properties, it would result in a reduced depth of flooding for properties along the Brook north of I-95. Design and Implementation costs are currently expected to be funded from a New York Rising/CBDG-DR Grant.

Operating Cost Considerations:

No significant increases over the current operation of the sluice gate.

Project Name:	Bowman Avenue Dam Upper Pond Resizing
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2022+
Project End Date:	2022+

Project Description:

The Upper Pond at the Bowman Avenue Dam was originally created in the 1900s. Over time, the available storage volume of the pond has been reduced due to sedimentation and the accumulation of debris, with estimates that it is currently one-quarter of its original size. This project proposes to expand the storage capacity of the Upper Pond by excavating approximately 98,000 cubic yards of soil and 6,200 cubic yards of rock. This would create a larger retention pond with increased water storage capacity, which would serve to decrease the frequency and intensity of downstream flooding.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$1.0 M
Construction	\$7.5 M
Construction Inspect./Other	\$0
Total	\$8.5 M

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$0	\$0	\$8.5M	\$8.5M
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The project benefits were identified in a comprehensive flood study of Blind Brook completed in 2014 and was considered a high priority project in the December 2014 NY Rising Community Reconstruction Plan. Although it would not eliminate flood exposure for all properties, it would result in a reduced depth of flooding for properties along the Brook north of I-95. The project cost is high and permitting costs could be significant, considering the wetland disturbance that would be involved. Extensive rock excavation will necessitate geotechnical investigation and specialized design. There is no funding source identified for this project. Given the project cost use of debt or general revenue would be unlikely. Grant funding is assumed for this project.

Operating Cost Considerations:

Ongoing maintenance of the area behind the dam to preserve storage capacity.

Project Name:	Milton Road Drain
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2019

Project Description:

The project includes extending/replacing a new City drain line from Milton Road to Milton Harbor within the vicinity of Milton Harbor House.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$100,000
Construction	\$150,000
Construction Inspect./Other	\$0
Total	\$250,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$100,000	\$150,000	\$0	\$0	\$0	\$250,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The project was considered a high priority project in the December 2014 NY Rising Community Reconstruction Plan. Design and implementation costs are currently expected to be funded from a New York Rising/CBDG-DR Grant.

Operating Cost Considerations:

No significant operational cost increases are anticipated.

Project Name:	Forest Avenue to Stonycrest Road Drain
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	Low
Project Start Date:	2020
Project End Date:	2021

Project Description:

Replacement/relocation/modification of drain extending from Forest Avenue to outfall on Stonycrest Road. Project includes \$35,000 to fund engineering design/alternatives analysis (2019). Preliminary construction cost of \$350,000 will vary depending on final design (2020). Project must coordinate with Forest Avenue paving project.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$35,000
Construction	\$350,000
Construction Inspect./Other	\$0
Total	\$385,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$35,000	\$0	\$35,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$350,000	\$350,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Project would eliminate or reduce ponding on Forest Avenue, which has resulted in damage to adjacent properties and claims against the City. Existing drain line extends from catch basins at the Forest Ave./Boulder Rd. intersection through private properties to an outfall on Stonycrest. An alternative route for this pipe is being considered since there is no drainage easement through these private properties. There is considerable bedrock in the area which contributes to high construction costs. This project would need to coordinate with the Forest Avenue Pedestrian Improvement Study.

Operating Cost Considerations:

New drain line will increase maintenance costs and responsibilities, but reduce flooding damage to area properties during seasonal rain events.

Project Name:	Red Maple Swamp Study
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

This project will fund consulting engineering services to consider improvements to the Red Maple Swamp area that could address flooding/drainage concerns of area residents. That study will also include an appraisal to value five privately owned and undeveloped properties for possible acquisition by the City.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$25,000
Construction	\$0
Construction Inspect./Other	\$0
Total	\$25,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$25,000	\$0	\$0	\$0	\$25,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Preliminary analysis by the City Engineering Department suggests that the Red Maple Swamp, located between Intervale Place and Playland Parkway, may be a challenging location to provide cost-effective flood mitigation improvements; however, there may be some potential for modest drainage enhancements. Existing undeveloped private properties in the area should be acquired.

Operating Cost Considerations:

No change in operating costs is anticipated.

Project Name:	Colby Avenue Drainage
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	Low
Project Start Date:	2022
Project End Date:	2022

Project Description:

This project was first proposed in 2008-2013 CIP and includes replacement of existing undersized and improperly pitched pipe extending through yards on Colby Avenue. Replacement pipe will address flooding conditions in resident yards.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$120,000
Construction Inspect./Other	\$0
Total	\$120,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues	\$0	\$0	\$0	\$0	\$120,000	\$120,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Area residents desire a reduction in flooding; however improvements will require disturbance to private properties to replace an existing undersized pipe.

Operating Cost Considerations:

No significant operational cost increases are anticipated.

Project Name:	Ellsworth Street Drainage
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	Low
Project Start Date:	2022
Project End Date:	2022

Project Description:

This project involves the installation of a drain line and catch basins on Ellsworth Street.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$8,000
Construction	\$78,000
Construction Inspect./Other	\$4,000
Total	\$90,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues	\$0	\$0	\$0	\$0	\$90,000	\$90,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Currently, Ellsworth Street lacks any drainage system. Property owners discharge sump pumps and roof leaders to the street, creating an icing condition in winter months, in addition to complaints from other street residents. Project effectiveness requires further review, as the area is very flat and any discharge point in Blind Brook would be impacted by tidal conditions.

Operating Cost Considerations:

Increased maintenance costs associated with new drainage line and catch basins.



Project Name:	Martin Road Drainage
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	Low
Project Start Date:	2021
Project End Date:	2021

Project Description:

Replace a portion of existing City drain line extending from the end of Martin Road to pipe terminus.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$50,000
Construction Inspect./Other	\$0
Total	\$50,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$50,000	\$0	\$50,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Existing pipe is damaged and requires replacement. Project would require the removal of a significant mature tree at the end of Martin Road, but would improve the conveyance of stormwater runoff from the area and reduce flooding conditions on area roads and properties.

Operating Cost Considerations:

None.



Project Name:	Nature Center Streambank Restoration
Project Type:	Drainage/Flooding
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2020
Project End Date:	2021

Project Description:

The project would include the design, permitting and installation of stabilization measures to reduce erosion of the bank of Blind Brook near the Nature Center Bridge.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$50,000
Construction	\$100,000
Construction Inspect./Other	\$0
Total	\$150,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$50,000	\$100,000	\$0	\$150,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

This project would restore the existing streambank on Blind Brook and install measures to reduce future erosion. Loss of the streambank has increased in recent years due to major flood events. Protecting the streambank also protects the structural integrity of the Nature Center Bridge, which has been “flagged” by the NYSDOT since the bridge abutment has been undermined continuing erosion. This project must coordinate with Nature Center Bridge Repairs. Funding is expected from a State or County grant.

Operating Cost Considerations:

No additional costs are anticipated. Reducing streambank erosion could reduce maintenance and repair costs to the Nature Center Bridge.

Sewer Projects:

Project Name:	Annual Sewer Improvement Program
Project Type:	Sewer
Department:	Engineering
Project Priority:	High
Project Start Date:	2018
Project End Date:	2022

Project Description:

This is an annual program to cover the cost of relatively small or unforeseen miscellaneous sewer improvements that may be required in a year. These funds are used to support the City’s 53 miles of sewer lines, pump stations and other sewer infrastructure.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$250,000
Construction Inspect./Other	\$0
Total	\$250,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$250,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Increased funding is necessary to the City’s sewer system to maintain acceptable operational levels and compliance with increasingly demanding Federal, State and County environmental regulations and legal enforcement actions.

Operating Cost Considerations:

Improves compliance with environmental regulations and legal mandates thereby avoiding potential fines and costs of enforcement actions.

Project Name:	Stuyvesant Avenue Pump Station Pump Replacement
Project Type:	Sewer
Department:	Engineering
Project Priority:	High
Project Start Date:	2019
Project End Date:	2022

Project Description:

The project would replace pumps at Stuyvesant Avenue, as they are close to the end of their useful life and, upon failure, would require immediate contingency funding.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$270,000
Construction Inspect./Other	\$0
Total	\$270,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$120,000	\$120,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$150,000	\$0	\$0	\$0	\$150,000

Project Need/Issues:

Pumps are nearing the end of their useful life.

Operating Cost Considerations:

Operating costs would remain unchanged or be slightly lower with newer more reliable pumps.



Project Name:	Locust Avenue Sewer Siphon Replacement
Project Type:	Sewer
Department:	Engineering
Project Priority:	Urgent
Project Start Date:	2018
Project End Date:	2018

Project Description:

Abandon the “siphon” under the Locust Avenue bridge and construct a new sewer line with a more reliable, straight, gravity flow sewer line.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$75,000
Construction	\$325,000
Construction Inspect./Other	\$0
Total	\$400,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt (2012 Bond):	\$400,000	\$0	\$0	\$0	\$0	\$400,000

Project Need/Issues:

The existing sanitary sewer system serving the CBD includes a siphon located at the Locust Avenue bridge over Blind Brook, after which the 8” pipe divides into two 4” pipes to cross under the brook to a City manhole. This configuration results in frequent blockages in the line and necessitates regular cleaning to keep the sewer operational. Given that this segment of the sewer serves the CBD, it is imperative to find an alternative configuration that provides more reliable service to the CBD. This project was included in the 2012 Bond referendum and was preliminarily estimated to cost \$250,000. Soil borings, flow analysis and other design considerations completed in 2014-2015 revealed that the project cost will likely be closer to \$400,000 and land acquisition on private property is required to extend a sewer easement. Design alternatives were explored; however, they were deemed not less desirable due to higher implementation cost and other considerations.

Operating Cost Considerations:

This project would reduce current operating costs since it would eliminate routine maintenance and service calls associated with failing existing siphon.

Project Name:	Pump Station Automation (SCADA System)
Project Type:	Sewer
Department:	Engineering
Project Priority:	High
Project Start Date:	2018 (ongoing)
Project End Date:	2021

Project Description:

This project would incorporate a multi-year deployment of Supervisory Control and Data Acquisition (SCADA) systems at the City’s eight sewage pump stations.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$0
Construction Inspect./Other	\$0
Total	\$210,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

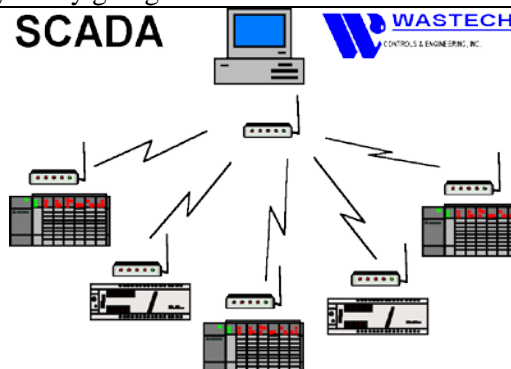
	2018	2019	2020	2021	2022+	Total
General Revenues:	\$30,000	\$60,000	\$60,000	\$60,000	\$0	\$210,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Project would allow City Staff to monitor and control the pump stations from any computer. In addition, the system would record inflow and outflow for optimization of the pump run times and power usage. This information can also be used to investigate inflow and infiltration (I&I) problems which require the stations to be equipped with larger pumps than otherwise needed. These larger pumps are more costly to replace and use more energy than smaller pumps. The City is incorporating this technology in the Hewlett Avenue Pump Station reconstruction which is currently being designed under an EPA grant.

Operating Cost Considerations:

Systems may require use of cellular data if existing radio transmission is not sufficient. Monthly charges may apply. System could reduce staff overtime if problems can be rectified remotely with use of computer access instead of physically going to the site.



Project Name:	Sanitary Sewer Evaluation Survey Implementation
Project Type:	Sewer
Department:	Engineering
Project Priority:	Urgent
Project Start Date:	2018
Project End Date:	2022

Project Description:

This is to cover the cost of anticipated, but yet undefined sewer improvements identified in the Sanitary Sewer Evaluation Survey (SSES) expected to be completed in 2017/2018.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$2,500,000
Construction Inspect./Other	\$0
Total	\$2,500,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$250,000	\$250,000	\$250,000	\$250,000	\$250,000	\$1,250,000
Debt:	\$250,000	\$250,000	\$250,000	\$250,000	\$250,000	\$1,250,000

Project Need/Issues:

In response to legal actions and regulatory mandates from the State and County the City has funded a SSES. Upon completion it is anticipated that the SSES will identify the need for the City to implement substantial sewer improvements. It is anticipated that potential half the cost of such improvements could be funded with grants and aid, but securing such funding is not guaranteed.

Operating Cost Considerations:

Reduced operating costs and improved compliance with legal actions and regulator mandates.

Transportation Projects:

Project Name:	Annual Pedestrian Improvement Program
Project Type:	Transportation
Department:	Engineering
Project Priority:	High
Project Start Date:	2018
Project End Date:	2022

Project Description:

Funds the replacement and repair of sidewalks that are the City’s responsibility (i.e., not funded by abutting private property owner). Program also includes funding for curbs to address erosion, roadway protection or drainage conditions.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$450,000
Construction Inspect./Other	\$0
Total	\$450,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$80,000	\$85,000	\$90,000	\$95,000	\$100,000	\$450,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

This program supports the maintenance of the City’s 38.68 miles of sidewalk. There has been increasing public demand to improve sidewalk conditions in the City, particularly around schools. The City will potentially fund sidewalk and crosswalk improvements as recommended by the Shared Roadways Committee June 2011 Report.

Operating Cost Considerations:

No additional costs are anticipated.



Project Name:	Annual Street Improvement Program
Project Type:	Transportation
Department:	Engineering
Project Priority:	High
Project Start Date:	2018
Project End Date:	2022

Project Description:

Resurfacing of City streets, curbs and other street-related infrastructure as determined by the City Engineer and the City's Pavement Management System (PMS).

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$6.72 M
Construction Inspect./Other	\$0
Total	\$6.72 M

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$1.0 M	\$1.0 M	\$1.0 M	\$1.0 M	\$1.0 M	\$5.0 M
Grants & Aid (CHIPS):	\$344,000	\$344,000	\$344,000	\$344,000	\$344,000	\$1.72 M
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The program supports the maintenance of the City's 51.63 miles of roadway. This CIP assumes State CHIPS funding at current levels through 2021. In 2015 the City significantly increased its paving budget from approximately \$150,000 to \$1.0 M. This increase was based on the updated PMS, which recommended increased funding for road maintenance.

Operating Cost Considerations:

No additional costs are anticipated.



Project Name:	Annual Traffic Signal and Safety Program
Project Type:	Transportation
Department:	Engineering
Project Priority:	High
Project Start Date:	2018
Project End Date:	2022

Project Description:

This program covers the cost of relatively small and unforeseen projects required to support the City’s traffic signals and traffic safety needs. Funding can also cover pedestrian related needs including crosswalk improvements and signalization.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$500,000
Construction Inspect./Other	\$0
Total	\$500,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$500,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The City seeks to take advantage of new technology as it repairs its existing traffic signal network. These improvements reduce vehicle delays and more efficiently manage traffic flow. The funding also covers the cost of other miscellaneous repairs required through the year.

Operating Cost Considerations:

None.

Project Name:	Boston Post Road Retaining Wall
Project Type:	Transportation
Department:	Engineering
Project Priority:	Urgent
Project Start Date:	2018
Project End Date:	2018

Project Description:

In November 2012 a bond referendum was approved by the Rye City voters to fund approximately \$300,000 to replace/repair a failing retaining wall on the east side of Boston Post Road near the Purdy Avenue intersection. Safety barriers have been installed and the sidewalk abutting the failing wall have been closed. Traffic and pedestrian safety improvements have been implemented. The City is currently evaluating the ownership and condition of the walls for possible repairs or replacement of the failing wall by the adjacent private property owners.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$50,000
Construction	\$250,000
Construction Inspection	\$0
Total	\$300,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Private Contribution:	\$300,000	\$0	\$0	\$0	\$0	\$300,000
Debt (2012 Bond):	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The City has completed preliminary wall design alternatives for public discussion. Over the next year the City needs to develop consensus of which design it prefers and at what cost. In addition, the City is conducting a more detailed survey and property ownership evaluation of the compromised portions of the existing wall.

Operating Cost Considerations:

Minimal annual operating costs are anticipated; however, the City would assume capital expenses associated with future repairs or reconstruction of the wall after the end of its useful life.



Project Name:	Theodore Fremd/Blind Brook Retaining Wall
Project Type:	Transportation
Department:	Engineering
Project Priority:	Urgent
Project Start Date:	2010 (Currently in design)
Project End Date:	2019

Project Description:

Project would replace retaining wall on Blind Brook adjacent to Theodore Fremd Avenue. The wall was significantly damaged in 2007 flooding and requires replacement to protect adjacent roadway and City parking area.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$300,000
Construction	\$0
Construction Inspect./Other	\$1,100,000
Total	\$1,400,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$100,000	\$0	\$0	\$0	\$100,000
Grants and Aid:	\$0	\$1,300,000	\$0	\$0	\$0	\$1,300,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The project design is completed and under review by NYSDOT. The project requires a slight relocation of the wall and has numerous utility conflicts that must be coordinated, including a major County sewer line and a ConEd gas transmission line. The project is not eligible for FEMA reconstruction funds due to the classification of the roadway, but is being funded by a more rigorous and time-consuming NYSDOT grant, which requires a 20% local match. This project is delayed due to a legal/design dispute between MTA and NYSDOT.

Operating Cost Considerations:

No significant increases in operational costs are anticipated.



Project Name:	Purchase Street Roundabout
Project Type:	Transportation
Department:	Engineering
Project Priority:	Low
Project Start Date:	2022
Project End Date:	2022

Project Description:

The project would eliminate existing blinking traffic signals at the Purchase/High/Ridge/Wappanocca intersection with roundabout. The roundabout would provide safety and environmental benefits over existing condition and would provide for an aesthetic amenity to one of Rye’s “gateways.”

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$500,000
Construction Inspect./Other	\$0
Total	\$500,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$0	\$0	\$500,000	\$500,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

July 2007 BFJ feasibility report recommended a roundabout in lieu of a traffic signal at the intersection. Survey of the area is completed and design is underway. Westchester County is anticipated to fund the project in exchange for City acceptance of County roads.

Operating Cost Considerations:

Energy costs would be eliminated. Some costs for landscape and roundabout maintenance are anticipated.



Project Name:	CBD - Purchase Street Reconstruction
Project Type:	Transportation
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2020

Project Description:

Project involves the reconstruction (including paved surface and base) and curb replacement, where necessary, between Smith Street and W. Purdy Avenue. Other improvements as noted in the 2009 CBD Planning and Streetscape Study should also be considered.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$50,000
Construction	\$800,000
Construction Inspect./Other	\$0
Total	\$850,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$50,000	\$0	\$0	\$0	\$50,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$800,000
Debt:	\$0	\$0	\$800,000	\$0	\$0	\$0

Project Need/Issues:

Purchase Street has a below average score (PCI=64) in the City's Pavement Management System and requires reconstruction. Project is consistent with 2009 CBD Capital Planning and Streetscape Study, which recommends a variety of pedestrian safety and other improvements. Project must coordinate with all other CBD traffic projects. The project cost was reduced from last year's CIP to reflect recent paving improvements completed by utility companies and the portion of Purchase Street that will be paved as part of the Elm Place and Smith Street projects, which were funded by the 2012 bond referendum.

Operating Cost Considerations:

No major increases in operating costs are anticipated with this project.



Project Name:	Purchase/Fremd & Purdy Intersection Improvement
Project Type:	Transportation – Traffic Control
Department:	Engineering
Project Priority:	High
Project Start Date:	2018
Project End Date:	2018

Project Description:

The 2009 CBD Capital Planning and Streetscape Study recommends replacing traffic signals at this intersection to meet NYSDOT requirements and adding a turning lane on Theodore Fremd Avenue to reduce intersection delays.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$50,000
Construction	\$550,000
Construction Inspection	\$0
Total	\$600,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$600,000	\$0	\$0	\$0	\$0	\$600,000

Project Need/Issues:

Project was originally funded as part of 2007 Budget, but deferred/suspended after April 2007 floods. The existing traffic signals do not meet NYSDOT requirements and increasingly replacement parts are difficult to find. Pedestrian safety would also be improved with pedestrian signalization, which currently does not exist. Project would require coordination with Westchester County, which controls Theodore Fremd. This project was not included as part of the 2012 Bond Referendum and the City was not awarded a NYSDOT grant for this project.

Operating Cost Considerations:

Minimal annual operating costs are anticipated. New traffic signals will use LED technology, which will reduce energy consumption and improve reliability.



Project Name:	Locust Avenue Bridge
Project Type:	Transportation
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

The project would fund \$80,000 to study the condition, identify improvement and complete construction plans for Locust Avenue Bridge. Depending on findings of study and prior experience with bridges in this area rehabilitation may be required (\$300,000) or a complete reconstruction (\$1.8M).

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$75,000
Construction	\$1,635,000
Construction Inspect./Other	\$0
Total	\$1,710,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$10,000	\$0	\$0	\$0	\$10,000
Grants and Aid:	\$0	\$1.7 M	\$0	\$0	\$0	\$1.7 M
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Locust Avenue Bridge is over 100 years old and requires repair. Bridge is also historic and may require coordination with NYS Historic Agencies. Existing sewer line/siphon under the bridge abutment is planned to be abandoned, and a new sewer line installed. See **Locust Avenue Sewer Siphon Replacement** project.

Operating Cost Considerations:

No increased operational costs are anticipated.



Project Name:	Orchard Avenue Bridge Rehabilitation
Project Type:	Transportation
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

Rehabilitation of Orchard Avenue Bridge over Blind Brook.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$200,000
Construction Inspect./Other	\$0
Total	\$200,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenue	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$200,000	\$0	\$0	\$0	\$200,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The bridge over Blind Brook was built in 1926 and has a deficiency rating by the NYS of 4.636. Recently completed reports indicate that the bridge is structurally sound, but requires improvements.

Operating Cost Considerations:

No increases in operational costs are anticipated.



Project Name:	MTA Parking Lot Improvements
Project Type:	Transportation
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2020

Project Description:

The proposed project would repave the parking lot, add sidewalks, lighting, drainage, landscaping and other vehicle and pedestrian safety measures. Project is dependant on Federal funding. If grants are obtained, \$75,000 in engineering is proposed for 2020 with construction proposed for 2021.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$75,000
Construction	\$3.275 M
Construction Inspect./Other	\$0
Total	\$3.35 M

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$350,000	\$3 M	\$0	\$0	\$3,350,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The City (which does not own the lot, but shares in the parking revenue with the MTA) previously discussed with the MTA possible cost/revenue sharing strategies to implement necessary repairs to the deteriorated lot. The proposed improvements would rehabilitate the lot, which has not been repaved in over 20 years, and implement pedestrian and vehicles safety improvements consistent with a preliminary concept plan prepared by MTA consultants in 2006. Last year the travel lane portion of the MTA lot was repaved under the City's Annual Resurfacing project funding.

Operating Cost Considerations:

Some increases in operational costs are anticipated, but could be offset with increases in parking fees, which have remained unchanged for eight years.



Project Name:	CBD – School/Purdy Parking Lot (Car Park 5)
Project Type:	Transportation
Department:	Engineering
Project Priority:	High
Project Start Date:	2021
Project End Date:	2021

Project Description:

The existing wall surrounding Car Park 5 (corner of School Street & Purdy Ave.) needs to be replaced. In 2008, fencing was secured to the wall exterior to prevent damage from continuing deterioration. This project continues to fail to obtain funding despite need. Feasibility of alternative uses of this parking area should be considered as part of a comprehensive *CBD Car Park Improvement and Deck Feasibility Study*.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$650,000
Construction Inspect./Other	\$0
Total	\$650,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$650,000	\$0	\$650,000

Project Need/Issues:

Replacing this nearly 100-year old wall is expensive, requiring \$650,000. The City should consider alternative use to a replacement in-kind that advances some additional public need, such as a deck that creates additional parking. Identifying a use for this site, possibly involving a public/private partnership, is the critical first step before committing additional funds to this project.

Operating Cost Considerations:

Varies depending on final design and use.



Project Name:	Police Department Parking Lot
Project Type:	Police Building and Grounds
Department:	Police
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

The police parking lot needs to be upgraded and secured.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$75,000
Construction Inspection	\$0
Total	\$0

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$75,000	\$0	\$0	\$0	\$75,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The police parking lot needs to be upgraded and secured. Our police vehicles share a lot with commuter parking. Due to the lack of parking, during shift changes, our vehicles block in civilian vehicles parked in the metered spots. Recommend that the metered spots be removed and relocated. Additionally, fencing should be installed to secure the lot, add lighting and enhance video coverage of the area. These improvements should be considered as part of the *CBD Car Park Improvement and Deck Feasibility Study* and/or the *MTA Parking Lot Improvements*.

Operating Cost Considerations:

Minimal operating and maintenance costs will be incurred once the project is completed.

Project Name:	Forest Avenue Pedestrian Improvements
Project Type:	Transportation
Department:	Engineering
Project Priority:	High
Project Start Date:	2018
Project End Date:	2019

Project Description:

Implementation of a sidewalk or multi-use path on Forest Avenue north of Apawamis Avenue and the repaving of this section of roadway.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$150,000
Construction	\$2,300,000
Construction Inspect./Other	\$150,000
Total	\$2,600,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$150,000	\$0	\$0	\$0	\$0	\$150,000
Debt:	\$0	\$2,450,000	\$0	\$0	\$0	\$2,450,000

Project Need/Issues:

In 2016, the City completed a study to evaluate alternative strategies and preliminary costs for improving pedestrian safety within the Forest Avenue corridor north of the Apawamis Avenue. That study identified four possible improvements involving sidewalks or multi-use paths on Forest between Apawamis Avenue and Maursing Avenue to the intersection of Davis Avenue. The final design process in 2018 would identify based on community input the preferred alternative for implementation. The pedestrian improvements are estimated to cost between \$1.4 M and \$2.0 M depending on the preferred alternative. The remaining project cost is for street repaving, design and construction inspection. Forest Avenue is in need of repaving, however that repaving is being deferred until there is consensus on the desired (or not desired) pedestrian improvements. This project is anticipated to be funded by debt since the City was unsuccessful in securing a grant in 2017.

Operating Cost Considerations:

Upon project completion there will be maintenance and improvement cost that may be borne by the City and/or abutting property owners.

Project Name:	5 Points Intersection Improvements
Project Type:	Transportation
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

As recommended by the Shared Roadways Committee June 2011 study, the project would encompass a conceptual study for the 5-way intersection at the conjunction of Grace Church Street, and Midland and Manursing Avenues. The preliminary design and cost estimates have been completed and ready for public review and comment.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$35,000
Construction	\$215,000
Construction Inspect./Other	\$0
Total	\$250,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$250,000	\$0	\$0	\$0	\$0	\$250,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The 5-way intersection would eliminate the existing signals and change existing pavement configurations within the City right-of-way to allow for the implementation of stop sign control. The project would reduce pedestrian street crossing distances and reduce vehicle delays. The project has two alternative designs that require public vetting. The project would also require approval from Westchester County since Midland Road is County controlled. Funding for this project was eliminated in 2016. Future sources of funding are assumed to come from some form of grants and aid.

Operating Cost Considerations:

No increases in operational costs are anticipated depending on final design.



Project Name:	Osborn Road Area Study & Improvements
Project Type:	Transportation
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

This project is not yet identified but could consist of roadway or pedestrian improvements in the Theall Road/Osborn School vicinity.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$30,000
Construction	\$120,000
Construction Inspect./Other	\$0
Total	\$150,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$150,000	\$0	\$0	\$0	\$0	\$150,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

As part of a one-time impact fee Rye Manor at 300 Theall Road gave the City \$150,000 towards infrastructure improvements within the vicinity of Theall Road. Some have suggested parking improvements on Osborn Road or at Osborn School to relieve on-street parking demand or improvements that provide a parking lane to allow for safer two-way traffic flow on Osborn Road in front of the school. A project should be identified so that this “earmarked” funding can be used.

Operating Cost Considerations:

Not known at this time.

Project Name:	Stuyvesant Avenue Pedestrian Improvements
Project Type:	Transportation
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2020
Project End Date:	2021

Project Description:

This project involves widening and paving the approximately 0.5-mile length of Stuyvesant Avenue between Old Milton Road and Van Wagenen Avenue to provide a designated walkway. The project assumes widening the road by 10-12 feet to add 5-6 foot shoulders/walkway/bikeway on each side of the existing 20-foot wide road.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design/Survey	\$50,000
Construction	\$700,000
Construction Inspect./Other	\$0
Total	\$750,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$50,000	\$700,000	\$0	\$750,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Drainage measures may also be required. The most effective design is to reconstruct the entire roadway, but considered cost prohibitive. Existing right-of-way encroachments would need to be removed. Increased roadway width may increase vehicle travel speeds.

Operating Cost Considerations:

Future repaving and maintenance costs will be required for the expanded roadway.

Project Name:	Boston Post Road/Parsons Street/Nature Center Roundabout
Project Type:	Transportation
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2020

Project Description:

This project involves the design and construction of a roundabout on Boston Post Road at Parsons Street. In addition, the project would include a shifting of Boston Post Road within existing right-of-way to the west and the construction of a new parking area on the east side of the relocated roadway adjacent to school property. Existing driveways from Rye Nature Center and Rye Presbyterian Church would also have to be accommodated in the design. The City’s consultant completed conceptual design and cost estimates in 2015.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$100,000
Construction	\$1,300,000
Construction Inspect./Other	\$0
Total	\$1,400,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$100,000	\$0	\$0	\$0	\$100,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$1,300,000	\$0	\$0	\$1,300,000

Project Need/Issues:

A roundabout at this location would potentially have multiple benefits, including improved traffic flow during peak school drop-off and pick-up periods, traffic calming benefits, creation of additional parking for the school adjacent to school property and providing an alternative vehicle access to Rye Nature Center, thereby avoiding the estimated \$1.1 Million cost of replacing the existing nature center bridge.

Operating Cost Considerations:

Project will not affect operating costs.

Project Name:	Boston Post Road/Old Post Road Traffic Signal Replacement
Project Type:	Transportation
Department:	Engineering
Project Priority:	Moderate
Project Start Date:	2020
Project End Date:	2021

Project Description:

The project would replace the existing traffic signal at the intersection of Boston Post Road and Old Post Road (i.e. in front of Osborn Home). The existing signal is nearing the end of its useful life and does not use LED technology, which is the current NYSDOT standard. The project would also provide for pedestrian enhancements including potentially crosswalks, pedestrian phases and other potential safety improvements. This project should coordinate with *Osborn Road Area Study and Improvements*.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$20,000
Construction	\$180,000
Construction Inspect./Other	\$0
Total	\$200,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$20,000	\$180,000	\$0	\$200,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

A pedestrian signalized crossing of Boston Post Road at this location has been identified as a priority given the volume of pedestrian activity and proximity to Osborn Elementary School and Rye HS/MS. This project may require coordination with *Osborn School Traffic and Pedestrian Safety Improvements*.

Operating Cost Considerations:

Continuation of existing traffic signal maintenance costs.

Project Name:	CBD – Car Park Improvement & Deck Feasibility Study
Project Type:	Transportation
Department:	Engineering
Project Priority:	High
Project Start Date:	2018
Project End Date:	2018

Project Description:

The project is a study to assess possible aesthetic, traffic flow, pedestrian safety, landscape and accessible improvements to the City’s parking areas in the CBD.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$75,000
Construction	\$0
Construction Inspect./Other	\$0
Total	\$75,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$75,000	\$0	\$0	\$0	\$0	\$75,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The parking areas in the City’s Central Business District (CBD) are in need of aesthetic and functional upgrades. Curb replacement and repaving is required. Over the last few years mature trees have died and a responsible tree replacement and landscape program is required. In addition, there may be opportunities to reconfigure the existing parking lots to achieve improved pedestrian safety enhancements and accessibility needs. In addition, there is increasing parking demand in the CBD during peak periods, which warrants the City re-visiting the feasibility of a parking structure. The police have also identified parking needs (see: *Police Department Parking Lot*).

Operating Cost Considerations:

None since the project is a study.

Recreation Projects:

Project Name:	Damiano Center HVAC
Project Type:	Recreation
Department:	Recreation
Project Priority:	Urgent
Project Start Date:	2018
Project End Date:	2018

Project Description:

The current HVAC system is undersized, does not work in the main offices, and is more than 20 years old.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$5,000
Construction	\$35,000
Construction Inspection	\$0
Total	\$40,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$40,000	\$0	\$0	\$0	\$0	\$40,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The existing system is failing and requires replacement. The Main offices in the center have no air conditioning as at some point, the system was modified and those trunk lines were removed. The Girl Scout room unit is old and failing as well. New system requirements are now in place and may mean the replacement of the entire system, not just the outside condensers.

Operating Cost Considerations:

Operating costs would be reduced with a more energy efficient system that require less maintenance.

Project Name:	Gagliardo Park Improvements
Project Type:	Building/Facilities – Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

Gagliardo Park is in need of repairs and renovation to enhance the recreational experience for those who live in the Dublin neighborhood and for the enjoyment of all residents.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$25,000
Construction	\$150,000
Construction Inspect./Other	\$0
Total	\$175,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$175,000	\$0	\$0	\$0	\$0	\$175,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Project is required to improve user safety and level of play. Project would also reduce maintenance costs and ease of facility maintenance. City is exploring less expensive alternatives.

Operating Cost Considerations:

More efficient systems would help keep costs down; easier maintenance.



Project Name:	Tennis Court Lighting Improvement
Project Type:	Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2021

Project Description:

This project proposes to replace the antiquated lighting system at the Tennis Courts and Multi-purpose Area.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$5,000
Construction	\$435,000
Construction Inspect./Other	\$0
Total	\$440,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$160,000	\$160,000	\$120,000	\$0	\$440,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Replacement for efficiency and cost saving measures. Existing tennis lighting is over 25 years old. Existing tennis courts require a complete resurfacing. Estimated cost per court is \$60,000.

Operating Cost Considerations:

Systems that allow for multiple light and energy levels can provide considerable energy savings. These systems allow activities with different lighting needs to share a facility, without wasting energy by providing excessive lighting for activities that don't require it. There will also be a reduction in repainting costs associated with the existing courts.



Project Name:	Nursery Field Rehabilitation and Drainage
Project Type:	Recreation
Department:	Recreation
Project Priority:	High
Project Start Date:	2018
Project End Date:	2018

Project Description:

The project proposes to improve drainage conditions at Nursery Field by stripping the existing surface and replacing/repairing the drainage system that exists (the field was built in 2002). The field would then be top-dressed and sodded so that it would be ready for play more quickly than seeding.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$50,000
Construction	\$400,000
Construction Inspection	\$0
Total	\$450,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Grants and Aid:	\$450,000	\$0	\$0	\$0	\$0	\$450,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

A 2011 study prepared by Woodard and Curran Engineers identified the feasibility and cost of improving drainage and playing conditions at Nursery Field. For approximately \$1.2M, the field could be changed from grass to artificial turf.

Operating Cost Considerations:

Operating costs would be reduced due to less maintenance.

Project Name:	Upper Picnic Shelter Replacement
Project Type:	Recreation
Department:	Recreation
Project Priority:	High
Project Start Date:	2020
Project End Date:	2020

Project Description:

This project calls for the replacement of the upper picnic shelter and pad.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$10,000
Construction	\$80,000
Construction Inspect./Other	\$0
Total	\$90,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2017	2018	2019	2020	2021+	Total
General Revenues:	\$0	\$0	\$0	\$90,000	\$0	\$90,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The Upper Picnic Shelter is showing signs of age and deterioration. Recent repairs (2013) allow this project to be deferred. Since then, the support brackets and support beams have shown deterioration, both of which will affect safety of the participants in the long term. Picnics are the one of the main revenue sources for the department, and a new, larger and efficient design could help generate more revenue.

Operating Cost Considerations:

Operating costs would be reduced due to less maintenance.



Project Name:	Recreation Park Landscape and Signage Improvements
Project Type:	Recreation
Department:	Recreation
Project Priority:	Low
Project Start Date:	2022
Project End Date:	2022

Project Description:

The project involves providing additional landscaping at City recreation facilities. The area of Recreation Park where the parking lot was expanded needs to be screened and beautified with numerous plantings to create a visual barrier and offer a more attractive surrounding when using the lower end of the park. Trees were removed for the parking lot and should be replaced. Other fields need additional screening to provide neighbors with increased buffer areas. Additional signage is necessary as well. If the Disbrow Park Master Plan is adopted, this project would be included in those renovations.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$19,500
Construction Inspection	\$0
Total	\$19,500

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$19,500	\$19,500	\$19,500
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Project would provide aesthetic enhancements to park facilities and improved screening.

Operating Cost Considerations:

Additional landscape maintenance would be required by park staff after initial planting. The City will pursue a low maintenance planting program.

Project Name:	Expand Maintenance Garage
Project Type:	Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2021
Project End Date:	2021

Project Description:

The project involves the addition of a two-bay garage on the existing maintenance building at Recreation Park.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$8,000
Construction	\$142,000
Construction Inspect./Other	\$0
Total	\$150,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenue:	\$0	\$0	\$0	\$150,000	\$0	\$150,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The department has motorized equipment that is outside in the elements 365 days a year. The replacement value of this equipment exceeds \$500,000. Current space cannot support the additional equipment because of space or size.

Operating Cost Considerations:

The additional space to store equipment would extend the lifetime usefulness of the equipment.

Project Name:	Recreation Park Tennis Court Replacement
Project Type:	Recreation
Department:	Recreation
Project Priority:	High
Project Start Date:	2018
Project End Date:	2018

Project Description:

Replacement of recreation tennis courts #7 & 8.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$20,000
Construction	\$160,000
Construction Inspection	\$0
Total	\$180,000

Project Priority Considerations:

- Deteriorated Facility**
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$180,000	\$0	\$0	\$0	\$0	\$180,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Tennis Courts 7 & 8 in Recreation Park are over 35 years old. There is a collapsed drain near court 7 that needs attention. The courts are cracking and no longer accepting the 6 year cycle of repair and repainting. Courts should be re-built utilizing “post tension concrete”. New fencing for the facility would also be required.

Operating Cost Considerations:

Operating costs would be reduced as no further maintenance would be needed for 10+ years.



Project Name:	Recreation Patio Replacement/Repair
Project Type:	Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

The existing patio is failing and requires replacement.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$25,000
Construction Inspection	\$0
Total	\$25,000

Project Priority Considerations:

- Deteriorated Facility**
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$50,000	\$0	\$0	\$0	\$50,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The back patio off the main room at the Damiano Center has sunken over the years, which makes exiting out of the main floor room dangerous; there is about a step and a half down when exiting the building. The cement is also deteriorating.

Operating Cost Considerations:

Operating costs would be reduced, as less maintenance would be required.



Project Name:	Rec Parking Lot and Service Road Resurfacing
Project Type:	Recreation
Department:	Recreation
Project Priority:	High
Project Start Date:	2018
Project End Date:	2018

Project Description:

The project proposes to mill and re-pave the recreation main parking lot and service road; repair sunken drains and curbs where necessary.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$45,000
Construction Inspection	\$0
Total	\$45,000

Project Priority Considerations:

- Deteriorated Facility**
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$45,000	\$0	\$0	\$0	\$0	\$45,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The main parking lot and the service road are deteriorating and portions of the pathway from the McDonald Building to the Art Center Parking lot are hazardous and should be regraded.

Operating Cost Considerations:

None.



Project Name:	Handicapped Accessible Playground
Project Type:	Recreation
Department:	Recreation
Project Priority:	High
Project Start Date:	2018
Project End Date:	2018

Project Description:

Install new playground equipment with elements that are accessible for handicap participants.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$200,000
Construction Inspection	\$0
Total	\$200,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$200,000	\$0	\$0	\$0	\$0	\$200,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Both Recreation Park and Gagliardo Park are in need of repair, resurfacing, and elements for handicap accessible play structures. The surface of the playgrounds needs to be poured in place rubber. Playground safety surfacing no longer allows for sand as an appropriate safety surface. Other playgrounds will need to be updated/upgraded with a similar surface

Operating Cost Considerations:

Updating the playgrounds surfacing will help keep operating cost down by reducing maintenance.



Project Name:	Damiano Roof Replacement
Project Type:	Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

The project proposes to replace the older section of the Damiano Center Roof.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$20,000
Construction Inspection	\$0
Total	\$20,000

Project Priority Considerations:

- Deteriorated Facility**
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$20,000	\$0	\$0	\$0	\$20,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The existing roof is 25+ years old. Over the past few years, leaks have occurred and areas of roof over the girl scout room and kitchen area have been patched.

Operating Cost Considerations:

Operating costs would be reduced due to less maintenance.

Project Name:	Damiano Floor Replacement
Project Type:	Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2021
Project End Date:	2021

Project Description:

This project proposes to replace the existing floor in the lower level multi-purpose and lounge.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$25,000
Construction Inspection	\$0
Total	\$25,000

Project Priority Considerations:

- Deteriorated Facility**
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$25,000	\$0	\$25,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Both of these rooms are used daily and the flooring takes a beating from the tables and chairs as they get moved for events and programs. Floor replacement should be considered every 10 years.

Operating Cost Considerations:

Replacement of the floor would maintain the space's high end look and increase its rent-a-ability.

Project Name:	Recreation Building Painting
Project Type:	Recreation
Department:	Recreation
Project Priority:	Low
Project Start Date:	2021
Project End Date:	2021

Project Description:

Painting of the exterior of the Damiano Center and Maintenance Garage; painting of the interior of the Damiano Center.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$20,000
Construction Inspection	\$0
Total	\$20,000

Project Priority Considerations:

- Deteriorated Facility**
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$20,000	\$0	\$20,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Both the interior and exterior of the facility need painting and the façade is in need of general repairs. The exterior of the maintenance garage is also in need of a full painting.

Operating Cost Considerations:

Keeping the buildings properly maintained will keep operation costs down as repairs should be eliminated with this job.

Project Name:	Recreation Basketball Repainting
Project Type:	Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

Crack repair and repainting of the Multi-purpose courts at Recreation Park and replacement of the backboards (4).

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$20,000
Construction Inspection	\$0
Total	\$20,000

Project Priority Considerations:

- Deteriorated Facility**
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$20,000	\$0	\$0	\$0	\$0	\$20,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The existing courts are in need of various crack repairs as well as a full painting. The site has two basketball courts that see use daily. The last time this area was painted was in 2007.

Operating Cost Considerations:

Operating costs would be reduced as less maintenance would be required.



Project Name:	Damiano Electric Improvement
Project Type:	Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

This project proposes to upgrade electric panels, outlets in Damiano Recreation Center and address the electric short at the lower picnic shelter.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$25,000
Construction Inspection	\$0
Total	\$25,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$25,000	\$0	\$0	\$0	\$25,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Damiano Center originally built in 1959 has 8+ electric panels, many dating back to the original building. Upgrading the service and replacing all old breakers and fuses as well as consolidating service would make the operation and upkeep more seamless. This project would include upgrading outlets in all rooms and offices as many of these spaces only have 2 outlets for use, many are not GFI where required. Currently, the lower picnic shelter has a short under the parking lot. This project would try to address that issue as well.

Operating Cost Considerations:

Upgrading the system would make the operation more efficient

Project Name:	Recreation Security System Upgrade
Project Type:	Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

This project proposes additional security systems to view outlying parks/facilities including Skate Park, Maintenance Garage, McDonald Building, Nursery Field and Gagliardo Park

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$25,000
Construction Inspection	\$0
Total	\$25,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$25,000	\$0	\$0	\$0	\$25,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Enhanced security systems would help deter misuse of facilities during the day, after hours and or on weekend. Each facility has different needs and issues. Example: Soda machine at Nursery Field & McDonald building has been vandalized; Skate Park – the kids climb the fence and use the facility when closed.

Operating Cost Considerations:

Project Name:	Recreation Irrigation Upgrade
Project Type:	Recreation
Department:	Recreation
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

The project proposes to replace, enhance and comply with current codes for irrigation throughout the park system

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$8,000
Construction	\$20,000
Construction Inspection	\$0
Total	\$28,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$28,000	\$0	\$0	\$0	\$28,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Irrigation upgrades and adding necessary addition of RPZ at Recreation Park, Rec Tennis Courts, Damiano Center and Gagliardo Park. This project would also look at the expansion of irrigation for the main garden outside Damiano.

Operating Cost Considerations:

RPZ's are required and the additional irrigation would save on man power on manually watering the lawns and gardens. This also would allow us to run the system not during prime business hours.

Rye Golf Club
Enterprise Fund

Project Name:	RGC Whitby Castle Window Project
Project Type:	Recreation/Enterprise Fund
Department:	Golf Club
Project Priority:	Moderate
Project Start Date:	2019
Project End Date:	2019

Project Description:

Replacement of the windows in all of the 1990's era construction/additions including the ballroom and the porch extension. The work includes extensive wood replacement, carpentry work and installation of concrete curbs and base flashing along the perimeter at the porches. Additionally the work includes extensive stucco repairs around the windows of the ballroom, including the severely deteriorated recessed panels below the multi-pane windows.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$25,000
Construction	\$650,000
Construction Inspection	\$0
Total	\$675,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$0	\$675,000	\$0	\$0	\$0	\$675,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Alternatives to this project were performing yearly extended preventative maintenance by sanding, priming, and repainting all exterior wood trim around the window casings. This is not a true alternative though because it is simply prolonging the lifespan of the current infrastructure. The club would anticipate funding this out of a general fund surplus.

Operating Cost Considerations:

The club would anticipate seeing utility savings from the more energy efficient windows.

Project Name:	RGC Whitby Castle Basement Support
Project Type:	Recreation/Enterprise Fund
Department:	Rye Golf Club
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

Design and construct a permanent fix to the temporary jacks that are being used for stabilization in the basement of Whitby Castle.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$5,000
Construction	\$20,000
Construction Inspection	\$0
Total	\$25,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$25,000	\$0	\$0	\$0	\$0	\$25,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Approximately 10 years ago evidence of instability in the support for the ground floor of Whitby Castle developed, including hairline cracks and shaking in limited spots when exposed to heavy foot traffic. As a temporary solution, temporary support jacks were added to the basements existing support beams. The instability has not returned, but these support jacks are not permanent solutions and need to be addressed.

Operating Cost Considerations:

None.

Project Name:	RGC Course Signage
Project Type:	Recreation/Enterprise Fund
Department:	Rye Golf Club
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

Replacing old directional signs and yardage markers around the golf course to match new tee signs purchased in 2016.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$15,000
Construction Inspection	\$0
Total	\$15,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$15,000	\$0	\$0	\$0	\$0	\$15,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The golf club replaced tee signs in 2016 with new cast aluminum-bronze painted tee signs. The next phase of this project will be to purchase and install new directional signs and yardage markers to match the tee signs. New signs will provide an aesthetic level that is consistent with the overall course improvements of the club.

Operating Cost Considerations:

The club would allocate approximately \$500 per year in the operating budget for routine maintenance of new signs.

Project Name:	RGC Greens Drainage & Expansion
Project Type:	Recreation/Enterprise Fund
Department:	Rye Golf Club
Project Priority:	Moderate
Project Start Date:	2017
Project End Date:	2021

Project Description:

Architectural design for installation of greens drainage, greens expansion, and scope of work for new sod to complete all 20 greens at the club. This project can be completed in different phases with as little as 3 greens being completed per season.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$50,000
Construction	\$600,000
Construction Inspection	\$0
Total	\$650,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$150,000	\$250,000	\$250,000	\$0	\$0	\$650,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The Rye Golf Club’s greens were severely damaged by a contaminated product in the spring of 2015. This damage will leave the greens inconsistent for several years to come. The damage wiped out the culmination of 10 years of hard work which led to the development of primarily bent grass putting surfaces. The only effective way to restore this effort to the level of playability that our members have come to expect is installing new bent grass sod. In order to do this we will need to install more appropriate drainage so that the new sod will take. While we are completing this project we will also perform some small green expansions on 2-4 greens to increase hole locations by softening some of the severe slopes. The increased hole location options are needed because the course typically hosts about 28,000 rounds of golf a year when the original greens were only designed to sustain 15,000. An architect will be required to GPS map the greens, prepare the drainage diagrams, map out the expansions, and develop of the scope of work for the bidding process.

Work has been completed on Holes 18, 17, 12, and Castle Practice Greens. In Fall 2017, work will begin on Holes 1, 2, 4, 6, 7, 9, 11, and 15.

Operating Cost Considerations:

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Project Name:	RGC Half Way House and 1st Tee Planning
Project Type:	Recreation/Enterprise Fund
Department:	Rye Golf Club
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

Design work and planning for an improved Half way house at the course’s 9th green, as well as new structures for the starter and practice bays by the 1st tee.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$20,000
Construction Inspection	\$0
Total	\$20,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$20,000	\$0	\$0	\$0	\$0	\$20,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Currently, the members of the Rye Golf Club do not have a halfway house that meets the standards of the Westchester County Department of Health and as such, only extremely limited service is able to be provided. The membership has expressed significant interest in conducting an architectural assessment of how the club can introduce a full service halfway house. The first stage of this project would be reviewing what construction is possible in this tidal departure zone and also to develop the job scope of work.

Additionally, the club will plan to design new structures for the outdated starter building and “swing cages” at the 1st tee.

Operating Cost Considerations:

The club can potentially see increase in revenue from increased sales at a fully functioning “half way house”. Newer buildings for the 1st tee area will increase membership retention and new membership sales.

Project Name:	RGC/Whitby HVAC Repair/Replacement
Project Type:	Recreation/Enterprise Fund
Department:	Rye Golf Club
Project Priority:	Moderate
Project Start Date:	2017
Project End Date:	2021

Project Description:

Systematic replacement of outdated and inefficient HVAC equipment at Rye Golf Club.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$20,000
Construction	\$35,000
Construction Inspection	\$0
Total	\$55,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$5,000	\$30,000	\$10,000	\$5,000	\$5,000	\$55,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

In 2013 Carnell engineering was hired to complete an engineering assessment on much of the infrastructure and mechanics of the club. Their report indicates that our condensing units and coils on our HVAC system are nearing the end of their life expectancy and are likely to fail over the next 5 years. Replacement is likely to become necessary over the next several years. The club is planning to engage an HVAC engineer to provide an overall assessment of the HVAC system.

Operating Cost Considerations:

Potential for cost reductions based upon increased energy efficiency.

Project Name:	RGC Parking Lot Treatment
Project Type:	Recreation/Enterprise Fund
Department:	Rye Golf Club
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

Crack-fill, seal, and re-stripe parking lots.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$30,000
Construction Inspection	\$0
Total	\$30,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$30,000	\$0	\$0	\$0	\$0	\$30,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The two asphalt parking lots of the club require treatment to prevent extensive deterioration once every 3 to 5 years. The club performed this project in 2014 and will have to do so again one time between Fall of 2017 and fall of 2019.

Operating Cost Considerations:

Project Name:	RGC Pool Mechanical Repair & Replacement
Project Type:	Recreation/Enterprise Fund
Department:	Rye Golf Club
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2022

Project Description:

Ongoing repair and replacement of failing mechanical equipment of the RGC pool facility.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$50,000
Construction Inspection	\$0
Total	\$50,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000	\$50,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

An engineering assessment of our pool’s mechanical system identified that our pool’s mechanical systems are long past life expectancy and are not as efficient as modern pool mechanical equipment. As the equipment & piping continues to fail the club will systematically upgrade it.

Operating Cost Considerations:

Potential savings through increase energy efficiency and lower chemical bills as water within the system is more efficiently conserved.

Project Name:	RGC Pool Resurfacing
Project Type:	Recreation/Enterprise Fund
Department:	Rye Golf Club
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

Strip the existing plaster and tile work inside the main pool down to the structural surface, reapply a plaster finish and tile work in kind with new product, and refill pool.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$700,000
Construction Inspection	\$0
Total	\$700,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$700,000	\$0	\$0	\$0	\$0	\$700,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

This project is needed because the existing plaster finish and tile work is beyond its useful life expectancy. The last time the project was completed was in 2004, and it was only expected to last for 5 to 10 years. We have been experience significant flaking of the tiles and plaster in addition to extensive staining of the surface which is not appealing. If the tile and plaster continues to flake away the structure of the pool may be compromised.

One alternative that has been considered was just applying new plaster over the existing finish instead of scraping out the existing plaster, which would save significant money. The pool engineer that consulted on this project advised against this because the plaster and tile flake from the bottom up, and if there is already existing corrosion under the existing plaster causing the existing plaster to flake up, the new plaster above it would flake off as well. In 2016, under the advice of a pool engineer, the club kept water in the pool year round and sustained a significant decrease in flaking/chipping of the surface, which is one reason why the club has postponed the project.

Operating Cost Considerations:

If this project were to be completed two additional costs would be involved. (1) Currently the pool is drained and left empty at the end of each season. This exposes the plaster to the elements and the structure of the pool to exterior ground pressures that are damaging. If we move forward with fixing the surface of the pool we should keep it full all winter long which will require additional money to keep the water balanced throughout the winter, approximately \$5,000. (2) The purchase of a winter cover would be beneficial to prevent debris from falling into the pool in the winter and staining the new surface.

Project Name:	RGC Course Irrigation Improvements
Project Type:	Recreation
Department:	Golf Club
Project Priority:	High
Project Start Date:	2017
Project End Date:	2021

Project Description:

Assess the status of the current course irrigation system, prepare plans to improve both the system’s capacity and water supply, develop a scope of work to conduct the project, and execute said scope.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$40,000
Construction	\$2.575 M
Construction Inspection	\$0
Total	\$2.615 M

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$40,000	\$375,000	\$2.2 M	\$TBD	\$TBD	\$2.615 M
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Currently, the golf course irrigation system poses two issues to the maintenance of the club: (1) the club cannot draw enough water from City supplies to effectively irrigate the entire course and (2) if the club did improve the water supply we are not sure the existing irrigation system, which is over 20 years old, could handle the necessary capacity. Before embarking on a long term project to upgrade the supplies, increase self-sustainability, and ensure that the irrigation system can meet our needs we must gain a thorough understanding of what are specific issues are. The club will work with an irrigation engineer and eventually electrical engineers to prepare plans to improve the overall state of the irrigation system.

Operating Cost Considerations:

Although the club ultimately wants to increase water output, there would be a potential net equalizer if the club finds ways to increase its own water storages via increasing the holding capacity of existing ponds.

Project Name:	RGC Tree Management
Project Type:	Recreation
Department:	Golf Club
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2019

Project Description:

With consultation from the United States Golf Association and a certified arborist, the golf club intends to remove trees that are invasive species, susceptible to the Emerald Ash Bore, in deteriorating condition, or over-grown in order to increase the sun exposure to parts of the golf course.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$205,000
Construction Inspection	\$0
Total	\$205,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2020	2021	Total
Enterprise Reserve Funds:	\$105,000	\$100,000	\$0	\$0	\$0	\$205,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Due to the over growth of the tree line the golf club turf is not receiving an appropriate amount of sun light which makes it increasingly difficult to maintain to an acceptable level. After consultation with senior agronomists from the United States Golf Association and a certified arborist it became clear that the club needs to increase sun exposure and there are some trees that are preventing the greens from receiving the appropriate amount of sun. With an arborist we searched for trees that were impacting the sun light and marked off trees that might be in a dangerous condition if they are deteriorating or dead. We also marked off trees that are invasive species or susceptible to the Emerald Ash Bore infestation.

Operating Cost Considerations:

The golf club will be able to reduce chemical inputs to the greens with the increased sunlight exposure so there is a possibility of gaining operational efficiencies.

Project Name:	RGC Whitby Castle Frames & Shutters
Project Type:	Recreation
Department:	Golf Club
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

Painting all wooden window frames and shutters around the original Whitby Castle building.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$25,000
Construction Inspection	\$0
Total	\$25,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Fund:	\$25,000	\$0	\$0	\$0	\$0	\$25,000
Grants & Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Currently the wooden window frames and shutters around the Windows of the original building of Whitby Castle need to be re-painted.

Operating Cost Considerations:

Project Name:	Golf Club Landscape Improvements
Project Type:	Recreation
Department:	Golf Club
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2018

Project Description:

The golf club has several areas at the club which require landscape improvements including in/around the bag-drop area, administrative building, golf shop, residence, 1st tee area, 11th tee area, 18th tee area, and other various locations. Additionally, we must address landscaping development near our new short game area.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$40,000
Construction Inspection	\$0
Total	\$0

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$40,000	\$0	\$0	\$0	\$0	\$40,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Operating Cost Considerations:

The club will allocate additional personnel to maintain newly developed landscaping areas. This should cost no more than \$12,000 for the entire season.

Boat Basin

Enterprise Fund

Project Name:	Milton Harbor Federal Channel Dredging
Project Type:	Recreation/Enterprise Fund
Department:	Boat Basin
Project Priority:	High
Project Start Date:	2018
Project End Date:	2022

Project Description:

Dredging of the one mile long Federal Channel leading into the City of Rye Boat Basin as well as the entire Boat Basin.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$2 M
Construction Inspection	\$0
Total	\$2 M

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Boat Basin Enterprise Fund:	\$1 M	\$0	\$0	\$0	\$1 M	\$2 M
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Maintenance dredging of the 1 mile federal channel leading into and surrounding the Boat Basin docks. Navigation of most boats in and out of the Boat Basin during the low tide window is becoming difficult to nearly impossible. The project will require multiple State and Federal permits. Project costs are estimated and derived from current volume estimates and current cubic yard pricing. This assumes disposal at the Western Long Island Sound disposal site without capping. Federal/bond assistance will be required. There is currently \$987,000 in the Boat Basin reserve fund.

Operating Cost Considerations:

Amount of material to be removed is unknown and is driven by the cost of disposal.



Project Name:	Boat Basin Generator
Project Type:	Recreation/Enterprise Fund
Department:	Boat Basin
Project Priority:	High
Project Start Date:	2019
Project End Date:	2019

Project Description:

This project is critical to the successful winter operation of the Boat Basin. The Boat Basin is responsible for in-water storage of many boats and floats. Having an appropriately sized generator would provide safety for the boats/floats and allow the boat basin to operate in full capacity if a storm was to knock out the power. The generator would also support the maintenance building that houses the Marina Police. Cost below is for the generator unit and installation on a raised platform.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$25,000
Construction Inspection	\$0
Total	\$25,000

Project Priority Considerations:

- Deteriorated Facility**
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Funds:	\$0	\$25,000	\$0	\$0	\$0	\$25,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Minimum 30KW Kohler generator

Operating Cost Considerations:

Some increase in energy costs to run generator.

Project Name:	Boat Basin Work Shed
Project Type:	Dam Work Shed
Department:	Boat Basin
Project Priority:	Moderate
Project Start Date:	2022
Project End Date:	2022

Project Description:

Replacement of dam storage shed.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$2,500
Site Acquisition	\$0
Engineering/Design	\$2,500
Construction	\$25,000
Construction Inspection	\$0
Total	\$30,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Funds:	\$0	\$0	\$0	\$0	\$30,000	\$30,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The Boat Basin needs to upgrade the existing shed on the dam to help house the current equipment inventory. This could also be used to build floats, etc.

Operating Cost Considerations:

Once project is complete, there will be yearly maintenance and upkeep.



Project Name:	Boat Basin Dam Water Supply
Project Type:	Water Supply on Dam
Department:	Boat Basin
Project Priority:	Low
Project Start Date:	2021
Project End Date:	2021

Project Description:

Water supply on the dam has been an ongoing problem since it is not below the frost line. The water supply needs to be properly installed.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$1,500
Construction	\$20,000
Construction Inspection	\$0
Total	\$21,500

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Funds:	\$0	\$0	\$0	\$0	\$21,500	\$21,500
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The Boat Basin needs to replace the existing water supply to the back dam area. Currently it is not below the frost lines and it is a constant problem.

Operating Cost Considerations:

Once project is complete, there will be yearly maintenance and upkeep.

Project Name:	Boat Basin Parking Lot
Project Type:	Parking Lot
Department:	Boat Basin
Project Priority:	Low
Project Start Date:	2019
Project End Date:	2019

Project Description:

The parking lot is starting to show its age and will need to be repaired in the next 5 years.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$2,500
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$40,000
Construction Inspection	\$0
Total	\$42,500

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Funds:	\$0	\$42,500	\$0	\$0	\$0	\$42,500
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The parking lot shows its age and is deteriorating. There is a lot of loose material, which creates trip hazards.

Operating Cost Considerations:

Once project is complete, there will be yearly maintenance and upkeep.

Project Name:	Boat Basin Float Replacement
Project Type:	Floats
Department:	Boat Basin
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2021

Project Description:

Rebuild and resurface floats (docks) within the boat basin utilizing new construction with “tub” underneath the floats so that no wood products are in the water.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$200,000
Construction Inspection	\$0
Total	\$200,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Funds:	\$50,000	\$50,000	\$50,000	\$50,000	\$0	\$200,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The Boat Basin currently is beginning to replace both floats and finger slips with a design that utilizes “tubs” under the floats. This keeps the wood decking from coming in contact with the water and will extend the life span of the floats. This will take place year over year for the next four years.

Operating Cost Considerations:

Once project is complete, there will be yearly maintenance and upkeep.

Project Name:	Boat Basin Main Gazebo Repair or Replacement
Project Type:	Gazebo Repair/Replacement
Department:	Boat Basin
Project Priority:	Moderate to High
Project Start Date:	2019
Project End Date:	2019

Project Description:

Repair/Replacement of main gazebo leading to main Docks and main ramp.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$3,500
Site Acquisition	\$0
Engineering/Design	\$5,000
Construction	\$60,000
Construction Inspection	\$0
Total	\$68,500

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Funds:	\$0	\$68,500	\$0	\$0	\$0	\$68,500
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The Boat Basin is upgrading in many ways and currently needs to bring the main Gazebo to a safe standing. Inspections of the lower section have shown age is taking its toll. We can stabilize currently but it will need to be replaced down the road. The main ramp is old and made from wood. It should be replaced with an aluminum dock, which is more substantial and of a wider width.

Operating Cost Considerations:

Once project is complete, there should be minor operating costs for many years. Yearly painting and cleaning will be ongoing expenses.



Project Name:	Boat Basin Launch Ramp Extension
Project Type:	Ramp Extension Replacement
Department:	Boat Basin
Project Priority:	High
Project Start Date:	2019
Project End Date:	2019

Project Description:

Current ramp is not usable after certain tides and then again before certain tides. There is a current ramp stop. The idea is to extend the ramp at least 30ft so it can be used by most boats at any tide.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$5,000
Site Acquisition	\$0
Engineering/Design	\$5,000
Construction	\$110,000
Construction Inspection	\$0
Total	\$120,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
Enterprise Reserve Funds:	\$0	\$20,000	\$0	\$0	\$0	\$20,000
Grants and Aid:	\$0	\$100,000	\$0	\$0	\$0	\$100,000
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The Boat Basin is in need of the ramp being usable at any tide for safe haul and launch, especially during times of storms like Sandy. The issue now is there is a ramp stop and the ramp does not extend far enough. We need to be set up like the village of Mamaroneck and be able to use the ramp as needed, regardless of the tide.

Operating Cost Considerations:

Once project is complete, there should be minor operating costs for many years. There is a possibility of State funds to assist with this project.



Project Name:	Police Vehicle Mobile Video Recorders and Body Cameras
Project Type:	Police Technology
Department:	Police
Project Priority:	High
Project Start Date:	2019
Project End Date:	2019

Project Description:

Mobile Video Recorders have been useful tools in the law enforcement profession for some years. Additionally, the recent emergence of body-worn cameras has already had an impact on policing, and this impact will only increase as more agencies adopt this technology. This project would replace an aging mobile video recorder camera system (in our police fleet) with a state of the art mobile video recorder camera system and incorporate a high tech body worn camera program for the Rye Police Department.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$125,000
Construction	\$0
Construction Inspection	\$0
Total	\$125,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$125,000	\$0	\$0	\$0	\$125,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

The purpose of this project is to replace an aging mobile video recorder camera system in our police fleet and to incorporate a body worn camera program for the Rye Police Department. These cameras can help promote agency accountability and transparency, and they can be useful tools for increasing officer professionalism, improving officer training, preserving evidence, and documenting critical encounters with the public. This is a high priority item as this law enforcement tool may actually reduce exposure to litigation and unwarranted citizen complaints.

Operating Cost Considerations:

All annual operating costs are minimal. The bulk of expenditures are factored into the initial outlay of funds.

Project Name:	Police Portable Radio Upgrade
Project Type:	Equipment
Department:	Police
Project Priority:	High
Project Start Date:	2018
Project End Date:	2018

Project Description:

Upgrade police department portable radios.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$100,000
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$0
Construction Inspection	\$0
Total	\$100,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022	Total
General Revenues:	\$100,000	\$0	\$0	\$0	\$0	\$100,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

Currently the City of Rye Police Department utilizes a Motorola PR 860 portable radio for all of our remote personal radio communication. The department purchased these portable radios in 2005 and they have been in service continually since that date. The radios have far exceeded their life expectancy over this 12 year period. Unfortunately, Motorola does not manufacture these particular units any longer and service/repair is getting more difficult due to lack of parts and accessories.

All members associated with the Police Department who utilize portable radio communication are currently assigned the Motorola PR860 and will need replacement. Replacement will also need to include charging stations and spare batteries. The current batteries and charging stations are only specific to the PR860 units. The total need for replacement breaks down as follows:

- Sworn officers – 37
- Parking Enforcement – 2
- Bay Constables – 5
- Public Safety Commissioner – 1
- Spare Units – 5
- Total replacement – 50

Operating Cost Considerations:

Minimal operating and maintenance costs will be incurred once the project is completed.

Project Name:	Fire Department Radio Upgrades
Project Type:	Public Safety
Department:	Fire
Project Priority:	High
Project Start Date:	2017
Project End Date:	2017

Project Description:

This project would replace and add new portable radios for all interior firefighters in the City of Rye Fire Department.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$100,000
Construction Inspection	\$0
Total	\$100,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2017	2018	2019	2020	2021+	Total
General Revenues:	\$100,000	\$0	\$0	\$0	\$0	\$100,000

Project Need/Issues:

Currently the Fire Department has an inventory of approximately 25 portable fire ground radios, these radios range in age from 3 years old to more than 10 years old. While these radios still operate and are serviceable, they are from an old antiquated system. New radios would provide many modern features. New radios would be issued to all Interior Firefighters of the department. Some of the key new features of the radios are emergency button, GPS location, and individual identifiers. These features would provide enhanced safety in the event that a firefighter became trapped disorientated or experienced some other life threatening situation while at a fire scene. The project would purchase 20 radios and the associated equipment needed. The radios can be purchased from a local vendor that has the New York State contract for these radios. The old radios would either be sold at auction as surplus equipment or they could be issued to active members that do not enter fires.

Operating Cost Considerations:

None.

Project Name:	Fire Boat
Project Type:	Public Safety
Department:	Fire
Project Priority:	Moderate
Project Start Date:	2018
Project End Date:	2019

Project Description:

This project would fund the purchase of a new fire boat for the Fire Department.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$250,000
Construction Inspection	\$0
Total	\$250,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$250,000	\$0	\$0	\$0	\$250,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

In the past the Rye Fire Department has had a Fire Boat that was donated to the Department by a resident. That boat sunk in a storm and has never been replaced (and no new donations have been made or are expected). The City of Rye has approximately 14 miles of coast line and a substantial amount of area covered by Long Island Sound. With multiple private Clubs offering varying levels of boating, a City owned Marina, and an island community with multiple private residences, there is a need to provide a level of Fire Protection and Life Safety Protection to the residents of Rye. Currently the only boat owned by the Fire Department is a 14 foot inflatable, which has no firefighting capabilities and only limited life safety uses. Its primary function is for flooding, not for use on Long Island Sound. A new boat would provide for greater service to the residents of Rye.

Operating Cost Considerations:

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Project Name:	Command Vehicles Replacement
Project Type:	Public Safety
Department:	Fire
Project Priority:	Urgent
Project Start Date:	2018
Project End Date:	2021

Project Description:

This project is for the ongoing replacement of the Fire Departments Command Vehicles.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$135,000
Construction Inspection	\$0
Total	\$135,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$60,000	\$0	\$0	\$75,000	\$0	\$135,000
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$0	\$0	\$0	\$0	\$0

Project Need/Issues:

This item is for the ongoing replacement of the Fire Department’s Command Vehicles. Currently the Fire Department operates four command vehicles; 2014 Ford Explorer, 2011 Chevy Tahoe, a second 2006 Chevy Tahoe, and a 2008 Ford Escape. This project is for the replacement of one of the 2006 Chevy Tahoes and the 2008 Ford Escape. By replacing one command vehicle in each of the following years the Fire Department will be able to maintain an up to date fleet of reliable vehicles. The cost includes the purchasing of the vehicle and outfitting the vehicle with lights, radios and specialized equipment needed for use as a Command Vehicle.

Operating Cost Considerations:

Operating cost would not change substantially. A new vehicle would require less maintenance so some savings from the Public Works Garage may be seen.

Project Name:	Fire Engine Replacement
Project Type:	Public Safety
Department:	Fire
Project Priority:	High
Project Start Date:	2018
Project End Date:	2018

Project Description:

This project is to replace a current Fire Engine that has reached the end of its expected usefulness to the City of Rye.

Estimated Project Costs:

Legal/Survey/Due Diligence	\$0
Site Acquisition	\$0
Engineering/Design	\$0
Construction	\$645,000
Construction Inspection	\$0
Total	\$645,000

Project Priority Considerations:

- Deteriorated Facility
- Public Safety/Legal Mandate
- Systematic Replacement/Operational Efficiency
- Resource Conservation/Environmental Quality
- New/Expanded Facility or Program
- Consistency with Formal Plans or Policy
- Funding Availability

Sources of Funding:

	2018	2019	2020	2021	2022+	Total
General Revenues:	\$0	\$0	\$0	\$0	\$0	\$0
Grants and Aid:	\$0	\$0	\$0	\$0	\$0	\$0
Debt:	\$0	\$645,000	\$0	\$0	\$0	\$645,000

Project Need/Issues:

This project will replace the current Engine 191 at Locust Ave Firehouse. Engine 191 is a 1994 Pierce Lance Rescue Engine; the life expectancy of this type of vehicle is 20 years. With the age of this apparatus comes increased maintenance needs and frequent mechanical break downs. The vehicle is in constant need of attention from the Public Works garage. There is a recurring issue with a Check Engine Warning light and loss of power to the engine. The vehicle has been looked at numerous times by the garage and has been sent to a special garage in New Jersey with no success in finding or repairing the problem. The generator which is used to power the “Jaws of Life” tool is no longer operational. The water tank used to supply water to the initial attack of a fire has developed a leak in the last year.

The vehicle is no longer reliable and is need of immediate replacement. If loss of power to the engine were to occur while operating at an emergency, the effects could be disastrous. After speaking with numerous fire apparatus manufacturers, it seems reasonable that we should expect to spend in the area of \$645,000 to replace this vehicle.

Operating Cost Considerations:

Operating cost would not change substantially. A new vehicle would require less maintenance so some savings from the Public Works Garage may be seen.



CITY COUNCIL AGENDA

NO. 9

DEPT.: Finance

DATE: October 4, 2017

CONTACT: Joseph S. Fazzino, Deputy Comptroller

AGENDA ITEM: Presentation on City Financials by Brendan K. Kennedy of the auditing firm of BST & Co, LLP.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION:

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND:

A presentation will be made by Brendan K. Kennedy of the auditing firm of BST & Co, LLP on City Financials.

See attached documents: 2016 Comprehensive Financial Annual Report (CAFR)
2016 Management letter



City of Rye, New York

Comprehensive Annual Financial Report

Fiscal Year Ended December 31, 2016

CITY OF RYE



**COMPREHENSIVE
ANNUAL
FINANCIAL REPORT**



For Fiscal Year Ended
December 31, 2016



Prepared by the Finance Department



Joseph Fazzino, Deputy Comptroller

City of Rye, New York
Comprehensive Annual Financial Report
Fiscal Year Ended December 31, 2016

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Sources: Unless otherwise noted, the information in these schedules is derived from the Comprehensive Annual Financial Reports for the relevant year.

Introductory Section

City of Rye, New York
List of Elected and Appointed Officials
December 31, 2016

Elected Officials

Joseph Sack, Mayor

Kirstin Bucci, Council Member

Emily Hurd, Council Member

Julie Killian, Council Member

Terrance McCartney, Council Member

Richard Mecca, Council Member

Danielle Tagger-Epstein, Council Member

Appointed Officials

Marcus Serrano, City Manager

Michael Billington, Fire Chief

Jim Buoniauto, Golf Club Manager

Michael Corcoran, Police Commissioner

Ryan Coyne, City Engineer

Carolyn D'Andrea Esq., City Clerk

Kerry Donahue, IT Coordinator

Joseph Fazzino, Deputy City Comptroller

George Hogben, Boat Basin Supervisor

Kerry Lenihan, City Building Inspector

Nicole Levitsky, RTV Coordinator

Eleanor Militana, Assistant City Manager

Christian Miller, City Planner

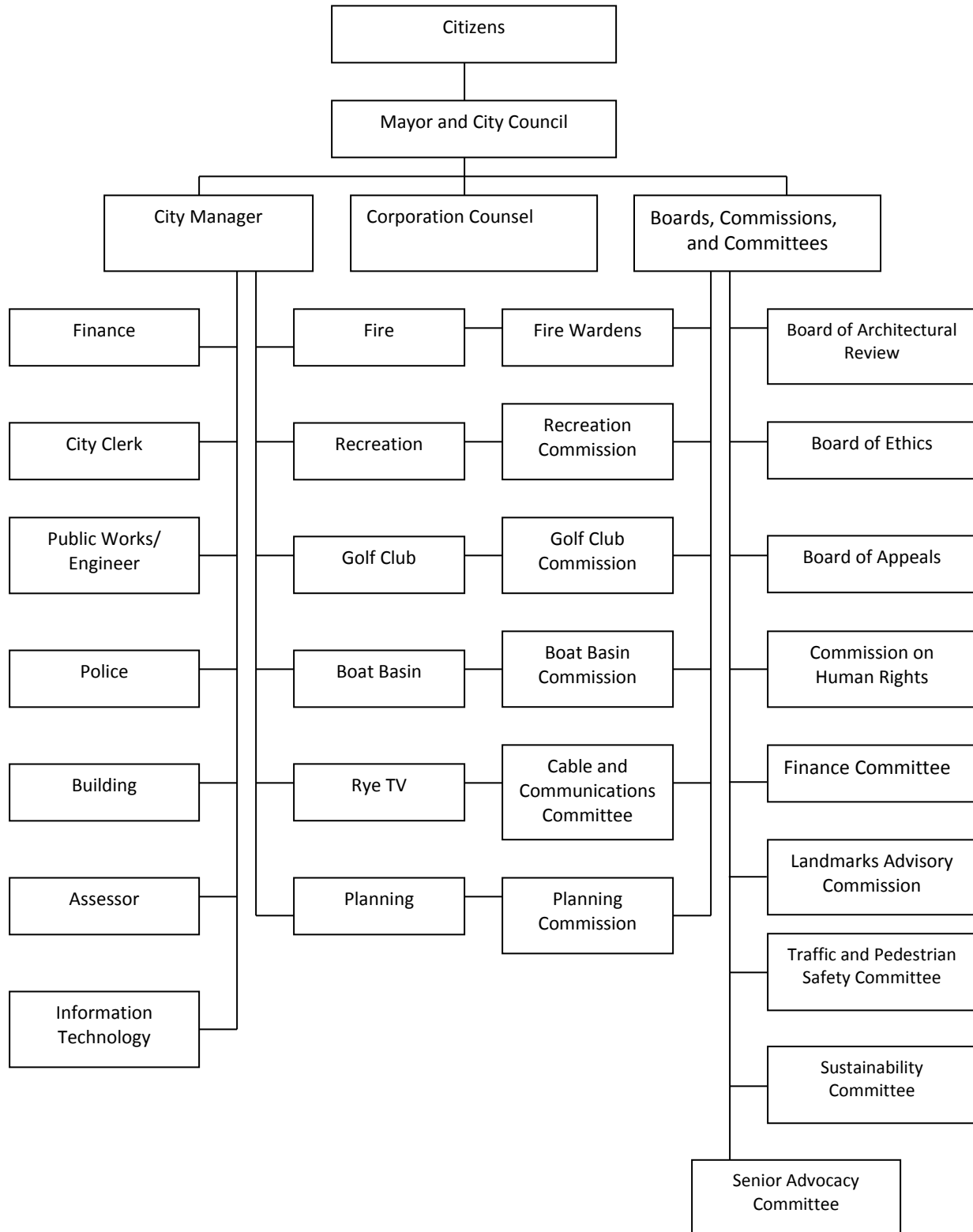
Sally Rogol, Superintendent of Recreation

Kurt Tiejn, Fire Inspector

Noreen Whitty, City Assessor

Kristen Wilson Esq., Corporation Counsel

City of Rye, New York Organizational Chart



Joseph S. Fazzino Jr.
Deputy City Comptroller
1051 Boston Post Road
Rye, New York 10580-2945

CITY OF RYE
Department of Finance

Tel: (914) 967-7303
Fax: (914) 967-7370
E-mail: jfazzino@ryeny.gov
<http://www.ryeny.gov>

June 12, 2017

To the Honorable Mayor, Members of the City Council, and Citizens of the City of Rye, New York:

Submitted herewith is the comprehensive annual financial report of the City of Rye, New York ("City") as of and for the year ended December 31, 2016.

This report consists of management's representations concerning the finances of the City. Consequently, management assumes full responsibility for the completeness and reliability of all of the information presented in this report. To provide a reasonable basis for making these representations, management of the City has established a comprehensive internal control framework that is designed to both protect the City's assets from loss, theft, or misuse and to compile sufficient reliable information for the preparation of the City's financial statements in conformity with accounting principles generally accepted in the United States of America. Because the cost of internal controls should not outweigh their benefits, the City's comprehensive framework of internal controls has been designed to provide reasonable, rather than absolute, assurance that the financial statements will be free from material misstatement. As management, we assert that to the best of our knowledge and belief, this comprehensive annual financial report is complete and reliable in all material respects.

The City's financial statements have been audited by BST & Co. CPAs, LLP, a firm of licensed certified public accountants. The goal of the independent audit was to provide reasonable assurance that the financial statements of the City for the fiscal year ended December 31, 2016, are free from material misstatement. The independent audit involved examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements; evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, and evaluating the overall financial statement presentation. The independent auditor concluded, based upon the audit, that there was a reasonable basis for rendering an unmodified opinion that the City's financial statements for the fiscal year ended December 31, 2016, are fairly presented in conformity with accounting principles generally accepted in the United States of America. The independent auditor's report is presented as the first component of the financial section of this report.

Accounting principles generally accepted in the United States of America require that management provide a narrative introduction, overview, and analysis to accompany the basic financial statements in the form of Management's Discussion and Analysis ("MD&A"). This letter of transmittal is designed to complement the MD&A and should be read in conjunction with it. The City's MD&A can be found immediately following the report of the independent auditor.

Profile of the City of Rye, New York

The City is located in Westchester County, New York, on the Long Island Sound, approximately twenty-five miles north of New York City. Founded in 1660, it became a village in 1904, and was incorporated as a city in 1942. The City's gentle and sophisticated country setting, conveniently located near a major metropolis, has earned it the reputation of being a highly desirable place to live. The City consistently makes the list of *Worth Magazine's* "250 Richest Towns," and its amenities have been featured in *The New York Times*.

Profile of the City of Rye, New York - Continued

The City maintains 71 miles of streets and roads, 53 miles of sanitary sewer lines; 6 pump stations, and 30 miles of storm drains within the borders of its six square miles. City-owned buildings include city hall, a police station, two firehouses, recreation facilities including a recreation center and other buildings, public works facilities consisting of offices, garages, and material storage buildings, a museum, a nature center, an arts center, marina offices and garages, and several buildings belonging to our golf club, including a historic "castle" (circa 1858) overlooking the golf course and Long Island Sound. The City prides itself in its maintenance and preservation of open space, with several parks and playgrounds for both passive and active recreation.

The United States' Census Bureau estimates the City's population count as of 2015 at 16,046.

On November 3, 1959, an amendment to the City Charter was approved by public referendum to create the position of City Manager. Since that time, the City has operated under the Council-Manager form of government. Policy-making and legislative authority are vested in the City Council, which consists of the Mayor and six other members. The City Council appoints the City Manager and the Corporation Counsel; supervises the work of all boards, commissions, and officers appointed by the City Council, and awards all contracts for public works requiring competitive bidding. The Mayor serves as head of the City government; appoints City judges subject to approval by the City Council; designates chairpersons of boards and commissions, and unless otherwise provided by law or the City Council, executes deeds, contracts, and other documents. City Council members, including the Mayor, each serve a four-year term of office, and are elected to office by registered voters authorized to vote in City elections.

The City Manager is the chief administrative officer of the City, and is responsible for all City affairs placed in his or her charge. The City Manager appoints all department heads and their subordinates, with the exception of the Corporation Counsel and police officers. With the advice and assistance of the City Comptroller, the City Manager prepares and submits to the City Council the annual tentative budget. The City Manager has control and supervision over purchasing, except for competitive bidding as required by law. The City Manager serves at the pleasure of the City Council for an indefinite term.

The City Comptroller serves at the pleasure of the City Manager for an indefinite term. All other department heads and employees serve terms subject to various laws, employment contracts, labor agreements, and/or the provisions of Civil Service Law.

The City provides a full range of services. General government services include vital statistics (birth and death certificates); business and occupational licenses, and a variety of other permits and licenses required under state or local law. Public safety is provided through a full-time police department; a fire department comprised of paid and volunteer firefighters, ambulance/EMS services contracted through a local volunteer ambulance corps, and building inspections provided by the building department. Transportation services include engineering, street maintenance and administration, snow removal, street lighting, and off-street parking. Recreational services include recreation activities (camps, athletics, tennis, youth and adult activities), parks and playgrounds, marina services, a golf course, a municipal pool, and a nature center. Home and community services includes community planning, conservation, emergency disaster, maintenance of sewers and drains, street cleaning, solid waste and recycling, community beautification and shade tree maintenance. Cultural services include library services contracted through a local library, an art center owned by the City but operated by an independent not-for-profit organization, and two government access cable television channels operated by the City.

Profile of the City of Rye, New York - Continued

The annual budget serves as the foundation for the City's financial planning and control. All departments of the City are required to submit requests for appropriations, revenue estimates for the forthcoming year, and a forecast of current year revenues and expenditures to the City Comptroller, on or before August 31 of each year. The City Comptroller reviews these submissions with the departments to ensure that the current forecasts are reasonable, and that the forthcoming year's appropriation requests and revenue estimates are clearly understood. The finance department, under the direction of the City Comptroller, completes the forecasts, appropriations, and revenue estimates for those items not specifically associated with a department, such as general revenues, internal service fund charges, inter-fund charges, and debt service requirements. When all of this information has been compiled and reviewed, the City Comptroller submits the entire set of requests and estimates to the City Manager, usually within the third week of September. The City Manager will meet with departments and the City Comptroller throughout the months of September and October to review the submissions, making adjustments as required or desired to meet the objectives that may have been expressed or implied by the City Council.

In accordance with §C21-2 of the City Charter, the City Manager must present a tentative budget to the City Council on the first Wednesday in November. Prior to a public hearing on the tentative budget, the City Council may make any changes to the tentative budget by a vote of at least four Council members. After considering the tentative budget, the City Council publishes a notice of public hearing on the tentative budget to be held no later than the first Wednesday in December of the current year, and with at least ten days' notice. After the public hearing, the City Council may adopt the budget without amendment, or, may continue to amend the budget, except for those items required by law or for debt service. Amendments that would increase the estimated revenues or total appropriations require five affirmative votes of the City Council. The City Council must adopt the budget and set the property tax rate for the forthcoming year on or before December 31 of the current year. If the City Council fails to adopt the budget by December 31, the tentative budget as amended by the City Council becomes the adopted budget. If no amendments to the tentative budget had been made by the City Council, the tentative budget as submitted by the City Manager becomes the adopted budget.

Throughout the year, the City Manager is authorized to make amendments between any accounts within a fund, provided that the amendments do not increase total estimated revenues or total appropriations. Amendments between funds or those that would increase total estimated revenues or appropriations must be made by resolution of the City Council. The original budget; the budget as amended by fiscal year end; actual results, and variances between the amended budget and actual results for the governmental funds are presented in the governmental funds subsection of this report. It should be noted that multi-year, rather than annual budgets, are adopted for the Capital Projects Fund. This report includes a project-length schedule for all projects within the Capital Projects Fund, including project budget, current year revenues and expenditures, project inception-to-date revenues and expenditures, and available project balance remaining at the end of the current fiscal year.

Factors Affecting Financial Condition

The information presented in the financial statements is best understood when it is considered in light of the specific environment in which the City operates.

Factors Affecting Financial Condition - Continued

The City's 2016 governmental activity revenues continued the upward trend of the past two years. Although stable, the City's 2016 business-type activity revenue declined as a result of credits offered to golf club members and one-time settlement revenues received in 2015. In 2016, General Fund revenues were approximately \$652,000 greater than 2015 revenues. Increases in property tax revenues of about \$318,000 and the largest component of this increase, as a result of an insurance settlement with Travelers, the City was reimbursed for its numerous legal costs pertaining to the Rye Golf Club investigation, of roughly \$599,000 were offset by decreases in State aid revenues of \$307,000. This decrease was driven by two major factors. During 2015, the City received over \$112,000 of additional and State emergency aid for Super-storm Sandy as FEMA continued to process numerous claims. Mortgage tax was down \$186,000 as this revenue item continues to be a difficult amount to predict on an annual basis. The City is cautiously optimistic that revenues will remain near 2016 levels. Based on the positive results in 2015 and 2016, the City used \$1,980,000 of General Fund unassigned fund balance for capital project expenditures in 2016, continuing an upward trend after having funding of \$235,000 in 2013, and no funding in 2012.

Taxable assessments rose slightly, increasing 1.02% (from \$138,817,827 to \$140,227,854), and our tax base is not dependent upon any single industry or taxpayer. The top ten principal taxpayers of the City represent roughly 7.5% of the tax roll, with public utilities representing 2.9%, commercial establishments 1.8%, and residential properties representing the remaining 2.8%.

Residential home sales reflect the upscale nature of our community. The City Assessor reported that 215 homes in the City were sold in 2016. Of these, 77% sold for \$1 million or more and 37% sold for \$2 million or more. The median home sales price decreased by 5.4% from \$1,649,500 in 2015 to \$1,560,000 in 2016, while the average home sales price dropped 10.4% from \$2,179,000 to \$1,952,000. The highest 2016 sales price reported for a single-family home was \$21,150,000.

Major employers with facilities located in the City enhance its economic strength by providing a wide variety of industries, including business services, electric and gas utilities, social services, primary metal industries, educational services, insurance services, investment banking, and miscellaneous retail operations. The distribution of the assessment roll for the current fiscal year, five years', and ten years' previous demonstrates a relative economic stability of the City over time:

Assessment Roll Classification			
Class	2015	2010	2005
Residential	74.10 %	72.02 %	70.50 %
Business	10.80	12.68	13.37
Other	15.10	15.30	16.13
Total	100.00 %	100.00 %	100.00 %

Note: Residential includes one to three-family homes, condominiums, cooperative apartments, and apartments. Business includes commercial, industrial, and recreational facilities. Other includes agriculture, vacant land, community service, utility, wetland, and parkland, most of which is exempt from property taxes.

Access to employment in New York City is readily available by car or mass transit (train or bus), with Interstate Route 95, Interstate Route 287, U.S. Route 1, and the Metro-North commuter train line intersecting in the City. Westchester County Airport is a short drive from the City. Two major international airports (John F. Kennedy and LaGuardia) are located within 30 miles and Newark International Airport lies within 45 miles of the City.

Factors Affecting Financial Condition - Continued

Local Economy

Economic data for the City from the United States Census Bureau's *American Community Survey* (2006-2010) compares quite favorably against the Westchester County, New York State, and national figures:

Data Item	City of Rye	Westchester County	New York State	United States
Per Capita	\$ 92,871	\$ 47,984	\$ 32,382	\$ 28,184
Median Household	155,422	81,946	58,003	52,250

The 2016 unemployment rate for Westchester County was 4.0% and continues to remain favorable against the 2016 rates for New York State (4.9%) and the United States (4.7%).

Long-Term Financial Planning

The City publishes documents that assist in its long-term financial planning, including a five-year *Capital Improvement Plan*. This document is available for review in City Hall, in the Rye Free Reading Room, and on the City's website (<http://www.ryeny.gov>). The City's Finance Committee is currently working on updating a Four-Year General Fund Plan.

Building permit revenues continue to be a large revenue source for the City, and sales tax revenues have exceeded levels prior to the recession. In 2013, mortgage taxes also returned to pre-recession levels. This shows signs of continued stabilization in the economy.

Over the past several years, the Federal Funds rate has maintained a low point of less than one percent. This will continue to have a significant impact on the City's interest earnings. While the Federal Reserve again slightly increased interest rates in 2016, General fund interest earnings were over \$902,000 in 2007 prior to the recession and were just \$84,000 in 2016, an increase of \$32,000 over 2015.

While State aid for the time being is projected to remain flat, it may also be further reduced as the State attempts to close its own budget shortfalls. The volatility of commodity markets could mean that broad and sweeping changes in our assumed costs of materials and supplies could increase our costs. Market liquidity, interest rates, and consumer confidence could have a profound effect on our outlook for sales and use taxes, mortgage taxes, and building permit revenues.

Effective for local government and school districts fiscal years beginning in 2015, the Adopted New York State Budget includes a proposal to effectively "freeze" property taxes for two years on the primary residences of homeowners with annual incomes at or below \$500,000. In order for homeowners in their jurisdictions to receive the property tax credit in the second year, the local government had to certify that it has stayed within the tax cap, as well as develop (or participate in the development of) a state-approved "Government Efficiency Plan" that features shared services, cooperation agreements, mergers, and/or efficiencies that, when implemented, will achieve savings for taxpayers.

The 2017 Budget year marked the first year that the NYS mandated property tax cap was overridden by the City of Rye. Large increases in uncontrollable costs such as health insurance and workers' compensation, have made staying under the tax cap unsustainable. We believe that 2017 is a year that requires responsible planning for 2018 and beyond, searching for new sources of revenue, trying to minimize increases in the aforementioned uncontrollable expenditures and evaluating and possibly reducing core services, while finding ways to improve infrastructure and adding capital for future needs.

Factors Affecting Financial Condition - Continued

Relevant Financial Policies

The City has adopted a *Comprehensive Financial Policy Document*, which is available in City Hall, the Rye Free Reading Room, and on the City's website. This document contains all of the City's adopted financial policies, including budget, cash management and investment, capital assets, debt management, revenues and expenditures, operating position, and procurement/purchasing. This document is reviewed at least annually and as needed to incorporate applicable recommended practices.

The City prepares its budgets, capital improvement plans, and forecasts with the various financial policies in mind, and operates in compliance with the policies. The City does not foresee any issues with its ability to comply with the current policies in the implementation of its near and long-term initiatives.

Major Initiatives

The City passed a bond referendum in 2012. Infrastructure improvements to be financed with the proceeds of this bond include: major safety improvements to the Smith/Elm/Purchase Streets intersection, reconstruction of portions of Smith Street to address a dangerous "sinkhole" beneath the paved surface, replacing an old and decaying sewer siphon on Locust Avenue, and an assortment of sidewalk and pedestrian safety improvements city-wide, primarily around school zones.

The 2016 Budget called for \$1.885 million in capital projects. In addition to the annual street, sidewalk, traffic light/pedestrian safety and sewer maintenance programs, work began on projects including the Safe Routes to School implementation, the Nature Center Bridge Repair, and the revisiting of the City's Master Plan. As noted in the City's current Capital Improvement Plan (CIP), there are increasing regulatory pressures on the City from State and County authorities to make improvements to the system that reduce inflow and infiltration of stormwater, groundwater, and illegal discharges. In 2017, it is anticipated that the City will be required to complete a Sanitary Sewer Evaluation Survey (SSES). The cost of the SSES, which includes months of metering and analysis, is substantial and it will likely identify the need for potential capital improvements to the City's sewer collection system. The 2017 Budget added an additional \$1.5 million in capital projects including another \$1 million for street resurfacing, replacement of pumps at the Dearborn Pump Station and improvements to the First/Second Street parking lots.

Awards and Acknowledgements

The Government Finance Officers Association ("GFOA") awarded a *Certificate of Achievement for Excellence in Financial Reporting* to the City for its Comprehensive Annual Financial Report ("CAFR") for the fiscal year ended December 31, 2015. This was the nineteenth consecutive year that the City received this prestigious award. In order to be awarded a Certificate of Achievement, the City had to publish an easily readable and efficiently organized CAFR that satisfied both accounting principles generally accepted in the United States of America and applicable legal requirements.

The City also received the GFOA's *Distinguished Budget Presentation Award* for its fiscal 2016 annual budget document, making it the fourteenth consecutive year that the City received the award. In order to qualify for this award, the City's budget document had to be judged proficient as a policy document, a financial plan, an operations guide, and a communications device.

Awards and Acknowledgements - Continued

The preparation of this report would not have been possible without the dedicated services of all City departments concerning information specific to their operations. I would like to thank the Finance Department Staff for their hard work, as it is a pleasure to work with them on a daily basis. We appreciate the input from our independent auditor, BST & Co. CPAs, LLP, and we commend them on their timely and professional completion of their audit of the City of Rye as well as their guidance on various topics throughout the course of the year. Our gratitude is extended to our Mayor and City Council, who continuously strive to enhance the quality of life for our citizens.

Most importantly, our thanks go to the citizens and residents, for giving us the opportunity to serve our fine City.

Respectfully submitted,

Joseph S. Fazzino, Jr.
Deputy City Comptroller



Government Finance Officers Association

**Certificate of
Achievement
for Excellence
in Financial
Reporting**

Presented to

**City of Rye
New York**

For its Comprehensive Annual
Financial Report
for the Fiscal Year Ended

December 31, 2015

Executive Director/CEO

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Financial Section



Independent Auditor's Report

The Honorable Mayor and City Council
City of Rye, New York
Rye, New York

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Rye, New York (City) as of and for the year ended December 31, 2016, and the related notes to the financial statements, which collectively comprise the City's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Rye, New York as of December 31, 2016, and the respective changes in financial position and, where applicable, cash flows thereof and the budgetary comparison for the general fund for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis on pages 4 through 14, and the schedules of funding progress - other postemployment benefits, proportionate share of the net pension liability, and pension contributions on pages 61 through 64 be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Supplementary Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City's basic financial statements. The other supplementary information listed in the accompanying table of contents, and the introductory and statistical sections are presented for purposes of additional analysis and are not a required part of the basic financial statements.

The other supplementary information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the other supplementary information is fairly stated, in all material respects, in relation to the basic financial statements as a whole.

The introductory and statistical sections have not been subjected to the auditing procedures applied in the audit of the basic financial statements, and accordingly, we do not express an opinion or provide any assurance on them.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated June 12, 2017, on our consideration of the City's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City's internal control over financial reporting and compliance.

BST & CO. CPAs, LLP

Albany, New York
June 12, 2017



City of Rye, New York

Management's Discussion and Analysis December 31, 2016

As management of the City of Rye, New York ("City"), we offer readers of the City's financial statements this narrative overview and analysis of the financial activities of the City for the fiscal year ended December 31, 2016. We encourage readers to consider the information presented here in conjunction with additional information that we have furnished in our letter of transmittal, which can be found at the beginning of this report.

Financial Highlights

- ❖ On the government-wide financial statements, the assets of the City exceeded its liabilities at the close of the most recent fiscal year by \$55,292,315. Of this amount, the unrestricted portion for governmental activities is a deficit of \$15,186,668. This deficit results primarily from the accrual of certain operating liabilities pursuant to Governmental Accounting Standards Board ("GASB") Statement No. 34, which will be satisfied in future years, including tax certiorari claims, compensated absences, the accrual of the City's annual other post-employment benefit cost in accordance with the provisions of GASB Statement No. 45, and beginning in 2015, GASB Statement No. 68 requires employers (which previously had no reporting responsibility related to pension liability/assets) to include in their financial statements their proportionate shares of the net pension liability on their financial statements, as well as other statistics, such as pension expense and deferred inflows/outflows.
- ❖ The City's total net position decreased by \$2,301,842.
- ❖ Excluding the Capital Projects Fund, the City's governmental funds reported combined ending fund balances of \$13,290,677, of which \$4,930,854 is unassigned and available for spending at the City's discretion.
- ❖ At the end of the current fiscal year, unassigned fund balance for the General Fund was \$4,930,854, or about 15.0% of total General Fund expenditures, up from \$4,521,623, or 13.6% of expenditures in the prior year, as 2016 actual results were favorable compared to budgeted revenues and expenditures.
- ❖ The City's total bonded debt decreased 11.7% from \$14,675,000 to \$12,955,000 during the current fiscal year, representing \$1,720,000 in principal payments made during the year.

Overview of the Financial Statements

This discussion and analysis is intended to serve as an introduction to the City's basic financial statements, which are comprised of three components: government-wide financial statements; fund financial statements, and notes to financial statements. This report also contains other supplementary information in addition to the basic financial statements.

Government-Wide Financial Statements

The government-wide financial statements are designed to provide the reader with a broad overview of the City's finances, in a manner similar to private-sector business.

The statement of net position presents information on all of the City's assets, liabilities, and deferred inflows/outflows of resources, with the difference reported as net position. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the City is improving or deteriorating.

City of Rye, New York

Management's Discussion and Analysis December 31, 2016

Overview of the Financial Statements - Continued

The statement of activities presents information showing changes in the City's net position during the most recent fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods (for example, uncollected taxes, earned but unused vacation leave and other post-employment benefit obligations).

Both of the government-wide financial statements distinguish functions of the City that are governmental activities (those principally supported by taxes and revenues from other governments) and functions of the City that are business-type activities (those that are intended to recover all or a significant portion of their costs through user fees and charges). The governmental activities of the City include general government, public safety, transportation, culture and recreation, and home and community services. The business-type activities of the City include the DePauw Municipal Boat Basin and the Rye Golf Club. The government-wide financial statements can be found on the pages immediately following this MD&A.

Fund Financial Statements

A fund is a grouping of related accounts that is used to maintain control over resources that have been segregated for specific activities or objectives. The City, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. All of the funds of the City can be divided into three categories: governmental funds, proprietary funds, and fiduciary funds.

Governmental Funds

Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, unlike the government-wide financial statements, governmental fund financial statements focus on near-term inflows and outflows of spendable resources, as well as balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating a government's near-term financing requirements.

Because the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for governmental funds with similar information presented for governmental activities in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the City's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balances provide a reconciliation to facilitate this comparison between governmental funds and governmental activities.

The City maintains five individual governmental funds: the General Fund, the Cable TV Special Revenue Fund, the K.T. Woods Permanent Fund, the Debt Service Fund, and the Capital Projects Fund. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures, and changes in fund balances for the General Fund and the Capital Projects Fund, both of which are considered to be major funds. Information from the other non-major governmental funds is combined into a single, aggregated presentation. Individual information on each of these funds is provided in the form of combining statements and schedules found elsewhere in this report. The City adopts annual budgets for the General Fund, Cable TV Fund, K.T. Woods Permanent Fund, and Debt Service Fund. Budgetary comparison statements have been provided for these funds to demonstrate compliance with their budgets. Multi-year budgets are adopted for the Capital Projects Fund, and a project-length schedule that provides information concerning these projects and their budgets is included elsewhere in this report.

City of Rye, New York

Management's Discussion and Analysis December 31, 2016

Overview of the Financial Statements - Continued

Proprietary Funds

The City maintains two types of proprietary funds - enterprise funds and internal service funds. Enterprise funds are used to report the same functions presented as business-type activities in the government-wide financial statements. The City uses enterprise funds to account for its marina (the DePauw Municipal Boat Basin) and golf course (the Rye Golf Club) operations. Internal service funds are used to accumulate and allocate costs internally among the City's various functions. The City uses internal service funds to account for its general liability insurance coverage (the Risk Retention Fund), and the acquisition, operation, maintenance and disposition of its buildings and vehicles (the Building and Vehicle Maintenance Fund). These services have been classified as governmental activities in the government-wide financial statements because they predominantly benefit governmental, rather than business-type, functions.

Proprietary funds provide the same type of information as the government-wide financial statements, only in more detail. The proprietary fund financial statements provide separate information for the Boat Basin Fund and the Golf Club Fund, both of which are considered to be major funds of the City. Conversely, both internal service funds are combined into a single, aggregated presentation in the proprietary fund financial statements. Individual fund data for the internal service funds is provided in the form of combining statements elsewhere in this report. The basic proprietary fund financial statements are presented in this report.

Fiduciary Funds

Fiduciary funds are used to account for resources held for the benefit of parties outside the government. Fiduciary funds are not reflected in the government-wide financial statements because the resources of those funds are not available to support City programs. The City maintains only one type of fiduciary fund that is known as an agency fund. The City holds resources in this fund purely in a custodial capacity. The activity in this fund is limited to the receipt, temporary investment, and remittance of resources to the appropriate individual, organization, or government. The agency fund financial statements are presented in this report.

Notes to Financial Statements

The notes to financial statements are an integral part of those statements and provide additional information that is essential to a full understanding of the data provided in the government-wide and fund financial statements.

Other Information

The combining statements referred to earlier in connection with the non-major governmental funds and the two internal service funds are presented immediately following the basic financial statements. Combining and individual fund statements and schedules can be found elsewhere in this report.

City of Rye, New York

Management's Discussion and Analysis December 31, 2016

Government-Wide Financial Analysis

As noted earlier, net position may serve over time as a useful indicator of a government's financial position.

	December 31,					
	Governmental Activities		Business-type Activities		Total	
	2016	2015	2016	2015	2016	2015
Current and other assets	\$ 26,576,702	\$ 26,058,786	\$ 6,781,539	\$ 6,634,914	\$ 33,358,241	\$ 32,693,700
Capital assets, net	66,369,312	65,980,126	10,218,252	9,999,845	76,587,564	75,979,971
Total assets	92,946,014	92,038,912	16,999,791	16,634,759	109,945,805	108,673,671
Deferred outflows of resources	11,854,666	3,295,401	456,213	144,818	12,310,879	3,440,219
Current liabilities	4,234,601	4,400,217	1,831,968	711,185	6,066,569	5,111,402
Long-term liabilities	57,319,883	47,141,807	2,172,994	2,215,130	59,492,877	49,356,937
Total liabilities	61,554,484	51,542,024	4,004,962	2,926,315	65,559,446	54,468,339
Deferred inflows of resources	1,354,225	47,025	50,698	4,369	1,404,923	51,394
Net position						
Net investment in capital assets	54,032,854	52,289,335	9,416,698	8,811,057	63,449,552	61,100,392
Restricted	3,045,785	2,373,603	-	-	3,045,785	2,373,603
Unrestricted	(15,186,668)	(10,917,674)	3,983,646	5,037,836	(11,203,022)	(5,879,838)
Total net position	\$ 41,891,971	\$ 43,745,264	\$ 13,400,344	\$ 13,848,893	\$ 55,292,315	\$ 57,594,157

For the City, assets exceeded liabilities by \$55,292,315 at the close of the most recent fiscal year. Of this amount, \$63,449,552 reflects the City's investment in capital assets (land, buildings, improvements, machinery and equipment, construction-in-progress, and infrastructure), net of depreciation and any related outstanding debt. The City uses these capital assets to provide services to citizens. Consequently, these assets are not available for future spending. Although the City's investment in its capital assets is reported net of related debt, it should be noted that the resources needed to repay this debt must be provided from other sources, since the capital assets themselves cannot be used to liquidate the debt.

An additional portion of the City's net position, \$3,045,785, represents resources that are subject to external restrictions on how they may be used. The remaining balance of unrestricted net position is a deficit of \$11,203,022. This deficit does not mean that the City does not have resources available to meet its obligations in the ensuing year. Rather, it is the result of having long-term commitments, including claims payable of \$1,295,495, compensated absences of \$1,393,887, OPEB obligations of \$33,780,380, and net pension liability of \$10,054,144, that are greater than currently available resources. Payments for these liabilities will be budgeted in the year that actual payment will be made.

Governmental Activities

Governmental activities decreased the City's net position by \$1,853,293. Major revenues received included:

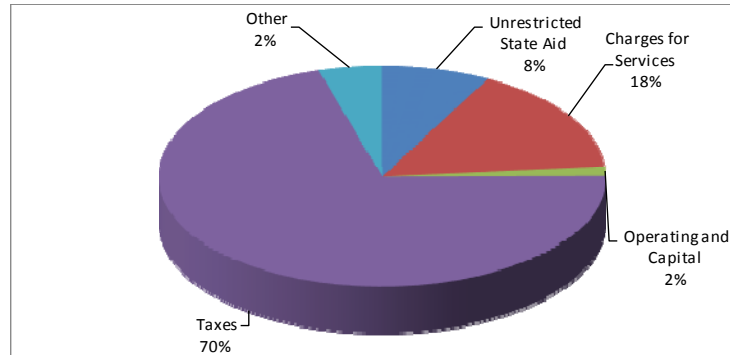
- ❖ \$22,528,077 in real property taxes and related items.
- ❖ \$2,297,696 in sales and use taxes.
- ❖ \$160,530 in hotel occupancy taxes.
- ❖ \$369,263 in utilities gross receipts taxes.
- ❖ \$91,202 from interest and investment income.
- ❖ \$2,831,745 in state aid not restricted to specific programs, including \$1,208,024 in State Revenue Sharing and \$1,623,721 in Mortgage Tax Revenue.
- ❖ \$1,647,735 in other revenues.

City of Rye, New York

Management's Discussion and Analysis December 31, 2016

Government-Wide Financial Analysis - Continued

Revenues by Source - Governmental Activities



Revenues from charges for services were \$5,637,986. Governmental programs received \$70,689 in operating grants and \$416,237 in capital grants, representing State Aid (CHIPS) for road improvements.

	December 31,					
	Governmental Activities		Business-type Activities		Totals	
	2016	2015	2016	2015	2016	2015
REVENUES						
Program revenues						
Charges for services	\$ 5,637,986	\$ 5,685,166	\$ 4,759,129	\$ 5,374,256	\$ 10,397,115	\$ 11,059,422
Operating grants and contributions	70,689	202,322	8,500	4,385	79,189	206,707
Capital grants and contributions	416,237	369,661	-	-	416,237	369,661
General revenues						
Real property taxes and related items	22,528,077	22,033,338	-	-	22,528,077	22,033,338
Non-property taxes	2,827,489	2,834,113	-	-	2,827,489	2,834,113
Unrestricted use of money and property	91,202	58,438	24,394	7,807	115,596	66,245
Unrestricted state aid	2,831,745	3,017,973	-	-	2,831,745	3,017,973
Other revenues	1,647,735	901,314	1,034,574	2,611,370	2,682,309	3,512,684
Total revenues	<u>36,051,160</u>	<u>35,102,325</u>	<u>5,826,597</u>	<u>7,997,818</u>	<u>41,877,757</u>	<u>43,100,143</u>
EXPENSES						
General government	3,773,782	3,944,226	-	-	3,773,782	3,944,226
Public safety	18,390,138	17,724,572	-	-	18,390,138	17,724,572
Transportation	4,109,760	5,539,231	-	-	4,109,760	5,539,231
Culture and recreation	4,898,866	4,698,776	-	-	4,898,866	4,698,776
Home and community services	6,253,568	6,035,152	-	-	6,253,568	6,035,152
Interest	478,339	510,774	-	-	478,339	510,774
Boat basin	-	-	940,029	920,267	940,029	920,267
Golf club	-	-	5,335,117	4,282,357	5,335,117	4,282,357
Total expenses	<u>37,904,453</u>	<u>38,452,731</u>	<u>6,275,146</u>	<u>5,202,624</u>	<u>44,179,599</u>	<u>43,655,355</u>
CHANGE IN NET POSITION	(1,853,293)	(3,350,406)	(448,549)	2,795,194	(2,301,842)	(555,212)
NET POSITION, beginning of year	<u>43,745,264</u>	<u>47,095,670</u>	<u>13,848,893</u>	<u>11,053,699</u>	<u>57,594,157</u>	<u>58,149,369</u>
NET POSITION, end of year	<u>\$ 41,891,971</u>	<u>\$ 43,745,264</u>	<u>\$ 13,400,344</u>	<u>\$ 13,848,893</u>	<u>\$ 55,292,315</u>	<u>\$ 57,594,157</u>

Business-Type Activities

Business-type activities decreased the City's net position by \$448,549.

Revenues from charges for services were \$668,258 from marina operations and \$4,090,871 from golf club operations. A business operating grant of \$8,500 represents FEMA aid to the Boat Basin for Hurricane Irene. \$1,034,574 in other revenues includes the City's insurance recovery for the Rye Golf Club theft claim. Combined, the business-type activities earned \$24,394 in interest and investment income.

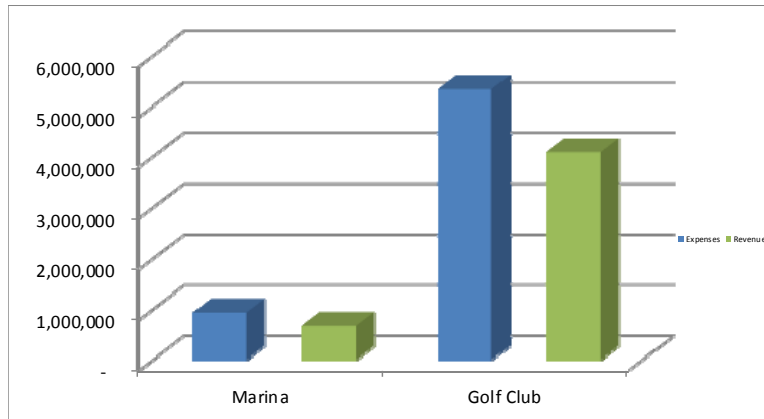
City of Rye, New York

Management's Discussion and Analysis December 31, 2016

Government-Wide Financial Analysis - Continued

Business-Type Activities - Continued

Offsetting these revenues were expenses of \$940,029 for marina operations and \$5,335,117 for golf club operations, which includes the City's settlement of \$1,000,000 for the Fair Labor Standards claim by former Golf Club restaurant employees.



Financial Analysis of the City's Funds

As noted earlier, the City uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental Funds

The focus of the City's governmental funds is to provide information on near-term inflows, outflows, and balances of spendable resources. Such information is useful in assessing the City's financing requirements. In particular, unassigned fund balance may serve as a useful measure of a government's net resources available for spending at the end of the fiscal year. Excluding the Capital Projects Fund, the City's governmental funds reported combined ending fund balances of \$13,290,677, a decrease of \$25,445 (0.2%) from the prior year. Approximately 37.1% (\$4,930,854) of the total ending fund balance, excluding the Capital Projects Fund, constitutes unassigned fund balance, which is available for spending at the City's discretion. The remainder of fund balance is classified as non-spendable, restricted, or assigned in accordance with the provisions of GASB Statement No. 54.

The General Fund is the chief operating fund of the City. At the end of the current fiscal year, unassigned fund balance was \$4,930,854, representing 40.3% of the total General Fund balance of \$12,236,766. Two useful measures of liquidity are the percentage of unassigned fund balance to total expenditures and total fund balance to total expenditures. At the end of the current fiscal year, the General Fund showed a 14.1% unassigned fund balance to total expenditures and other financing uses and a 34.9% total fund balance to total expenditures and other financing uses.

City of Rye, New York

Management's Discussion and Analysis December 31, 2016

Financial Analysis of the City's Funds - Continued

The General Fund's fund balance decreased by \$189,578 during the current fiscal year. Of this amount, transfers out of the General Fund exceeded transfers in by \$2,340,609. The transfers out were as follows: \$273,609 to the Debt Service Fund for long-term debt principal and interest requirements and \$1,980,000 to the Capital Projects Fund and \$87,000 to the Building and Vehicle Fund for annual infrastructure and vehicle projects. There were no transfers in from other funds in 2016. Revenues of the General Fund exceeded expenditures of that fund by \$2,151,031. Major changes in specific revenues and expenditures in comparison to the prior year are as follows:

- ❖ Real property tax revenues increased \$317,413 (1.4%) from \$22,007,859 to \$22,325,572, which reflects our tax rate increase, offset by refunds to taxpayers due to tax certiorari and small claims assessment review settlements.
- ❖ Non-property taxes remained flat (-0.2%) from \$2,834,113 to \$2,827,489, due to increases in sales and use tax of \$32,524 and decreases in utility taxes of \$23,211 and hotel occupancy taxes of \$15,937.
- ❖ Charges for services decreased \$93,131 (5.7%) from \$1,647,702 to \$1,554,571 with decreases in certain revenue captions such as recreation fees of \$49,787, parking meter revenues of \$27,501 and surface water control fees of \$14,800.
- ❖ Interest and investment income increased \$32,185 (62.3%), from \$51,678 to \$83,863 due to increased interest rates.
- ❖ Licenses and permit revenues decreased \$54,931 (2.0%) from \$2,807,489 to \$2,752,558 which can be attributed to certain increases in licenses and permit revenue captions such as parking permit revenues of \$69,865 (new waitlist fees and snow field permits) and a decrease in building department permit revenues of \$128,545, or 6.6% over 2015. However, building department permit revenues outperformed the budget by \$273,275.
- ❖ Fines and forfeitures increased \$130,052 (19.9%), from \$654,842 to \$784,894, primarily due to increased vehicle and traffic fines of \$138,397.
- ❖ Miscellaneous revenues decreased \$13,537 (7.1%) from \$191,416 to \$177,879, primarily due to a decrease in the sale of army surplus equipment of \$42,640 offset by donations to various recreation programs of \$25,426.
- ❖ Sales and loss compensation increased \$639,226, led by the aforementioned insurance settlement with Travelers, of \$599,013, increased police overtime reimbursements of \$81,995, offset by decreases in workers' compensation recoveries of \$39,247.
- ❖ Mortgage tax revenues decreased \$186,228 from \$1,809,949 to \$1,623,721. State revenue sharing remained flat. State aid for navigation decreased by \$17,288.
- ❖ The City received \$112,294 of additional and State emergency aid for Super-storm Sandy as FEMA continued to process numerous claims in 2015. This revenue stream was not available in 2016.
- ❖ Overall salaries and wages decreased \$456,861 (3.4%) from \$13,525,601 in 2015 to \$13,068,740 in 2016, mostly due to vacancies in certain positions throughout the course of the year. There were 11 retirements and 2 resignations from the end of 2015 and during 2016. While these positions were eventually filled, in most cases they were done so with employees earning lower salaries than their predecessors.
- ❖ Equipment purchases decreased \$37,110 (14.7%) from \$253,138 to \$216,028 with increased purchases of Police Patrol Equipment of \$32,022 offset by numerous decreases in purchases of equipment across the city, led by computers and systems of \$26,724, firefighting equipment of \$10,457, and parking systems of \$9,884 (credit card readers purchased in 2015).

City of Rye, New York

Management's Discussion and Analysis December 31, 2016

Financial Analysis of the City's Funds - Continued

- ❖ Materials and supplies decreased \$53,264 (2.6%) from \$2,059,195 to \$2,005,931. This can be attributed to decreases in salt and deicing costs of \$142,940, due to the harsh winter in 2015 and a somewhat mild winter in 2016, offset by increased purchases of recycling supplies of \$29,874 asphalt and concrete of \$29,285, and uniforms and accessories of \$26,607.
- ❖ Contractual costs were up \$225,194 (2.9%) from \$7,779,167 to \$8,004,361 with increases in legal fees of \$330,629 and decreased inter-fund service charges of \$121,903.
- ❖ Employee benefits and taxes decreased \$121,701 (1.27%) from \$9,554,833 to \$9,433,132. There were decreases in employee hospitalization and medical costs of \$245,857 (due to the previously mentioned retirements and the firefighter union switching health insurance plans offsetting a health insurance premium increase for the rest of City employees), decreased retirement costs of \$50,995 (decreased contribution rates and less funding due to employee retirements offset the reporting of retroactive contract settlements and employee retirement payouts to NYS Retirement in 2016) and a decrease in FICA expense of \$42,302. These decreases were negated by increases in workers' compensation costs of \$141,732 (representative of a 13% increase by the Workers' Compensation Board) and an increase in retiree health insurance of \$116,497, attributed to the 11 retirements from 2015 and 2016.

The Capital Projects Fund closed the fiscal year with a fund balance of \$2,148,042. Capital Projects Fund revenues of \$527,752, expenditures of \$1,960,143, and other financing sources and uses of \$1,980,000 (net) represent only the current fiscal year's portion of total project activity. An itemized project-length schedule of all projects is presented elsewhere in this report.

Proprietary Funds

The City's proprietary funds statements provide the same type of information found in the government-wide financial statements, but in greater detail.

Total net position of the Boat Basin Fund at year-end was \$1,706,102, a decrease of \$257,907 from the prior year. The net investment in capital assets was \$789,153 and unrestricted net position of \$916,949 represented the balance of net position. The operating loss of the Boat Basin Fund was \$268,116 (operating revenues of \$668,258 offset by \$936,374 of operating expenses, including \$420,154 in depreciation). Interest income of \$1,874 and interest expense \$165 and federal and state aid of \$8,500 (FEMA aid for Hurricane Irene) made up the balance of total net income.

Total net position of the Golf Club Fund at year-end was \$11,930,873, a decrease of \$169,840 from the prior year. The net investment in capital assets was \$8,598,721 with unrestricted net position of \$3,332,152 representing the balance of net position. Golf Club Fund operating expenses of \$5,287,195 offset operating revenues of \$4,090,871 to arrive at an operating loss of \$1,196,324. Included in the operating loss is the accrual of \$1,000,000 for the City's settlement of the FLSA claim by former Golf Club restaurant employees. As mentioned last year, the City received \$2,519,000 in compensation from a chemical company, from whom the City purchased a chemical that caused damage to the Golf Course greens. In 2016, the City offered a discount to returning members due to the situation above, resulting in the decline of charges for services in 2016. Interest income of \$22,520 offset by \$30,610 of interest expense related to long-term debt, as well as the sale of property and compensation for losses of \$1,034,574 made up the balance of total net income. \$950,987 of this amount was the Golf Club's share of the insurance settlement with Travelers for the Rye Golf Club theft claim.

City of Rye, New York

Management's Discussion and Analysis December 31, 2016

General Fund Budgetary Highlights

At the end of 2016, the difference between the operating appropriations originally budgeted and the amended budget for the General Fund was \$1,335,101 (\$36,045,972 vs. \$37,381,073), a change of 3.7%. This can be attributed to the appropriation of prior year encumbrances carried forward of \$180,752, \$389,349 in carry forward balances of recreation special events, an appropriation of \$230,000 for legal fees, an appropriation of \$500,000 for the Hewlett Avenue pump station project, and an appropriation of funds designated for law enforcement in the amount of \$35,000 for the purchase of a police vehicle. Actual expenditures and encumbrances at December 31, 2016, were \$1,943,480 less than the 2016 amended budget, 5.2%.

At year-end, there is no difference between the original and amended budget for the General Fund estimated operating revenues (\$33,398,657). However, the actual revenues realized for the year ended December 31, 2016 exceeded the anticipated amount in the final budget by \$1,480,561. The most significant were as follows: sales and use tax revenues, penalties and interest on payments of delinquent taxes, interest income, parking permit revenues, building permit revenues, vehicle and traffic fines, police overtime reimbursement, and insurance recoveries.

Capital Assets and Debt Administration

Capital Assets

The City's investment in capital assets for governmental and business-type activities at December 31, 2016, net of \$57,917,050 of accumulated depreciation, was \$76,587,564. This investment in capital assets includes land, buildings, improvements, machinery and equipment, construction-in-progress, and infrastructure.

Major capital asset events during the current fiscal year included the following:

General vehicle and equipment additions totaled \$503,564 including an administrative vehicle for \$27,955 a police vehicle for \$42,963, a Freightliner plow truck for \$206,237, DPW truck upgrades for \$106,920, and DPW garage vehicle lifts for \$116,080.

- ❖ There were no major additions to the City's infrastructure completed in 2016, however, several projects began in 2016 that will be completed in 2017. These projects include the Central Business District Streetscape improvements and improvements to the Hewlett Avenue pump station. \$538,317 was spent on street resurfacing in 2016.

Assets	Capital Assets					
	December 31, 2016			December 31, 2015		
	Governmental Activities	Business-Type Activities	Total	Governmental Activities	Business-Type Activities	Total
Land	\$ 4,561,755	\$ 1,700,408	\$ 6,262,163	\$ 4,561,755	\$ 1,700,408	\$ 6,262,163
Construction in progress	3,752,118	432,039	4,184,157	2,415,946	27,317	2,443,263
Buildings	16,506,163	11,759,480	28,265,643	16,506,163	11,759,480	28,265,643
Improvements	4,882,488	8,461,004	13,343,492	4,846,416	7,831,733	12,678,149
Machinery and equipment	11,991,513	1,326,625	13,318,138	11,487,950	1,254,764	12,742,714
Infrastructure	69,131,020	-	69,131,020	69,083,414	-	69,083,414
Less, accumulated depreciation	<u>(44,455,745)</u>	<u>(13,461,304)</u>	<u>(57,917,049)</u>	<u>(42,921,518)</u>	<u>(12,573,857)</u>	<u>(55,495,375)</u>
Total (net of depreciation)	<u>\$ 66,369,312</u>	<u>\$ 10,218,252</u>	<u>\$ 76,587,564</u>	<u>\$ 65,980,126</u>	<u>\$ 9,999,845</u>	<u>\$ 75,979,971</u>

Additional information on the City's capital assets can be found in Note 3c in the notes to financial statements.

City of Rye, New York

Management's Discussion and Analysis December 31, 2016

Capital Assets and Debt Administration - Continued

Long-Term Debt

At the end of the current fiscal year, the City had total bonded debt outstanding of \$12,955,000. As required by New York State Law, all bonds issued by the City are general obligation bonds, backed by the full faith and credit of the City. As a policy, principal and interest on debt issued by the enterprise funds must be repaid solely from revenues of those funds. Of the \$12,955,000 bonded debt outstanding at fiscal year-end, \$790,000 represented debt of the Golf Club Enterprise Fund.

Known as the "constitutional debt limit," and pursuant to New York State Local Finance Law §104, the City must limit total outstanding long-term debt to no more than 7% of the five-year average full valuation of real property. At December 31, 2016, the City had exhausted 2.19% of its constitutional debt limit, providing an available debt margin of 97.81% with the authority to issue an additional \$486 million of general obligation long-term debt.

In addition to the legal debt margin, the City Charter §C21-9 places limitations on the issuance of new debt. The City Council may, by a vote of at least five members, authorize the issuance of debt not exceeding 30% of the average gross annual budget for the preceding three years. Debt in excess of 30% requires approval of the voting public in a general or special election. All bond resolutions authorizing the issuance of bonds in excess of \$1 million for the acquisition of real property shall be adopted by a vote of at least five members of the City Council and shall be subject to the approval of a majority of the qualified voters voting at a general or special election. Debt for public safety purposes in the amount of \$1 million per year to a maximum aggregate amount of \$2.5 million for all such debt issued is exempt from these debt limits, as is debt for Disaster Rebuilding to a maximum aggregate of \$2.5 million. For purposes of this calculation, "gross annual budget" is defined as the total appropriations of the General Fund, the Cable TV Special Revenue Fund, and the enterprise funds (Boat Basin Fund and Golf Club Fund). All other fund budgets - the K.T. Woods Permanent Fund, the Debt Service Fund, the Capital Projects Fund, and the internal service funds (Risk Retention Fund and Building and Vehicle Maintenance Fund) are not included in the calculation, as their budgets are supported primarily by revenues and transfers charged to other funds. For the 2017 budget year, the charter bonding limit subject to City Council vote is \$11,221,358.

In 2013 (when the City refunded its 1998 Series B and 2005 PISB), Moody's Investor Service assigned its highest credit rating ("Aaa") to all City debt.

Additional information on the City's long-term debt can be found in Note 3h in the notes to financial statements.

Economic Factors and Next Year's Budgets and Rates

According to the United States Bureau of Labor Statistics, the 2016 unemployment rate for Westchester County was 4.0%. This compares favorably with the rate of 4.9% for New York State and the 4.7% national unemployment rate. The slight increase in the Consumer Price Index (CPI), not seasonally adjusted, for the area (New York-Northern New Jersey-Long Island, NY-NJ-CT-PA) from 2015 to 2016 (263.365) was one percent lower than recorded for the U.S. city average for the same period.

Home financing and refinancing rates, while rising continued to be low in fiscal 2016 and, as a result, there were dramatic increases in revenue from mortgage taxes and building activity. Taxable assessed value of real property in the City showed a marginal increase of 1.02% (from \$138,817,827 in 2015 to \$140,227,854 in 2016).

City of Rye, New York

Management's Discussion and Analysis December 31, 2016

Economic Factors and Next Year's Budgets and Rates - Continued

The median home sales price had a decrease of 5.4% from \$1,649,500 in 2015 to \$1,560,000 in 2016, and the average home sales price decreased from \$2,179,000 in 2015 to \$1,952,000 in 2016 (10.4%).

City management has taken the approach that 2017 will continue to be a transitional period in City budgeting. Expenditures such as health insurance and employee pension contributions are expected to increase. Although revenues other than property taxes have returned to pre-recession levels, we cannot expect these revenues to continue to keep increasing at these levels.

The City appropriated the use of \$894,400 of fund balance from the General Fund for spending in fiscal 2017 to fund the acquisition of capital assets and equipment. \$660,000 of fund balance was appropriated for operations. The use of this fund balance was within the City's policy of maintaining an unassigned General Fund balance of at least 5% of annual appropriations. The use of appropriated fund balance at this level is not sustainable. A large portion of fund balance usage is to fund capital fund projects. If fund balance is no longer available for capital projects, the City might have to turn to debt or grants to fund future projects. If fund balance is no longer available to fund operations, the City may be forced to override the tax cap in order to cover these operating expenditures. Combined with all other revenue sources, the fiscal 2017 real property tax rate of \$167.74 per \$1,000 of taxable assessed value provides funding for all General Fund appropriations. The tax rate increase from 2016 to 2017 is 6.73% (\$157.16 to \$167.74).

Requests for Information

This financial report is designed to provide a general overview of the City's finances for all those with an interest in those finances. Questions and comments concerning any of the information provided in this report should be addressed to Joseph S. Fazzino, Deputy City Comptroller, City of Rye, 1051 Boston Post Road, Rye, New York 10580.

City of Rye, New York

Government-Wide Financial Statements Statement of Net Position

	December 31, 2016		
	Governmental Activities	Business-type Activities	Total
ASSETS			
Cash and equivalents	\$ 19,721,494	\$ 6,803,843	\$ 26,525,337
Taxes receivable	1,253,659	-	1,253,659
Accounts receivable	1,735,538	42,764	1,778,302
Deposits	43,500	-	43,500
Due from other governments	2,042,514	-	2,042,514
Internal balances	110,486	(110,486)	-
Prepaid expenses	1,669,511	45,418	1,714,929
Capital assets			
Not being depreciated	8,313,873	2,132,447	10,446,320
Being depreciated, net	58,055,439	8,085,805	66,141,244
Total assets	92,946,014	16,999,791	109,945,805
DEFERRED OUTFLOWS OF RESOURCES			
Pension deferrals	11,634,347	427,389	12,061,736
Deferred amounts on refunding bonds	220,319	28,824	249,143
	11,854,666	456,213	12,310,879
LIABILITIES			
Accounts payable	1,723,551	83,337	1,806,888
Accrued liabilities	559,729	1,258,735	1,818,464
Accrued interest payable	71,552	7,062	78,614
Retainage payable	-	40,421	40,421
Deposits	640,658	-	640,658
Due to fiduciary fund	132	-	132
Due to other governments	547,762	24,229	571,991
Unearned revenues	691,217	-	691,217
Non-current liabilities			
Due within one year	1,622,601	418,184	2,040,785
Due in more than one year	55,697,282	2,172,994	57,870,276
Total liabilities	61,554,484	4,004,962	65,559,446
DEFERRED INFLOWS OF RESOURCES			
Pension deferrals	1,354,225	50,698	1,404,923
	1,354,225	50,698	1,404,923
NET POSITION			
Net investment in capital assets	54,032,854	9,416,698	63,449,552
Restricted for			
Capital projects	2,148,042	-	2,148,042
Permanent fund			
Expendable	249	-	249
Nonexpendable	20,000	-	20,000
Special purposes			
Police	61,509	-	61,509
Fire	2,000	-	2,000
Parks	101,809	-	101,809
Recreation programs	432,768	-	432,768
WWI memorial	4,151	-	4,151
9/11 memorial	250	-	250
Debt service	38,376	-	38,376
Risk retention	236,631	-	236,631
Unrestricted	(15,186,668)	3,983,646	(11,203,022)
Total net position	\$ 41,891,971	\$ 13,400,344	\$ 55,292,315

See accompanying Notes to Financial Statements.

City of Rye, New York

Government-Wide Financial Statements Statement of Activities

Year Ended December 31, 2016

Functions/Programs	Program Revenue				Net (Expenses) Revenues and Changes in Net Assets		
	Expenses	Charges for Services	Operating Grants and Contributions	Capital Grants and Contributions	Governmental Activities	Business-type Activities	Total
GOVERNMENTAL ACTIVITIES							
General government activities	\$ 3,773,782	\$ 532,439	\$ -	\$ -	\$ (3,241,343)	\$ -	\$ (3,241,343)
Public safety	18,390,138	2,714,182	56,647	-	(15,619,309)	-	(15,619,309)
Transportation	4,109,760	1,304,498	-	416,237	(2,389,025)	-	(2,389,025)
Culture and recreation	4,898,866	992,096	8,628	-	(3,898,142)	-	(3,898,142)
Home and community services	6,253,568	94,771	5,414	-	(6,153,383)	-	(6,153,383)
Interest	478,339	-	-	-	(478,339)	-	(478,339)
Total governmental activities	<u>37,904,453</u>	<u>5,637,986</u>	<u>70,689</u>	<u>416,237</u>	<u>(31,779,541)</u>	<u>-</u>	<u>(31,779,541)</u>
BUSINESS-TYPE ACTIVITIES							
Boat basin	940,029	668,258	8,500	-	-	(263,271)	(263,271)
Golf club	5,335,117	4,090,871	-	-	-	(1,244,246)	(1,244,246)
Total business-type activities	<u>6,275,146</u>	<u>4,759,129</u>	<u>8,500</u>	<u>-</u>	<u>-</u>	<u>(1,507,517)</u>	<u>(1,507,517)</u>
Total government	\$ 44,179,599	\$ 10,397,115	\$ 79,189	\$ 416,237	(31,779,541)	(1,507,517)	(33,287,058)
GENERAL REVENUES							
Real property taxes and related items					22,528,077	-	22,528,077
Non-property taxes							
Sales and use taxes					2,297,696	-	2,297,696
Hotel occupancy taxes					160,530	-	160,530
Utilities gross receipts taxes					369,263	-	369,263
Unrestricted use of money and property					91,202	24,394	115,596
Governmental aid not restricted to specific programs					2,831,745	-	2,831,745
Other revenues					1,647,735	1,034,574	2,682,309
Total general revenues					<u>29,926,248</u>	<u>1,058,968</u>	<u>30,985,216</u>
Change in net position					(1,853,293)	(448,549)	(2,301,842)
NET POSITION, beginning of year					<u>43,745,264</u>	<u>13,848,893</u>	<u>57,594,157</u>
NET POSITION, end of year					\$ 41,891,971	\$ 13,400,344	\$ 55,292,315

See accompanying Notes to Financial Statements.

City of Rye, New York
Fund Financial Statements
Balance Sheet - Governmental Funds

December 31, 2016

	<u>Major Funds</u>			Total Governmental Funds
	<u>General</u>	<u>Capital Projects</u>	<u>Other Governmental Funds</u>	
ASSETS				
Cash and equivalents	\$ 8,324,452	\$ 3,431,563	\$ 987,242	\$ 12,743,257
Receivables				
Taxes receivable	1,253,659	-	-	1,253,659
Accounts receivable	360,722	24,000	102,288	487,010
Due from other funds	3,355,565	500,200	-	3,855,765
Due from other governments	886,586	1,124,603	-	2,011,189
Prepaid expenditures	1,456,094	-	4,350	1,460,444
Total assets	<u>\$ 15,637,078</u>	<u>\$ 5,080,366</u>	<u>\$ 1,093,880</u>	<u>\$ 21,811,324</u>
LIABILITIES, DEFERRED INFLOWS OF RESOURCES, AND FUND BALANCES				
Liabilities				
Accounts payable	\$ 289,370	\$ 1,038,783	\$ 20,137	\$ 1,348,290
Accrued liabilities	397,714	-	3,911	401,625
Deposits	640,658	-	-	640,658
Due to other funds	535,252	1,892,447	14,953	2,442,652
Due to other governments	545,700	1,094	968	547,762
Unearned revenues	691,217	-	-	691,217
Total liabilities	<u>3,099,911</u>	<u>2,932,324</u>	<u>39,969</u>	<u>6,072,204</u>
Deferred inflows of resources				
Deferred tax revenues	300,401	-	-	300,401
Total liabilities and deferred inflows of resources	<u>3,400,312</u>	<u>2,932,324</u>	<u>39,969</u>	<u>6,372,605</u>
Fund balances				
Nonspendable	2,233,767	-	24,350	2,258,117
Restricted	1,849,816	2,148,042	59,639	4,057,497
Assigned	3,222,329	-	969,922	4,192,251
Unassigned	4,930,854	-	-	4,930,854
Total fund balances	<u>12,236,766</u>	<u>2,148,042</u>	<u>1,053,911</u>	<u>15,438,719</u>
Total liabilities, deferred inflows of resources, and fund balances	<u>\$ 15,637,078</u>	<u>\$ 5,080,366</u>	<u>\$ 1,093,880</u>	<u>\$ 21,811,324</u>

See accompanying Notes to Financial Statements.

City of Rye, New York

Reconciliation of the Total Fund Balances Shown in the Governmental Funds to the Statement of Net Position

		December 31, 2016
Fund Balances - Total Governmental Funds		\$ 15,438,719
Amounts reported for governmental activities in the statement of net position are different because capital assets used in governmental activities are not financial resources and, therefore, are not reported in the funds.		45,338,204
Revenues in the statement of activities that do not provide current financial resources are not reported as revenues in the funds:		
Real property taxes		300,401
Pension contributions made subsequent to the pension measurement date are reported as deferred outflows of resources.		1,769,881
The valuation of pension obligations can result in changes in actuarial assumptions, differences between expected and actual experiences, differences between projected and actual investment earnings and changes in proportion, and differences between employer contributions and proportionate share of contributions. These items are reported as deferred outflows and inflows of resources:		
Deferred outflows	9,410,025	
Deferred inflows	<u>(1,300,547)</u>	
		8,109,478
Governmental funds report the effect of issuance costs, premiums, discounts, and similar items when debt is first issued, whereas these amounts are deferred and amortized in the statement of activities:		
Deferred amounts on refunding bonds		22,429
Internal service funds are used by management to charge the costs of building maintenance, vehicle maintenance, and risk to individual funds. The assets and liabilities of the internal service funds are included in governmental activities in the statement of net position.		17,510,847
Long-term liabilities that are not due and payable in the current period and, therefore, are not reported in the funds:		
Bonds payable	(4,361,271)	
Claims payable	(1,295,495)	
Accrued interest payable	(20,361)	
Compensated absences	(1,268,043)	
Net pension liability	(9,230,497)	
Other postemployment benefit obligations payable	<u>(30,422,321)</u>	
		<u>(46,597,988)</u>
Net position of governmental activities		<u>\$ 41,891,971</u>

See accompanying Notes to Financial Statements.

City of Rye, New York

Fund Financial Statements Statement of Revenues, Expenditures, and Changes in Fund Balances - Governmental Funds

	Year Ended December 31, 2016			
	Major Funds		Other	Total
	General	Capital Projects	Governmental Funds	Governmental Funds
REVENUES				
Real property taxes and related items	\$ 22,325,572	\$ -	\$ 123,768	\$ 22,449,340
Non-property taxes	2,827,489	-	393,884	3,221,373
Charges for services	1,554,571	-	1,575	1,556,146
Intergovernmental charges	91,428	-	-	91,428
Use of money and property	89,563	-	1,639	91,202
Licenses and permits	2,752,558	42,351	-	2,794,909
Fines and forfeitures	784,894	-	-	784,894
Sale of property and compensation for loss	922,373	-	-	922,373
Interfund revenues	447,184	-	-	447,184
State aid	2,897,020	416,237	-	3,313,257
Federal aid	8,688	5,414	-	14,102
Miscellaneous	177,879	63,750	50,000	291,629
Total revenues	34,879,219	527,752	570,866	35,977,837
EXPENDITURES				
General government	3,870,010	-	-	3,870,010
Public safety	15,872,354	-	-	15,872,354
Transportation	3,500,925	-	-	3,500,925
Culture and recreation	4,100,950	-	278,184	4,379,134
Home and community services	5,383,949	-	-	5,383,949
Debt service				
Principal	-	-	271,981	271,981
Interest	-	-	130,177	130,177
Capital outlay				
Public safety	-	644,529	-	644,529
Transportation	-	602,939	-	602,939
Home and community services	-	712,675	-	712,675
Total expenditures	32,728,188	1,960,143	680,342	35,368,673
Excess (deficiency) of revenues over expenditures	2,151,031	(1,432,391)	(109,476)	609,164
OTHER FINANCING SOURCES (USES)				
Transfers in	-	2,396,240	273,609	2,669,849
Transfers out	(2,340,609)	(416,240)	-	(2,756,849)
Total other financing sources (uses)	(2,340,609)	1,980,000	273,609	(87,000)
Net change in fund balances	(189,578)	547,609	164,133	522,164
FUND BALANCES, beginning of year	12,426,344	1,600,433	889,778	14,916,555
FUND BALANCES, end of year	\$ 12,236,766	\$ 2,148,042	\$ 1,053,911	\$ 15,438,719

See accompanying Notes to Financial Statements.

City of Rye, New York

Reconciliation of the Statement of Revenues, Expenditures, and Changes in Fund Balances of the Governmental Funds to the Change in Net Position Shown in the Statement of Activities

		<u>Year Ended December 31, 2016</u>
Amounts reported for governmental activities in the statement of activities are different because		
Net change in fund balances - total governmental funds	\$	522,164
Governmental funds report capital outlays as expenditures. However, in the statement of activities, the cost of those assets is allocated over their estimated useful lives and reported as depreciation expense. This is the amount by which capital outlays exceeded depreciation expense in the current period. This amount is less than the total capital outlay since capital outlay includes amounts that are under the capitalization threshold or were repairs and maintenance and, therefore, were not capitalized.		
Capital outlay expenditures capitalized	1,470,042	
Depreciation expense	<u>(414,719)</u>	
		1,055,323
Revenues in the statement of activities that do not provide current financial resources are not reported as revenues in the funds - real property taxes.		78,737
Repayment of bond principal is an expenditure in the governmental funds, but the repayment reduces long-term liabilities in the statement of net position.		
Principal paid on bonds	271,981	
Amortization of loss on refunding bonds and issuance premium	<u>(2,345)</u>	
		269,636
Some expenses reported in the statement of activities do not require the use of current financial resources and, therefore, are not reported as expenditures in governmental funds:		
Claims	555,533	
Pension expense	(984,618)	
Accrued interest	1,391	
Compensated absences	21,432	
Other postemployment benefit obligations payable	<u>(3,558,898)</u>	
		(3,965,160)
Internal service funds are used by management to charge the costs of building maintenance, vehicle maintenance, and risk to individual funds. The net revenue of certain activities of internal service funds is reported with governmental activities.		
		<u>186,007</u>
Change in net position of governmental activities		<u><u>\$ (1,853,293)</u></u>

City of Rye, New York

General Fund Financial Statements Statement of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual

	Year Ended December 31, 2016			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
REVENUES				
Real property taxes and related items	\$ 22,366,589	\$ 22,366,589	\$ 22,325,572	\$ (41,017)
Non-property tax	2,650,000	2,650,000	2,827,489	177,489
Charges for services	1,752,439	1,752,439	1,554,571	(197,868)
Intergovernmental charges	84,045	84,045	91,428	7,383
Use of money and property	50,700	50,700	89,563	38,863
Licenses and permits	2,390,501	2,390,501	2,752,558	362,057
Fines and forfeitures	561,200	561,200	784,894	223,694
Sale of property and compensation for loss	136,000	136,000	922,373	786,373
Interfund revenues	443,609	443,609	447,184	3,575
State aid	2,857,124	2,857,124	2,897,020	39,896
Federal aid	-	-	8,688	8,688
Miscellaneous	106,450	106,450	177,879	71,429
Total revenues	33,398,657	33,398,657	34,879,219	1,480,562
EXPENDITURES				
General government				
City council	71,233	130,915	130,863	52
City manager	559,783	607,267	527,410	79,857
Finance administration	142,876	162,113	153,020	9,093
General accounting	296,959	330,372	287,134	43,238
Accounts payable	99,385	99,687	95,991	3,696
Payroll	173,567	176,648	169,105	7,543
Treasury	273,839	296,707	267,233	29,474
Parking Violation Bureau	61,630	63,199	56,503	6,696
Assessor	439,213	445,747	399,400	46,347
City clerk	383,828	397,732	302,981	94,751
Records management	52,870	52,875	32,567	20,308
Parking administration	56,239	56,244	29,891	26,353
Law	283,978	804,853	791,597	13,256
Human resources	267,670	273,335	226,943	46,392
Elections	35,877	36,574	33,976	2,598
Information technology	381,341	385,435	365,396	20,039
Contingent account	910,290	-	-	-
Total general government	4,490,578	4,319,703	3,870,010	449,693

City of Rye, New York

General Fund Financial Statements Statement of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual - Continued

	Year Ended December 31, 2016			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
EXPENDITURES - Continued				
Public safety				
Police administration	1,388,073	1,541,949	1,466,795	75,154
Police patrol	6,762,271	6,793,546	6,519,173	274,373
Police investigations	1,279,710	1,219,796	1,131,245	88,551
Police marine patrol	143,820	149,165	131,690	17,475
Parking enforcement	273,448	284,024	276,831	7,193
School crossing guards	179,200	179,200	177,667	1,533
Traffic control	257,825	277,549	175,391	102,158
Fire prevention and suppression	5,134,777	5,410,247	5,205,485	204,762
Animal control	24,669	34,714	24,487	10,227
Building inspection	623,087	665,766	541,774	123,992
Ambulance service	221,816	221,816	221,816	-
Total public safety	16,288,696	16,777,772	15,872,354	905,418
Transportation				
Public works administration	1,229,063	1,035,342	1,244,879	(209,537)
Engineering	545,565	561,213	518,948	42,265
Street maintenance	917,435	932,263	808,007	124,256
Snow removal	377,303	350,503	322,539	27,964
Street lighting	409,564	409,773	370,655	39,118
Off-street parking	283,161	350,964	235,897	115,067
Total transportation	3,762,091	3,640,058	3,500,925	139,133
Culture and recreation				
Recreation administration	415,009	421,747	393,869	27,878
Parks and playgrounds	544,022	606,146	519,679	86,467
Recreation center	283,166	287,663	279,004	8,659
Recreation programs	237,243	231,796	216,181	15,615
Camps	592,136	585,001	536,813	48,188
Athletics	294,515	301,981	290,443	11,538
Tennis	121,084	126,083	112,595	13,488
Special events	116,668	228,689	143,850	84,839
Adult recreation	163,425	165,888	147,510	18,378
Rye youth council	43,500	45,504	45,504	-
Rye town park	50,000	50,000	50,000	-
Southeast consortium	22,733	22,993	22,653	340
Rye free reading room	1,195,000	1,195,000	1,195,000	-
Square house museum	111,200	111,438	94,351	17,087
Rye arts center	7,205	7,205	7,205	-
Special facilities administration	18,088	18,088	18,088	-
Rye nature center	28,205	28,205	28,205	-
Total culture and recreation	4,243,199	4,433,427	4,100,950	332,477

See accompanying Notes to Financial Statements.

City of Rye, New York

General Fund Financial Statements Statement of Revenues, Expenditures, and Changes in Fund Balances - Budget and Actual - Continued

	Year Ended December 31, 2016			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
EXPENDITURES - Continued				
Home and community services				
Planning	338,473	352,543	324,915	27,628
Sanitary sewers	417,366	419,732	342,505	77,227
Storm drains	283,889	284,241	163,590	120,651
Refuse and garbage	2,230,331	2,252,694	2,190,220	62,474
Recycling	803,369	844,768	818,736	26,032
Street cleaning	63,506	69,349	59,477	9,872
Community beautification	946,024	964,434	837,699	126,735
Rodent and insect control	11,951	12,045	10,639	1,406
Shade tree maintenance	662,136	668,947	631,850	37,097
Conservation	4,363	4,363	4,318	45
Total home and community services	5,761,408	5,873,116	5,383,949	489,167
Total expenditures	34,545,972	35,044,076	32,728,188	2,315,888
Excess (deficiency) of revenues	(1,147,315)	(1,645,419)	2,151,031	3,796,450
OTHER FINANCING SOURCES (USES)				
Transfers out	(1,515,000)	(1,415,000)	(2,340,609)	925,609
Net change in fund balances	(2,662,315)	(3,060,419)	(189,578)	4,722,059
FUND BALANCES, beginning of year	12,426,344	12,426,344	12,426,344	-
FUND BALANCES, end of year	\$ 9,764,029	\$ 9,365,925	\$ 12,236,766	\$ 4,722,059

City of Rye, New York

Fund Financial Statements Statement of Net Position - Proprietary Funds

	December 31, 2016			Governmental Activities - Internal Service Funds
	Business-type Activities Enterprise Funds			
	Boat Basin Fund	Golf Club Fund	Total	
CURRENT ASSETS				
Cash and equivalents	\$ 1,422,973	\$ 5,380,870	\$ 6,803,843	\$ 6,978,237
Accounts receivable	-	42,764	42,764	1,248,528
Deposits	-	-	-	43,500
Due from other funds	-	-	-	35,184
Due from other governments	-	-	-	31,325
Prepaid expenses	13,367	32,051	45,418	209,067
Total current assets	1,436,340	5,455,685	6,892,025	8,545,841
NONCURRENT ASSETS				
Capital assets				
Land	408	1,700,000	1,700,408	4,561,755
Buildings	341,930	11,417,551	11,759,481	16,506,163
Improvements	3,995,027	4,465,977	8,461,004	5,089,391
Machinery and equipment	73,388	1,253,238	1,326,626	11,696,366
Construction in progress	5,936	426,103	432,039	42,453
Less accumulated depreciation	(3,624,440)	(9,836,866)	(13,461,306)	(16,865,020)
Total noncurrent assets	792,249	9,426,003	10,218,252	21,031,108
Total assets	2,228,589	14,881,688	17,110,277	29,576,949
DEFERRED OUTFLOWS OF RESOURCES				
Pension deferrals	72,447	354,942	427,389	454,177
Deferred amounts on refunding bonds	-	28,824	28,824	197,890
Total deferred outflows of resources	72,447	383,766	456,213	652,067

See accompanying Notes to Financial Statements.

City of Rye, New York

Fund Financial Statements Statement of Net Position - Proprietary Funds - Continued

	December 31, 2016			
	Business-type Activities Enterprise Funds			Governmental Activities - Internal Service Funds
	Boat Basin	Golf Club	Total	
LIABILITIES				
CURRENT LIABILITIES				
Accounts payable	\$ 41,873	\$ 41,464	\$ 83,337	\$ 375,261
Accrued liabilities	4,416	1,017,688	1,022,104	394,735
Accrued interest payable	-	7,062	7,062	51,191
Retainage payable	-	40,421	40,421	-
Due to other funds	20,411	90,075	110,486	1,337,679
Due to other governments	5,174	19,055	24,229	-
Compensated absences	2,739	6,229	8,968	7,106
Capital lease obligation	1,198	5,989	7,187	42,223
Bonds payable	-	390,000	390,000	1,102,097
Total current liabilities	75,811	1,617,983	1,693,794	3,310,292
NONCURRENT LIABILITIES				
Bonds payable, less current portion	-	421,798	421,798	6,984,247
Compensated absences, less current portion	1,901	60,680	62,581	47,189
Capital lease obligation, less current portion	1,898	9,495	11,393	66,938
Net pension liability	70,598	329,459	400,057	423,591
Other postemployment benefit obligations	435,779	853,415	1,289,194	2,068,865
Total noncurrent liabilities	510,176	1,674,847	2,185,023	9,590,830
Total liabilities	585,987	3,292,830	3,878,817	12,901,122
DEFERRED INFLOWS OF RESOURCES				
Pension deferrals	8,947	41,751	50,698	53,678
NET POSITION				
Net investment in capital assets	789,153	8,598,721	9,387,874	12,835,603
Unrestricted	916,949	3,332,152	4,249,101	4,438,613
Total net position	\$ 1,706,102	\$ 11,930,873	\$ 13,636,975	\$ 17,274,216
Adjustment to reflect the consolidation of internal service fund activities related to enterprise funds			(236,631)	
Net position of business-type activities			\$ 13,400,344	

See accompanying Notes to Financial Statements.

City of Rye, New York

Fund Financial Statements Statement of Revenues, Expenses, and Changes in Net Position - Proprietary Funds

	Year Ended December 31, 2016			
	Business-type Activities Enterprise Funds			Governmental Activities - Internal Service Funds
	Boat Basin Fund	Golf Club Fund	Total	
OPERATING REVENUES				
Charges for services	\$ 668,258	\$ 3,753,698	\$ 4,421,956	\$ 4,485,630
Rental of property	-	328,823	328,823	-
Miscellaneous	-	8,350	8,350	5,435
Total operating revenues	668,258	4,090,871	4,759,129	4,491,065
OPERATING EXPENSES				
Salaries and wages	172,815	1,465,549	1,638,364	598,300
Employee benefits	147,448	668,806	816,254	803,265
Materials and supplies	73,185	1,078,484	1,151,669	877,578
Contractual costs	122,772	1,607,063	1,729,835	770,134
Depreciation and amortization	420,154	467,293	887,447	1,063,097
Total operating expenses	936,374	5,287,195	6,223,569	4,112,374
Income (loss) from operations	(268,116)	(1,196,324)	(1,464,440)	378,691
NON-OPERATING REVENUES (EXPENSES)				
State and federal aid	8,500	-	8,500	29,056
Sale of property and compensation for loss	-	83,587	83,587	-
Interest income	1,874	22,520	24,394	17,662
Compensation for losses	-	950,987	950,987	-
Interest expense	(165)	(30,610)	(30,775)	(347,204)
Total nonoperating revenues (expenses)	10,209	1,026,484	1,036,693	(300,486)
Income (loss) before transfers	(257,907)	(169,840)	(427,747)	78,205
Transfers in	-	-	-	125,975
Transfers out	-	-	-	(38,975)
Change in net position	(257,907)	(169,840)	(427,747)	165,205
NET POSITION, <i>beginning of year</i>	1,964,009	12,100,713	14,064,722	17,109,011
NET POSITION, <i>end of year</i>	\$ 1,706,102	\$ 11,930,873	\$ 13,636,975	\$ 17,274,216
CHANGE IN NET POSITION			\$ (427,747)	
Adjustment to reflect the consolidation of internal service fund activities related to enterprise funds			(20,802)	
CHANGE IN NET POSITION, business-type activities			\$ (448,549)	

See accompanying Notes to Financial Statements.

City of Rye, New York

Fund Financial Statements Statement of Cash Flows - Proprietary Funds

	Year Ended December 31, 2016			
	Business-type Activities Enterprise Funds			Governmental Activities - Internal Service Funds
	Boat Basin Fund	Golf Club Fund	Total	
CASH FLOWS PROVIDED (USED) BY OPERATING ACTIVITIES				
Cash received from customers	\$ 668,258	\$ 4,143,998	\$ 4,812,256	\$ 4,479,169
Cash payments to employees	(313,490)	(1,063,605)	(1,377,095)	(1,087,507)
Cash payments to vendors	(176,584)	(2,644,587)	(2,821,171)	(1,062,383)
	<u>178,184</u>	<u>435,806</u>	<u>613,990</u>	<u>2,329,279</u>
CASH FLOWS PROVIDED (USED) BY NON-CAPITAL FINANCING ACTIVITIES				
State and federal aid	566,876	-	566,876	29,056
Compensation for losses	-	3,469,987	3,469,987	-
Transfers in	-	-	-	125,975
Transfers out	-	-	-	(38,975)
	<u>566,876</u>	<u>3,469,987</u>	<u>4,036,863</u>	<u>116,056</u>
CASH FLOWS PROVIDED (USED) BY CAPITAL AND RELATED FINANCING ACTIVITIES				
Acquisition and construction of capital assets	(21,498)	(1,084,355)	(1,105,853)	(453,369)
Principal paid on capital debt	-	(380,000)	(380,000)	(1,068,018)
Payments on capital lease obligation	(1,146)	(5,730)	(6,876)	(40,397)
Interest paid on capital debt	-	(29,896)	(29,896)	(259,524)
Interest paid on capital lease obligation	(165)	(1,072)	(1,237)	(7,554)
Proceeds from sale of capital assets	-	83,587	83,587	-
	<u>(22,809)</u>	<u>(1,417,466)</u>	<u>(1,440,275)</u>	<u>(1,828,862)</u>
CASH FLOWS PROVIDED (USED) BY INVESTING ACTIVITIES				
Interest income	<u>1,874</u>	<u>22,520</u>	<u>24,394</u>	<u>17,662</u>
Net increase in cash and equivalents	724,125	2,510,847	3,234,972	634,135
CASH AND EQUIVALENTS, <i>beginning of year</i>	<u>698,848</u>	<u>2,870,023</u>	<u>3,568,871</u>	<u>6,344,102</u>
CASH AND EQUIVALENTS, <i>end of year</i>	<u>\$ 1,422,973</u>	<u>\$ 5,380,870</u>	<u>\$ 6,803,843</u>	<u>\$ 6,978,237</u>

See accompanying Notes to Financial Statements.

City of Rye, New York

Fund Financial Statements Statement of Cash Flows - Proprietary Funds - Continued

	Year Ended December 31, 2016			
	Business-type Activities Enterprise Funds			Governmental Activities - Internal Service Funds
	Boat Basin Fund	Golf Club Fund	Total	
RECONCILIATION OF INCOME (LOSS) FROM OPERATIONS TO NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES				
Income (loss) from operations	\$ (268,116)	\$ (1,196,324)	\$ (1,464,440)	\$ 378,691
Adjustments to reconcile income (loss) from operations to net cash provided (used) by operating activities				
Depreciation and amortization	420,154	467,293	887,447	1,063,097
(Increase) decrease in				
Accounts receivable	-	53,127	53,127	1,172
Due from other funds	-	-	-	(23,595)
Due from other governments	-	-	-	10,527
Prepaid expenses	(2,503)	6,999	4,496	105,297
Deferred outflows of resources	(57,012)	(266,054)	(323,066)	(342,069)
Increase (decrease) in				
Accounts payable	21,285	(3,941)	17,344	204,463
Accrued liabilities	2,999	1,014,997	1,017,996	8,808
Retainage payable	-	40,421	40,421	-
Due to other funds	(3,807)	(42,845)	(46,652)	266,761
Due to other governments	4,398	(2,519)	1,879	-
Net pension liability	57,075	266,351	323,426	342,452
Deferred inflows of resources	8,176	38,153	46,329	49,053
Other post employment benefit obligations	18,285	55,524	73,809	281,391
Compensated absences	(22,750)	4,624	(18,126)	(16,769)
Total adjustments	446,300	1,632,130	2,078,430	1,950,588
Net cash provided by operating activities	\$ 178,184	\$ 435,806	\$ 613,990	\$ 2,329,279

See accompanying Notes to Financial Statements.

City of Rye, New York

Statement of Assets and Liabilities - Fiduciary Fund

	<u>Trust and Agency</u> <u>December 31,</u> <u>2016</u>
ASSETS	
Cash and equivalents	\$ 159,462
Accounts receivable	<u>2,317</u>
Total assets	<u>\$ 161,779</u>
LIABILITIES	
Accounts payable	\$ 98,101
Employee payroll deductions	<u>63,678</u>
Total liabilities	<u>\$ 161,779</u>

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies

The City of Rye, New York (City) was incorporated in 1942 and operates in accordance with its Charter and the various other applicable laws of the State of New York. The City functions under a City Council/Manager form of government. The City Council is the legislative body responsible for overall operation. The City Manager serves as the Chief Administrative Officer, and the City Comptroller serves as the Chief Financial Officer. The City provides the following services to its residents: public safety, transportation, culture and recreation, home and community services, and general and administrative support.

The accounting policies of the City conform to accounting principles generally accepted in the United State of America (U.S. GAAP) as applicable to governmental units and the Uniform System of Accounts as prescribed by the State of New York. The Governmental Accounting Standards Board (GASB) is the accepted standard setting body for establishing governmental accounting and financial reporting principles. The following is a summary of the City's more significant accounting policies:

a. Financial Reporting Entity

The financial reporting entity consists of a) the primary government which is the City, b) organizations for which the City is financially accountable, and c) other organizations for which the nature and significance of their relationship with the City are such that exclusion would cause the reporting entity's financial statements to be misleading or incomplete as set forth by GASB.

In evaluating how to define the City for financial reporting purposes, management has considered all potential component units. The decision to include a potential component unit in the City's reporting entity was made by applying the criteria set forth by GASB, including legal standing, fiscal dependency, and financial accountability. Based upon the application of these criteria, there are no other entities that would be included in the financial statements.

The City participates in a joint venture for the operation of the Rye Town Park (Park). The Park was established in 1907 by the New York State Legislature pursuant to Chapter 711 of the Laws of 1907. The Park provides recreational activities to the public and is governed by a Commission, which has full charge and supervision over the capital improvements, operations, and maintenance of the Park. The Commission consists of six members: the Supervisor of the Town of Rye, the Mayor of the City of Rye, the Mayor of the Village of Port Chester, the Mayor of the Village of Rye Brook, one Commissioner appointed by the City Council of the City of Rye, and one Commissioner appointed by the Town Board of the Town of Rye. The City of Rye does not have an equity interest in the Park. The City has an ongoing financial responsibility in that the annual income or loss resulting from the Park's activities is shared proportionally by the City of Rye and the Town of Rye, using a calculation based on their equalized assessed valuation. Complete separate financial statements for the Park may be obtained from the Town of Rye, 10 Pearl Street, Port Chester, New York 10573.

b. Government-Wide Financial Statements

The government-wide financial statements (i.e., the statement of net position and the statement of activities) report information on all non-fiduciary activities of the City as a whole. For the most part, the effect of interfund activity has been removed from these statements, except for interfund services provided and used. Governmental activities, which normally are supported by taxes and intergovernmental revenues, are reported separately from business-type activities, which rely to a significant extent on fees and charges for support.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies

b. Government-Wide Financial Statements - Continued

The statement of net position presents the financial position of the City at the end of its fiscal year. The statement of activities demonstrates the degree to which direct expenses of a given function or segment is offset by program revenues. Direct expenses are those that are clearly identifiable with a specific function or segment. Program revenues include (1) charges to customers or applicants who purchase, use, or directly benefit from goods or services, or privileges provided by a given function or segment, (2) grants and contributions that are restricted to meeting the operational or capital requirements of a particular function or segment, and (3) interest earned on grants that is required to be used to support a particular program. Taxes and other items not identified as program revenues are reported as general revenues. The City does not allocate indirect expenses to functions in the statement of activities.

Separate financial statements are provided for governmental funds, proprietary funds, and fiduciary funds, even though the latter are excluded from the government-wide financial statements. Major individual governmental funds and individual enterprise funds are reported as separate columns in the fund financial statements.

Proprietary funds distinguish operating revenues and expenses from non-operating items. Operating revenues and expenses generally result from providing services in connection with a proprietary fund's principal ongoing operation. The principal operating revenues of the enterprise funds and the internal service funds are charges to customers for services. Operating expenses for the enterprise funds and the internal service funds include the cost of services, administrative expenses, depreciation and amortization costs, and benefit costs. All revenues and expenses not meeting the definition are reported as non-operating revenues and expenses.

c. Fund Financial Statements

The accounts of the City are organized and operated on the basis of funds. A fund is an independent fiscal and accounting entity with a self-balancing set of accounts that comprise its assets, deferred outflows of resources, liabilities, deferred inflows of resources, fund balances/net position, revenues, and expenditures/expenses. Fund accounting segregates funds according to their intended purpose and is used to aid management in demonstrating compliance with finance related legal and contractual provisions. The City maintains the minimum number of funds consistent with legal and managerial requirements. The focus of governmental fund financial statements is on major funds as that term is defined in professional pronouncements. Each major fund is to be presented in a separate column, with non-major funds, if any, aggregated and presented in a single column. The City maintains internal service and fiduciary funds, which are reported by type. Since the governmental fund statements are presented on a different measurement focus and basis of accounting than the government-wide statements' governmental activities column, a reconciliation is presented on the pages following, which briefly explains the adjustments necessary to transform the fund based financial statements into the governmental activities column of the government-wide presentation. The City's resources are reflected in the fund financial statements in three broad fund categories, in accordance with U.S. GAAP, as follows:

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies - Continued

c. Fund Financial Statements - Continued

Governmental Funds - Governmental funds are those through which most general government functions are financed. The acquisition, use, and balances of expendable financial resources and the related liabilities are accounted for through governmental funds. The following are the City's major governmental funds:

General Fund - The General Fund constitutes the primary operating fund of the City and is used to account for and report all financial resources not accounted for and reported in another fund.

Capital Projects Fund - The Capital Projects Fund is used to account for and report financial resources that are restricted, committed, or assigned to expenditures for capital outlays, including the acquisition or construction of major capital facilities and other capital assets, other than those financed by proprietary funds.

The City also reports the following non-major governmental funds:

Cable TV Special Revenue Fund - The Cable TV Special Revenue Fund is used to account for the receipt and use of revenues received from the franchise holder for public access cable television programming.

K.T. Woods Permanent Fund - The K.T. Woods Permanent Fund is used to report resources that are legally restricted to the extent that only earnings, not principal, may be used for purposes that support the City's programs, namely the funding of certain expenditures in the Rye Nature Center.

Debt Service Fund - The Debt Service Fund is used to account for and report financial resources that are restricted, committed, or assigned to expenditures for principal and interest, and for financial resources that are being accumulated for principal and interest maturing in future years.

Proprietary Funds - Proprietary funds include enterprise and internal service funds. Enterprise funds are used to account for operations that are financed and operated in a manner similar to private enterprises or where the governing body has decided that periodic determination of revenues earned, expenses incurred, and/or net income is necessary for management accountability. Enterprise funds are used to account for those operations that provide services to the public. Internal service funds are used to account for operations that provide services to other departments or agencies of the government, or other governments, on a cost reimbursement basis. The operations of the Boat Basin and Golf Club Funds are recorded as major enterprise funds. The City has established its Risk Retention and Building and Vehicle Maintenance Funds as internal service funds.

Fiduciary Funds - (not included in government-wide financial statements) - The Fiduciary funds are used to account for assets held by the City in an agency capacity on behalf of others. The Agency Fund is used to account for employee payroll tax withholdings and various other deposits that are payable to other jurisdictions or individuals.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies - Continued

d. Measurement Focus, Basis of Accounting and Financial Statement Presentation

The accounting and financial reporting treatment is determined by the applicable measurement focus and basis of accounting. Measurement focus indicates the type of resources being measured, such as current financial resources (current assets less current liabilities) or economic resources (all assets and liabilities). The basis of accounting indicates the timing of transactions or events for recognition in the financial statements.

The government-wide financial statements and the proprietary funds are reported using the economic resources measurement focus and the accrual basis of accounting. The Agency Fund has no measurement focus but utilizes the accrual basis of accounting. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of related cash flows. Property taxes are recognized as revenues in the year for which they are levied. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

Governmental fund financial statements are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Revenues are recognized as soon as they are both measurable and available. Revenues are considered to be available when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, the City considers property tax revenues to be available if collected within sixty days of the fiscal year end. A ninety-day availability period is used for revenue recognition for all other governmental fund revenues. Property taxes associated with the current fiscal period as well as charges for services and intergovernmental revenues are considered to be susceptible to accrual and have been recognized as revenues of the current fiscal period. Fees and other similar revenues are not susceptible to accrual because generally they are not measurable until received in cash. If expenditures are the prime factor for determining eligibility, revenues from Federal and State grants are accrued when the expenditure is made. Expenditures generally are recorded when a liability is incurred, as under accrual accounting. However, debt service expenditures, as well as expenditures related to claims, compensated absences, and other post-employment benefit obligations are recorded only when payment is due. General capital asset acquisitions are reported as expenditures in governmental funds. Issuance of long-term debt and acquisitions under capital leases are reported as other financing sources.

e. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position or Fund Balances

Deposits and Risk Disclosure

Cash and Equivalents - Cash and equivalents consist of funds deposited in demand deposit accounts, time deposit accounts, and certificates of deposit with original maturities of three months or less.

State statutes govern the City's deposit and investment policies. The City has adopted its own written investment policy which provides for the deposit of funds in Federal Deposit Insurance Corporation (FDIC) insured commercial banks or trust companies located within the State. The City is authorized to use demand deposit accounts, time deposit accounts, and certificates of deposit. Permissible investments include obligations of the U.S. Treasury, U.S. Agencies, repurchase agreements, and obligations of New York State or its political subdivisions. Accordingly, the City's policy provides for no credit risk on investments.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies - Continued

- e. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position or Fund Balances*
- Continued

Cash and Equivalents - Continued

Collateral is required for demand deposit accounts, time deposit accounts, and certificates of deposit at 100% of all deposits not covered by Federal deposit insurance. The City has entered into custodial agreements with the various banks which hold its deposits. These agreements authorize the obligations that may be pledged as collateral. Such obligations include, among other instruments, obligations of the United States and its agencies, and obligations of the State and its municipal and school district subdivisions.

The City utilizes a pooled investment concept for governmental and proprietary funds to facilitate its investment program. Investment income from this pooling is allocated to the respective funds based upon the sources of funds invested.

Custodial credit risk is the risk that in the event of a bank failure, the City's deposits may not be returned to it. The City discloses deposits exposed to custodial credit risk if they are not covered by depository insurance and the deposits are either uncollateralized, collateralized by securities held by the pledging financial institution, or collateralized by securities held by the pledging financial institution's trust department but not in the City's name. The City's aggregate bank balances that were not covered by depository insurance were not exposed to custodial credit risk at December 31, 2016.

Taxes Receivable - Real property taxes attach as an enforceable lien on real property when levied. City property taxes are levied by the City on February 1st and collected by the City in one installment in February, and are due and payable without penalty during the month of February. County property taxes are levied by the County on April 1st and collected by the City in one installment in May, and are due and payable without penalty during the month of May. Rye Neck Union Free School District property taxes are levied by the school district on September 1st and collected by the City in two installments: the taxes for the period July 1st to June 30th are due on September 1st, with the first half payable without penalty until September 30th, and the second half payable without penalty until November 30th. The Rye City School District is responsible for the levy and collection of Rye City School District taxes until July 1st of the following year, after which collection becomes the responsibility of the City. In accordance with Westchester County Finance Law, the City guarantees the full payment of the County, Rye City School District, and Rye Neck Union Free School District warrants, and assumes the responsibility for uncollected taxes. The City also has the responsibility for conducting in-rem foreclosure proceedings.

The City functions in both a fiduciary and guarantor relationship with the County of Westchester and the school districts with respect to the collection and payment of real property taxes levied by such jurisdictions. The County Charter provides for the City to collect County taxes. The City must remit to the County sixty percent (60%) of the amount levied by May 25th, and the balance of forty percent (40%) on October 15th. With respect to school district taxes, New York State law and the County Charter provide that the City satisfy the warrant of the school districts by July 1st of the fiscal year for which such taxes were levied. Thus, the City's fiduciary responsibility for school district taxes is from the date of the levy until the respective due dates, at which time the City must satisfy its obligations to the school districts, regardless of the amounts collected. Since the County tax warrant must be paid in full by October 15th, uncollected County taxes have been accounted for in a manner similar to City taxes. The collection of school district taxes is deemed a financing transaction until the warrants are satisfied.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies - Continued

e. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position or Fund Balances
- Continued

Other Receivables - Other receivables include amounts due from other governments and individuals for services provided by the City. Receivables are recorded and revenues recognized as earned or as specific program expenditures/expenses are incurred. Allowances are recorded when appropriate.

Due From/To Other Funds - During the course of its operations, the City has numerous transactions between funds to finance operations, provide services, and construct assets. Balances of interfund amounts receivable or payable have been recorded in the fund financial statements to the extent that certain transactions between funds had not been paid or received as of December 31st. Any residual balances outstanding between the governmental activities and business-type activities are reported in the government-wide financial statements as internal balances.

Prepaid Expenses/Expenditures - Certain payments to vendors reflect costs applicable to future accounting periods, and are recorded as prepaid items using the consumption method in both the government-wide and fund financial statements. Prepaid expenses/expenditures consist of employee retirement, workers' compensation insurance, and other costs which have been satisfied prior to the end of the fiscal year, but represent items which have been provided for in the subsequent year's budget and will benefit such periods. Reported amounts in governmental funds are equally offset by nonspendable fund balance, which indicates that these amounts do not constitute "available spendable resources" even though they are a component of current assets.

Capital Assets - Capital assets, which include property, plant, equipment, and infrastructure assets (e.g., roads, bridges, sidewalks, and similar items) are reported in the applicable governmental or business-type activities columns in the government-wide financial statements. The City defines capital assets as assets with an initial, individual cost of more than \$15,000 and an estimated useful life in excess of one year. Such assets are recorded at historical cost or estimated historical cost if purchased or constructed. Donated capital assets are recorded at estimated fair value at the date of donation.

In the case of the initial capitalization of general infrastructure assets (i.e., those reported by governmental activities), the City chose to include all such items regardless of their acquisition date or amount. The City was able to estimate the historical cost for the initial reporting of these assets through back trending (i.e., estimating the current replacement cost of the infrastructure to be capitalized and using an appropriate price-level index to deflate the cost to the acquisition year or estimated acquisition year).

Major outlays for capital assets and improvements are capitalized as projects are constructed. The cost of normal maintenance and repairs that do not add to the value of the asset or materially extend assets lives is not capitalized.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies - Continued

e. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position or Fund Balances
- Continued

Capital Assets - Continued

Land and construction-in-progress are not depreciated. Property, plant, equipment, and infrastructure are depreciated using the straight-line method over the following estimated useful lives:

<u>Asset</u>	<u>Prior to January 1, 1995</u>	<u>Subsequent to January 1, 1995</u>
Buildings	45	50
Improvements	20	5-20
Machinery and equipment	5-15	5-10
Infrastructure	20	25-50

The costs associated with the acquisition or construction of capital assets are shown as capital outlay expenditures on the governmental fund financial statements. Capital assets are not shown on the governmental fund balance sheets.

Unearned Revenues - Unearned revenues arise when assets are recognized before revenue recognition criteria have been satisfied. In the government-wide financial statements, unearned revenues consist of revenue received in advance and/or amounts from grants received before the eligibility requirements have been met.

Unearned revenues in the fund financial statements are those where asset recognition criteria have been met, but for which revenue recognition criteria have not been met. The City has reported unearned revenues of \$691,217 for parking permit and building permit fees received in advance in the General Fund.

Deferred Outflows/Inflows of Resources - In addition to assets, the statement of net position will sometimes report a separate section for deferred outflows of resources. This separate financial statement element represents a consumption of net position that applies to a future period and so will not be recognized as an outflow of resources (expense/expenditure) until then.

In addition to liabilities, the statement of net position will sometimes report a separate section for deferred inflows of resources. This separate financial statement element represents an acquisition of net position that applies to a future period and so will not be recognized as an inflow of resources (revenue) until that time.

The City has reported deferred outflows of resources of \$220,319 and \$28,824 for a deferred loss on refunding bonds in the governmental activities and business-type activities statements of net position, respectively. These amounts result from the difference in the carrying value of the refunded debt and its reacquisition price. These amounts are deferred and amortized over the shorter of the life of the refunded or refunding debt.

The City has reported deferred inflows of resources of \$300,401 for real property taxes in the General Fund. This amount is deferred and recognized as an inflow of resources in the period that the amount becomes available.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies - Continued

e. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position or Fund Balances - Continued

Deferred Outflows/Inflows of Resources - Continued

Pension related deferred outflows of resources and deferred inflows of resources may occur due to differences between expected and actual experience, changes in actuarial assumptions, the net difference between projected and actual experience, the net difference between projected and actual investment earnings on pension plan investments, changes in proportion and difference between employer contributions made subsequent to the measurement date. Deferred outflows of resources resulting from differences between expected and actual experience and projected and actual investment earnings are \$10,170,370 at December 31, 2016. Deferred inflows of resources resulting from changes in the City's proportionate share of the liability are \$1,404,923 at December 31, 2016. Deferred outflows of resources resulting from contributions made subsequent to the measurement date but before year end are \$1,891,366 at December 31, 2016.

Long-Term Liabilities - In the government-wide and proprietary fund financial statements, long-term debt and other long-term obligations are reported as liabilities in the statement of net position. Bond premiums and discounts are deferred and amortized over the life of the bonds. Bonds payable are reported net of the applicable bond premium or discount. Bond issuance costs are expensed as incurred.

In the fund financial statements, governmental funds recognize bond premiums and discounts, as well as bond issuance costs, during the current period. The face amount of debt issued is reported as other financing sources. Premiums received on debt issuances are reported as other financing sources, while discounts on debt issuances are reported as other financing uses. Issuance costs, whether or not withheld from the actual debt proceeds received, are reported as Capital Projects Fund expenditures.

Compensated Absences - The various collective bargaining agreements provide for the payment of accumulated vacation and sick leave upon separation from service. The liability for such accumulated leave is reflected in the government-wide and proprietary fund financial statements as current and long-term liabilities. A liability for these amounts is reported in the governmental funds only if the liability has matured through employee resignation or retirement. The liability for compensated absences includes salary related payments, where applicable.

Net Position - Net position represents the difference between assets, deferred outflows of resources, liabilities, and deferred inflows of resources. Net position is reported as restricted when there are limitations imposed on their use either through the enabling legislation adopted by the City or through external restrictions imposed by creditors, grantors, or laws or regulations of other governments. Net position on the statement of net position include net investment in capital assets, restricted for capital projects, permanent fund, special purposes, debt service, and risk retention. The remaining balance is classified as unrestricted.

Fund Balance - Generally, fund balance represents the difference between current assets and deferred outflows of resources and current liabilities and deferred inflows of resources. In the fund financial statements, governmental funds report fund classifications that comprise a hierarchy based primarily on the extent to which the City is bound to honor constraints on the specific purposes for which amounts in those funds can be spent. Under this standard, the fund balance classifications are as follows:

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies - Continued

- e. *Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position or Fund Balances*
- Continued

Fund Balance - Continued

Nonspendable fund balance includes amounts that cannot be spent because they are either not in spendable form (inventories, prepaid amounts, long-term receivables) or they are legally or contractually required to be maintained intact (the corpus of a permanent fund).

Restricted fund balance is reported when constraints placed on the use of the resources are imposed by grantors, contributors, laws, or regulations of other governments or imposed by law through enabling legislation. Enabling legislation includes a legally enforceable requirement that these resources be used only for the specific purposes as provided in the legislation. This fund balance classification is used to report funds that are restricted for debt service obligations and for other items contained in the General Municipal Law of the State of New York.

Committed fund balance is reported for amounts that can only be used for specific purposes pursuant to formal action of the entity's highest level of decision making authority. The City Council is the highest level of decision making authority for the City that can, by the adoption of a resolution prior to the end of the fiscal year, commit fund balance. Once adopted, these funds may only be used for the purpose specified unless the City removes or changes the purpose by taking the same action that was used to establish the commitment. This classification includes certain amounts established and approved by the City Council.

Assigned fund balance in the General Fund represents amounts constrained either by policies of the City Council for amounts assigned for balancing the subsequent year's budget or the City Comptroller for amounts assigned for purchases on order, police purposes, fire purposes, parks, recreation programs, WWI memorial, and tax certiorari. Unlike commitments, assignments generally exist temporarily, in that additional action does not normally have to be taken for the removal of an assignment. An assignment cannot result in a deficit in the unassigned fund balance in the General Fund. Assigned fund balance in all other governmental funds represents any positive remaining amount after classifying nonspendable, restricted, or committed fund balance amounts.

Unassigned fund balance in the General Fund represents amounts not classified as nonspendable, restricted, committed, or assigned. The General Fund is the only fund that would report a positive amount in unassigned fund balance. For all other governmental funds, unassigned fund balance can be negative, if the fund's liabilities and deferred inflows of resources, together with amounts already classified as nonspendable, restricted, and committed would exceed the fund's assets and deferred outflows of resources.

In order to calculate the amounts to report as restricted and unrestricted fund balance in the governmental fund financial statements, a flow assumption must be made about the order in which the resources are considered to be applied. When both restricted and unrestricted amounts of fund balance are available for use for expenditures incurred, it is the City's policy to use restricted amounts first and then unrestricted amounts as they are needed. For unrestricted amounts of fund balance, it is the City's policy to use fund balance in the following order: committed, assigned, and unassigned.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies - Continued

f. Encumbrances

In governmental funds, encumbrance accounting, under which purchase orders, contracts, and other commitments for the expenditure of monies are recorded in order to reserve applicable appropriations, is generally employed as an extension of formal budgetary integration in the General, Special Revenue, and Capital Projects Funds. Encumbrances outstanding at year-end are reported as assigned fund balance since they do not constitute expenditures or liabilities.

g. Estimates

The preparation of the financial statements requires management to make estimates and assumptions that affect the reported amounts of assets, deferred outflows of resources, liabilities, and deferred inflows of resources and disclosures of contingent assets and liabilities at the date of the financial statements. Estimates also affect the reported amounts of revenues and expenditures/expenses during the reporting period. Actual results could differ from those estimates.

h. New Accounting Pronouncements

GASB Statement No. 72, Fair Value Measurement and Application. This statement addresses accounting and financial reporting issues related to fair value measurements. The definition of fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This statement provides guidance for determining a fair value measurement for financial reporting purposes. This Statement also provides guidance for applying fair value to certain investments and disclosures related to all fair value measurements.

GASB Statement No. 73, Accounting and Financial Reporting for Pensions and Related Assets That Are Not within the Scope of GASB Statement No. 68, and Amendments to Certain Provisions of GASB Statements No. 67 and No. 68. This statement establishes requirements for defined benefit pensions that are not within the scope of GASB Statement No. 68, *Accounting and Financial Reporting for Pensions* (GASB Statement No. 68), as well as for the assets accumulated for purposes of providing those pensions. In addition, this statement establishes requirements for defined contribution pensions that are not within the scope of GASB Statement No. 68.

GASB Statement No. 76, Hierarchy of Generally Accepted Accounting Principles for State and Local Governments. This statement identifies the hierarchy of U.S. GAAP in the context of governmental financial reporting. This statement reduces the hierarchy of U.S. GAAP to two categories of authoritative U.S. GAAP and addresses the use of authoritative and non-authoritative literature in the event that the accounting treatment for a transaction or other event is not specific with a source of authoritative U.S. GAAP.

GASB Statement No. 77, Tax Abatement Disclosures. This statement requires governments that enter into tax abatement agreements to disclose the following information about the agreements:

- Descriptive information, such as the tax being abated, the authority under which tax abatements are provided, eligibility criteria, the mechanism by which taxes are abated, provisions for recapturing abated taxes, and the types of commitments by tax abatement recipients,
- Gross dollar amount of taxes abated during the period, and
- Commitments made by the government, other than to abate taxes, as part of a tax abatement agreement.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 1 - Organization and Summary of Significant Accounting Policies - Continued

h. New Accounting Pronouncements - Continued

GASB Statement No. 78, *Pensions Provided Through Certain Multiple-Employer Defined Benefit Pension Plans*. This statement amends the scope and applicability of GASB Statement No. 68 to exclude pension plans provided to employees of state or local governmental employers through a cost-sharing multiple-employer defined benefit pension plan that is:

- Not a state or local government pension plan,
- Used to provide defined benefit pensions both to employees of state or local governmental employers and to employees of employers that are not state or local governments, and
- Not used predominantly by a state or local governmental employer (either individually or collectively with other state or local governmental employers that provide pensions through the pension plan).

GASB Statement No 79, *Certain External Investment Pools and Pool Participants*. This statement enhances comparability of financial statements among governments by establishing specific criteria used to determine whether a qualifying external investment pool may elect to use an amortized cost exception to fair value measurement. Those criteria will provide qualifying external investment pools and participants in those pools with consistent application of an amortized cost based measurement for financial reporting purposes. That measurement approximates fair value and mirrors the operations of external investment pools that transact with participants at a stable net asset value per share.

Adoption of these accounting standards did not significantly impact the City's financial statements.

i. Subsequent Events Evaluation by Management

The City has evaluated subsequent events for potential recognition or disclosure through June __, 2017, the date the financial statements were available to be issued.

Note 2 - Stewardship, Compliance, and Accountability

a. Budgetary Data

The City generally follows the procedures enumerated below in establishing the budgetary data reflected in the financial statements:

- a) On or before the seventh day of November, the City Manager submits to the City Council a tentative budget presenting a financial plan for conducting the affairs of the City for the ensuing fiscal year.
- b) Upon receipt of the Manager's tentative budget and prior to a public hearing, the City Council may, by a vote of at least four members of the City Council, make changes in the tentative budget.
- c) After considering the tentative budget, but not later than the first Wednesday in December and with a public notice published ten days in advance, the City Council holds a public hearing on the proposed budget.
- d) After the public hearing, but not later than December 31st, the City Council adopts the budget presented at the public hearing as submitted or amended. Amendments made between the time of the public hearing and the date of adoption, which increase total estimated revenues or total appropriations, require an affirmative vote of at least five members of the City Council.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 2 - Stewardship, Compliance, and Accountability - Continued

a. Budgetary Data - Continued

- e) Formal budgetary integration is employed during the year as a management control device for General, Special Revenue, and Debt Service Funds.
- f) Budgets for General, Special Revenue, and Debt Service Funds are legally adopted annually on a basis consistent with U.S. GAAP. The Capital Projects Fund is budgeted on a project basis. Annual budgets are not adopted for the proprietary funds.
- g) The City Manager may, at any time during the fiscal year, transfer part or all of any unencumbered appropriation balance among programs or accounts within a department, office, or agency under his/her supervision, and such transfers are reported to the Council at or prior to the next City Council meeting. The City Council may, by resolution, transfer part or all of any unencumbered appropriation balance among programs or accounts of a Board or commission or within a department, office, or agency, or from one Board, commission, department, office, or agency to another. No appropriation for debt service may be reduced or transferred, and no appropriation may be reduced below any amount required by law to be appropriated or by more than the amount of the unencumbered balance thereof.
- h) Appropriations in General, Special Revenue, and Debt Service Funds lapse at the end of the fiscal year, except that outstanding encumbrances are reappropriated in the succeeding year pursuant to the Uniform System of Accounts promulgated by the Office of the State Comptroller.

Budgeted amounts are as originally adopted, or as amended by the City Council. Individual amendments for the current year were not material in relation to the original appropriations that were amended.

b. Property Tax Limitation

The City is not limited as to the maximum amount of real property taxes which may be raised. However, on June 24, 2011, the Governor signed Chapter 97 of the Laws of 2011 (Tax Levy Limitation Law). This applies to all local governments.

The Tax Levy Limitation Law restricts the amount of real property taxes that may be levied by a City in a particular year, beginning with the 2012 year. It expires on June 15, 2020.

The following is a brief summary of certain relevant provisions of the Tax Levy Limitation Law. The summary is not complete and the full text of the Tax Levy Limitation Law should be read in order to understand the details and implementations thereof.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 2 - Stewardship, Compliance, and Accountability - Continued

b. Property Tax Limitation - Continued

The Tax Levy Limitation Law imposes a limitation on increases in the real property tax levy, subject to certain exceptions. The Tax Levy Limitation Law permits the City to increase its overall real property tax levy over the tax levy of the prior year by no more than the "Allowable Levy Growth Factor," which is the lesser of one and two-one hundredths or the sum of one plus the Inflation Factor; provided, however that in no case shall the levy growth factor be less than one. The "Inflation Factor" is the quotient of: (i) the average of the National Consumer Price Indexes determined by the United States Department of Labor for the twelve-month period ending six months prior to the start of the coming fiscal year minus the average of the National Consumer Price Indexes determined by the United States Department of Labor for the twelve-month period ending six months prior to the start of the prior fiscal year, divided by (ii) the average of the National Consumer Price Indexes determined by the United States Department of Labor with the result expressed as a decimal to four places. The City is required to calculate its tax levy limit for the upcoming year in accordance with the provision above and provide all relevant information to the New York State Comptroller prior to adopting its budget. The Tax Levy Limitation Law sets forth certain exclusions to the real property tax levy limitation of the City, including exclusions for certain portions of the expenditures for retirement system contributions and tort judgments payable by the City. The City Council may adopt a budget that exceeds the tax levy limit for the coming fiscal year, only if the City Council first enacts, by a vote of at least sixty percent of the total voting power of the City Council, a local law to override such limit for such coming fiscal year.

c. Capital Projects Fund Project Deficits

The following capital projects reflect deficits at December 31, 2016, due to expenditures exceeding current financing on the projects. These deficits will be eliminated with the subsequent receipt or issuance of authorized financing.

CBD Streetscape Improvement	\$ 560,510
North Street Sewer Realignment	462,309
Kirby Land Sanitary Sewer	405,555
Locust Avenue Sewer	76,939
Dearborn Seawall Construction	40,163
Boston Post Road Rock Stabilization	35,989
Annual Sidewalk Replacement Program	16,979
Kirby Lane Culvert	12,200

Note 3 - Detailed Notes on All Funds

a. Taxes Receivable

Taxes receivable at December 31, 2016 consisted of the following:

City taxes, current	\$ 869,148
City taxes, delinquent	167,185
School district taxes, current	217,326
	<hr/>
	\$ 1,253,659

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

a. Taxes Receivable - Continued

School district taxes are offset by liabilities to the school district, which will be paid no later than July 1, 2017. Taxes receivable are also partially offset in the fund financial statements by deferred tax revenues of \$300,401, which represents an estimate of the receivable that will not be collected within the first sixty days of the subsequent year. School and County taxes receivable in the amount of \$777,731 are also classified as nonspendable fund balance in the fund financial statements, which represents an estimate of taxes receivable that will not be collected within the subsequent year.

b. Due From/To Other Funds

The balances reflected as due from/to other funds at December 31, 2016 were as follows:

Receivable Fund	Payable Fund	Amount
General Fund	Cable TV Fund	\$ 14,953
	Capital Projects Fund	1,892,447
	Risk Retention Fund	1,253,269
	Boat Basin Fund	20,411
	Golf Club Fund	90,075
	Building and Vehicle Maintenance Fund	<u>84,410</u>
		<u>3,355,565</u>
Capital Projects Fund	General Fund	<u>500,200</u>
Building and Vehicle Maintenance Fund	General Fund	35,052
	Payroll Fund	132
		<u>35,184</u>
		<u>\$ 3,890,949</u>

The outstanding balances between funds result mainly from the time lag between the dates that (1) interfund goods and services are provided or reimbursable expenditures occur, (2) transactions are recorded in the accounting system, and (3) payments between funds are made.

c. Capital Assets

Changes in the City's capital assets are as follows:

Governmental Activities	Balance January 1, 2016	Additions	Deletions	Balance December 31, 2016
Capital assets not being depreciated				
Land	\$ 4,561,755	\$ -	\$ -	\$ 4,561,755
Construction in progress	2,415,946	1,428,515	(92,343)	3,752,118
Total capital assets not being depreciated	<u>6,977,701</u>	<u>1,428,515</u>	<u>(92,343)</u>	<u>8,313,873</u>
Depreciable capital assets				
Buildings	16,506,163	-	-	16,506,163
Improvements	4,846,416	36,072	-	4,882,488
Machinery, equipment, and vehicles	11,487,950	503,563	-	11,991,513
Infrastructure	69,083,414	47,606	-	69,131,020
Total depreciable capital assets	<u>101,923,943</u>	<u>587,241</u>	<u>-</u>	<u>102,511,184</u>
Less accumulated depreciation				
Buildings	4,098,286	327,605	-	4,425,891
Improvements	2,964,513	222,694	-	3,187,207
Machinery, equipment, and vehicles	8,768,157	569,209	-	9,337,366
Infrastructure	27,090,562	414,719	-	27,505,281
Total accumulated depreciation	<u>42,921,518</u>	<u>1,534,227</u>	<u>-</u>	<u>44,455,745</u>
Net depreciable capital assets	<u>59,002,425</u>	<u>(946,986)</u>	<u>-</u>	<u>58,055,439</u>
Total net capital assets governmental activities	<u>\$ 65,980,126</u>	<u>\$ 481,529</u>	<u>\$ (92,343)</u>	<u>\$ 66,369,312</u>

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

c. Capital Assets - Continued

Business-type Activities	Balance January 1, 2016	Additions	Deletions	Balance December 31, 2016
Capital assets not being depreciated				
Land	\$ 1,700,408	\$ -	\$ -	\$ 1,700,408
Construction in progress	27,317	404,722	-	432,039
Total capital assets not being depreciated	<u>1,727,725</u>	<u>404,722</u>	<u>-</u>	<u>2,132,447</u>
Depreciable capital assets				
Buildings	11,759,480	-	-	11,759,480
Improvements	7,831,733	629,271	-	8,461,004
Machinery and equipment	1,254,764	71,861	-	1,326,625
Total depreciable capital assets	<u>20,845,977</u>	<u>701,132</u>	<u>-</u>	<u>21,547,109</u>
Less accumulated depreciation				
Buildings	5,498,058	205,829	-	5,703,887
Improvements	5,827,210	671,964	-	6,499,174
Machinery and equipment	1,248,589	9,654	-	1,258,243
Total accumulated depreciation	<u>12,573,857</u>	<u>887,447</u>	<u>-</u>	<u>13,461,304</u>
Net depreciable capital assets	<u>8,272,120</u>	<u>(186,315)</u>	<u>-</u>	<u>8,085,805</u>
Total business-type capital assets water activities	<u>\$ 9,999,845</u>	<u>\$ 218,407</u>	<u>\$ -</u>	<u>\$ 10,218,252</u>

Depreciation expense was charged to the City's functions and programs as follows:

Governmental activities	
Public safety	\$ 26,556
Transportation	157,531
Home and community services	230,632
Capital assets held by the government's internal service fund are charged to the various functions based on their usage of the assets	<u>1,119,508</u>
Total depreciation expense for governmental activities	<u>\$ 1,534,227</u>
Business-type activities	
Boat Basin	\$ 420,154
Golf Club	467,293
Total depreciation expense for business-type activities	<u>\$ 887,447</u>

d. Capital Lease Obligations

The City has a capital lease for the acquisition of a telephone system.

A summary of the net book value of the assets acquired under this capital leases is as follows:

The lease term calls for monthly payments totaling \$4,506, including imputed interest of 4.43%.
The lease matures in July 2019.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

d. Capital Lease Obligations - Continued

A summary of the City's future maturities under this capital lease obligation are as follows:

	2016
Payments required under capital lease obligation	\$ 135,176
Less amount representing interest	7,435
	127,741
Less current portion	49,410
	\$ 78,331

e. Accrued Liabilities

Accrued liabilities at December 31, 2016 were as follows:

	Governmental Activities	Business- Type Activities	Total
Payroll and employee benefits	\$ 419,276	\$ 1,258,735	\$ 1,678,011
Claims	140,453	-	140,453
	\$ 559,729	\$ 1,258,735	\$ 1,818,464

f. General Claim Liabilities

The Risk Retention Fund reflects general claim liabilities. General claim liabilities are based upon estimates of the ultimate cost of claims (including future claim adjustment expenses) that have been reported, but not settled, and of claims that have been incurred but not reported. The length of time for which such costs must be estimated varies depending on the coverage involved. Because actual claim costs depend on such complex factors, such as inflation, changes in doctrines of legal liability, and damage awards, the process used in computing claim liabilities does not necessarily result in an exact amount. Using a variety of actuarial and statistical techniques, general claim liabilities are recomputed periodically to produce current estimates. These estimates reflect recent settlements, claim frequency, and other economic and social factors. A provision for inflation in the calculation of estimated future claim costs is implicit in the calculation because reliance is placed both on actual historical data that reflect past inflation and other factors that are considered to be appropriate modifiers of past experience.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

f. General Claim Liabilities - Continued

An analysis of the activity of unpaid claim liabilities is as follows:

	General Liability
Balance, <i>January 1, 2015</i>	\$ 343,248
Provision for claims and claim adjustment expenses	424,217
Claims and claims adjustment expenses paid	(388,288)
Balance, <i>December 31, 2015</i>	379,177
Provision for claims and claim adjustment expenses	81,200
Claims and claims adjustment expenses paid	(83,293)
Balance, <i>December 31, 2016</i>	\$ 377,084

g. Pension Plans

Plan Description and Benefits Provided

The City participates in the New York State and Local Employee's Retirement System (ERS) and the New York State and Local Police and Fire Retirement System (PFRS) which are collectively referred to as New York State and Local Retirement System (the System). These are cost-sharing multiple-employer retirement systems. The System provides retirement benefits as well as death and disability benefits. The net position of the System is held in the New York State Common Retirement Fund (Fund), which was established to hold all net assets and record changes in plan net position allocated to the System. The Comptroller of the State of New York serves as the Trustee of the Fund and is the administrative head of the System. The Comptroller is an elected official determined in a direct statewide election and serves a four-year term. System benefits are established under the provisions of the New York State Retirement and Social Security Law (NYSRSSL). Once a public employer elects to participate in the System, the election is irrevocable. The New York State Constitution provides that pension membership is a contractual relationship, and plan benefits cannot be diminished or impaired. Benefits can be changed for future members only by enactment of a State statute.

The City also provides benefits to those police officers that retired from service prior to the inception of PFRS. Benefits are calculated pursuant to Section 192 of the Retirement and Social Security Law. It is the policy of the City to fund any benefits from the General Fund Police Department budget. These pension costs for the year ended December 31, 2016, were \$256. The pension benefit obligation of this plan is not significant to the City.

The System is included in the State's financial report as a pension trust fund. That report, including information with regard to benefits provided, may be obtained by writing to the New York State and Local Retirement System, 110 State Street, Albany, NY 12244.

The City also participates in the Public Employees' Group Life Insurance Plan (GLIP), which provides death benefits in the form of life insurance.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

g. Pension Plans - Continued

Contributions

Most members of the System who joined on or before July 26, 1976 are enrolled in a noncontributory plan. Members of the System who joined after July 26, 1976 are enrolled in a contributory plan which requires a 3% contribution of their salary. As a result of Article 19 of the NYSRSSL, eligible Tier 3 and Tier 4 employees with a membership date after July 26, 1976 who have ten or more years of membership or credited service with the System are not required to contribute. Generally, members of the System may retire at 55; however, members of Tiers 2, 3, 4, and 5 will receive a reduced benefit if they retire before 62 with less than 30 years of service. Tier 5 members must be 62 years of age with at least 10 years of service credit to retire with full benefits, and employees with less than five years of service may withdraw and obtain a refund, including interest, of the accumulated employee contributions. The full benefit age for Tier 6 is 63 for System members. Tier 6 members with 10 years of service or more can retire as early as age 55 with reduced benefits. The contribution rate varies from 3% to 6% depending on salary. Members are required to contribute for all years of service. The average contribution rate for ERS and PFRS for the fiscal year ended March 31, 2016, was approximately 18.2% and 24.7% of payroll, respectively. Under the authority of the NYSRSSL, the Comptroller annually certifies the actuarially determined rates expressly used in computing the employers' contributions based on salaries paid during the Systems' fiscal year ending March 31.

Contributions made to the Systems for the current and two preceding years were as follows:

	ERS	PFRS
2016	\$ 1,141,322	\$ 1,558,509
2015	1,520,826	1,625,600
2014	1,252,769	2,017,606

These contributions were equal to the 100% of the actuarially required contributions for each respective fiscal year.

The current ERS contribution for the City was charged to various departments within the funds identified below. The current PFRS contribution was charged to the General Fund.

Fund	Amount
General	\$ 928,337
Cable TV	16,872
Boat Basin	18,971
Golf Club	88,064
Building and Vehicle Maintenance	89,078
	\$ 1,141,322

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

g. Pension Plans - Continued

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions

At December 31, 2016, the City reported a liability of \$9,654,087 in the governmental activities statement of net position and \$400,057 in the business-type activities statement of net position for its proportionate share of the net pension liability. The net pension liability was measured as of March 31, 2016, and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of that date. The City's proportion of the net pension liability was based on a projection of the City's long-term share of contributions to the pension plan relative to the projected contributions of all participating members, actuarially determined.

At December 31, 2016, the City's proportion was .0293238% in the Employee Retirement System and .1806137% in the Police and Fire Retirement System.

For the year ended December 31, 2016, the City recognized pension expense of \$3,667,529 in the governmental activities and \$146,978 in the business-type activities. At December 31, 2016, the City reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Governmental Activities	
	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between expected and actual experience	\$ 69,724	\$ 1,318,955
Changes of assumptions	3,453,741	-
Net differences between projected and actual investment earnings on pension plan investments	5,551,742	-
Changes in proportion and differences between employer contributions and proportionate share of contributions	728,517	35,270
City contributions subsequent to the measurement date	1,830,623	-
Total	\$ 11,634,347	\$ 1,354,225
	Business-Type Activities	
	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between expected and actual experience	\$ 2,022	\$ 47,422
Changes of assumptions	106,683	-
Net differences between projected and actual investment earnings on pension plan investments	237,336	-
Changes in proportion and differences between employer contributions and proportionate share of contributions	20,605	3,276
City contributions subsequent to the measurement date	60,743	-
Total	\$ 427,389	\$ 50,698

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

g. Pension Plans - Continued

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions - Continued

City contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ending December 31, 2017. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to pensions will be recognized in pension expense as follows:

	Governmental Activities	Business Type-Activities	Total
Year ending December 31,			
2017	\$ 2,048,227	\$ 79,797	\$ 2,128,024
2018	2,048,227	79,797	2,128,024
2019	2,048,227	79,797	2,128,024
2020	1,971,643	76,557	2,048,200
2021	333,175	-	333,175
	\$ 8,449,499	\$ 315,948	\$ 8,765,447
Total			

Actuarial Assumptions

The total pension liability at March 31, 2016 was determined by using an actuarial valuation as of April 1, 2015, with update procedures used to roll forward the total pension liability to March 31, 2016. Significant actuarial assumptions used in the valuation were as follows:

Actuarial Cost Method	Aggregate cost method
Inflation Rate	2.5% (2016) and 2.7% (2015)
Salary Scale	
ERS	3.8% (2016) and 4.9% (2015), indexed by service
PFRS	4.5% (2016) and 6.0% (2015), indexed by service
Investment rate of return, including inflation	7.0% (2016) and 7.5% (2015), compounded annually, net of expenses
Decrement	
2016	Based on FY 2011-2015 experience
2015	Based on FY 2005-2010 experience
Mortality improvement	Society of Actuaries Scale MP-2014

Annuitant mortality rates are based on the April 1, 2010 to March 31, 2015 System's experience with adjustments for mortality improvements based on the Society of Actuaries' Scale MP-2014.

The actuarial assumptions used in the April 1, 2015 valuation are based on the results of an actuarial experience study for the period April 1, 2010 to March 31, 2015.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

Actuarial Assumptions - Continued

The long-term expected rate of return on pension plan investments was determined using a building block method in which best estimate ranges of expected future real rates of return (expected returns net of investment expense and inflation) are developed for each major asset class. These ranges are combined to produce the long-term expected rate of return by weighting the expected future real rates of return by the target asset allocation percentage and by adding expected inflation. Best estimates of arithmetic real rates of return for each major asset class included in the target asset allocation as of March 31, 2016 are summarized below.

Asset Type	Target Allocation	Long-Term Expected Real Rate
Domestic equity	38.00%	7.30%
International equity	13.00%	8.55%
Private equity	10.00%	11.00%
Real estate	8.00%	8.25%
Absolute return strategies	3.00%	6.75%
Opportunistic portfolio	3.00%	8.60%
Real assets	3.00%	8.65%
Bonds and mortgages	18.00%	4.00%
Cash	2.00%	2.25%
Inflation-Indexed bonds	2.00%	4.00%
	100.00%	

Discount Rate

The discount rate used to calculate the total pension liability was 7.0%. The projection of cash flows used to determine the discount rate assumes that contributions from plan members will be made at the current contribution rates and that contributions from employers will be made at statutorily required rates, actuarially. Based upon the assumptions, the System's fiduciary net position was projected to be available to make all projected future benefit payments of current plan members. Therefore, the long-term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total pension liability.

Sensitivity of the Proportionate Share of the Net Pension Liability to the Discount Rate Assumption

The following presents the City's proportionate share of the net pension liability calculated using the discount rate of 7.0%, as well as what the City's proportionate share of the net pension liability would be if it were calculated using a discount rate that is 1 percentage point lower (6.0%) or 1 percentage point higher (8.0%) than the current rate:

	1% Decrease (6.0%)	Current Discount (7.0%)	1% Increase (8.0%)
City's proportionate share of the net pension liability (asset)			
Proprietary Activities	\$ 902,100	\$ 400,057	\$ (24,147)
Governmental Activities	21,655,242	9,654,087	(441,911)
	\$ 22,557,342	\$ 10,054,144	\$ (466,058)

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

Pension Plan Fiduciary Net Position

The components of the current-year net pension liability of the employers as of March 31, 2016 were as follows:

	(Dollars in Thousands)		
	Employee's Retirement System	Police and Fire Retirement System	Total
Employers' total pension liability	\$ 172,303,544	\$ 30,347,727	\$ 202,651,271
Plan net position	(156,253,265)	(27,386,940)	(183,640,205)
Employers' net pension liability	\$ 16,050,279	\$ 2,960,787	\$ 19,011,066
Ratio of plan net position to the employers' total pension liability	90.7%	90.2%	90.6%

h. Long-Term Liabilities

The changes in the City's long-term liabilities were as follows:

	Year Ended December 31, 2016				
	Balance January 1, 2016	New Issues/ Additions	Maturities and/or Payments	Balance December 31, 2016	Due Within On Year
Governmental activities					
Bonds payable	\$ 13,505,000	\$ -	\$ 1,340,000	\$ 12,165,000	\$ 1,385,000
Plus unamortized premium on bonds	345,760	-	63,144	282,616	63,144
	13,850,760	-	1,403,144	12,447,616	1,448,144
Other non-current liabilities					
Capital lease obligations	149,558	-	40,397	109,161	42,223
Claims payable	1,851,028	571,536	1,127,069	1,295,495	-
Compensated absences	1,360,539	136,054	174,255	1,322,338	132,234
Net pension liability	1,279,025	10,977,881	2,602,819	9,654,087	-
Other postemployment benefit obligations payable	28,650,897	6,229,281	2,388,992	32,491,186	-
	33,291,047	17,914,752	6,333,532	44,872,267	174,457
Governmental activities long-term liabilities	\$ 47,141,807	\$ 17,914,752	\$ 7,736,676	\$ 57,319,883	\$ 1,622,601
Business-type activities					
Bonds payable	\$ 1,170,000	\$ -	\$ 380,000	\$ 790,000	\$ 390,000
Plus unamortized premium on bonds	37,131	-	12,377	24,754	12,377
Less unamortized discount on bond	(3,304)	-	(348)	(2,956)	(348)
	1,203,827	-	392,029	811,798	402,029
Other non-current liabilities					
Capital lease obligations	25,456	-	6,876	18,580	7,187
Compensated absences	89,675	8,968	27,094	71,549	8,968
Net pension liability	76,631	420,438	97,012	400,057	-
Other postemployment benefit obligations payable	1,215,385	270,306	196,497	1,289,194	-
	1,407,147	699,712	327,479	1,779,380	16,155
Business-type activities long-term liabilities	\$ 2,610,974	\$ 699,712	\$ 719,508	\$ 2,591,178	\$ 418,184

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

h. Long-Term Liabilities - Continued

The liability for compensated absences and other post-employment benefit obligations is liquidated by the General, Cable TV, Boat Basin, Golf and Building, and Vehicle Maintenance Funds. The General Fund liquidates claims liabilities. The City's governmental fund indebtedness for bonds is satisfied by the Debt Service Fund, which is funded by the General Fund.

Bonds Payable

Bonds payable at December 31, 2016 are comprised of the following individual issues:

Purpose	Year of Issue	Year Ended December 31, 2016			Amount Outstanding at December 31, 2016
		Original Issue Amount	Final Maturity	Interest Rates	
EFC - Land Acquisition	2004	\$ 1,708,013	August 2024	4.061 to 4.964	\$ 775,000
Refunding Bonds	2010	8,965,000	September 2021	3.000 to 4.000	3,970,000
Public Improvements	2010	3,534,000	September 2030	2.000 to 4.000	2,725,000
EFC - Kirby Lane Sewer	2010	2,373,374	April 2039	1.414 to 4.603	1,880,000
Refunding Bonds	2013	5,175,000	December 2025	0.625 to 2.500	3,605,000
					\$ 12,955,000

Interest expenditure/expense of \$130,177 and \$347,204 was recorded in the Debt Service Fund and the Internal Service Fund, respectively. Interest expense of \$478,339 was recorded in the government-wide financial statements for governmental activities. Interest expense of \$30,775 was recorded in the fund financial and government-wide financial statements for the business-type activities.

Payments to Maturity

The annual requirements to amortize all bonded debt outstanding, including interest of \$2,239,686 as of December 31, 2016, are as follows:

Year	Bonds				Total	
	Governmental Activities		Business-type Activities		Principal	Interest
	Principal	Interest	Principal	Interest		
2017	\$ 1,385,000	\$ 367,525	\$ 390,000	\$ 24,113	\$ 1,775,000	\$ 391,638
2018	1,435,000	324,884	400,000	12,500	1,835,000	337,384
2019	1,480,000	280,622	-	-	1,480,000	280,622
2020	1,540,000	225,660	-	-	1,540,000	225,660
2021	890,000	170,282	-	-	890,000	170,282
2022-2026	3,405,000	555,092	-	-	3,405,000	555,092
2027-2031	1,330,000	206,876	-	-	1,330,000	206,876
2032-2036	430,000	62,087	-	-	430,000	62,087
2037-2039	270,000	10,045	-	-	270,000	10,045
	\$ 12,165,000	\$ 2,203,073	\$ 790,000	\$ 36,613	\$ 12,955,000	\$ 2,239,686

The above general obligation bonds are direct obligations of the City for which its full faith and credit are pledged and are payable from taxes levied on all taxable real property within the City.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

h. Long-Term Liabilities - Continued

Advance Refunding

In prior years, the City defeased certain debt obligations, whereby the proceeds of the new obligations were placed in an irrevocable trust to provide for all future debt service payments on the defeased obligations. At December 31, 2016, approximately \$3,605,000 of such defeased obligations were outstanding. The assets and liabilities related to these obligations are not reported in the accompanying financial statements.

Claims Payable

Claims payable is comprised of a liability of \$1,295,495 for tax certiorari refunds, which were not due and payable at year-end. This amount represents likely tax certiorari refunds based upon proceedings pending against the City to reduce assessments upon which taxes had been levied. This amount has been recorded as an expense in the government-wide financial statements.

Compensated Absences

Vacation time is generally taken in the year subsequent to the year in which it is earned, although employees may be granted permission to carry over unused vacation time beyond one year. Employees may be paid up to 36 days of unused vacation time upon separation of service. Full-time administrative employees and full-time employees who are members of the Civil Service Employees Association (CSEA) Clerical Unit hired prior to January 1, 1977, may accrue a maximum of 365 sick leave days, and those hired on or after January 1, 1977, may accrue a maximum of 250 sick leave days. Full-time employees who are members of the CSEA Public Works Unit hired prior to January 1, 1977, may accrue a maximum of 365 sick leave days, and those hired on or after January 1, 1977, may accrue a maximum 200 sick leave days. The City will pay accrued sick time to all full-time administrative employees at the daily rate of pay for each day of accrued sick leave in excess of 165 days, to a maximum of 35 days. The City will pay accrued sick time to all full-time CSEA Clerical Unit employees who have 20 years of service with the City at the daily rate of pay for each day of accrued sick leave in excess of 165 days, to a maximum of 35 days. The City will pay accrued sick time to police officers who retire and have accumulated sick leave in excess of 220 days, in an amount equal to two day's pay per year of service to a maximum of 40 days. There is no payment of accrued sick time upon retirement to firefighters. The value of the compensated absences has been reflected as a liability in the government-wide and proprietary fund financial statements, and as restricted fund balance in the governmental fund financial statements.

Other Postemployment Benefit Obligations Payable

In addition to providing pension benefits, the City provides certain health care benefits for retired employees through a single employer defined benefit plan. The various collective bargaining agreements stipulate the employees covered and the percentage of contribution. Contributions by the City may vary according to the length of service. The cost of providing postemployment health care benefits is shared between the City and the retired employee. Substantially all of the City's employees may become eligible for those benefits if they reach normal retirement age while working for the City. The cost of retiree health care benefits is recognized as expenditure as claims are paid in the fund financial statements. The City has recognized revenues and expenditures of \$45,096 for Medicare Part D payments made directly to its health insurance carrier on behalf of its retirees.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

h. Long-Term Liabilities - Continued

Other Postemployment Benefit Obligations Payable - Continued

The City's annual other postemployment benefit ("OPEB") cost (expense) is calculated based on the annual required contribution ("ARC"). The ARC represents a level of funding that, if paid on an ongoing basis, is projected to cover normal cost each year and to amortize any unfunded actuarial liabilities (or funding excess) over a period not to exceed thirty years. The following table shows the components of the City's annual OPEB cost for 2016, the amount actually contributed to the Plan, and changes in the City's net OPEB obligation to the Plan:

	Governmental Activities	Business-type Activities	Total
Annual required contribution	\$ 6,538,034	\$ 283,403	\$ 6,821,437
Interest on net OPEB obligation	1,146,035	48,616	1,194,651
Adjustment to annual required contribution	<u>(1,454,788)</u>	<u>(61,713)</u>	<u>(1,516,501)</u>
Annual OPEB cost	6,229,281	270,306	6,499,587
Contributions made	<u>2,388,992</u>	<u>196,497</u>	<u>2,585,489</u>
Increase in net OPEB obligation	3,840,289	73,809	3,914,098
Net OPEB obligation, <i>beginning of year</i>	<u>28,650,897</u>	<u>1,215,385</u>	<u>29,866,282</u>
Net OPEB obligation, <i>end of year</i>	<u><u>\$ 32,491,186</u></u>	<u><u>\$ 1,289,194</u></u>	<u><u>\$ 33,780,380</u></u>

Actuarial valuations for OPEB plans involve estimates of the value of reported amounts and assumptions about the probability of events far into the future. These amounts are subject to continual revision as results are compared to past expectations and new estimates are made about the future. Calculations are based on the OPEB benefits provided under the terms of the substantive plan in effect at the time of each valuation and on the pattern of sharing of costs between the employer and plan members to that point. In addition, the assumptions and projections utilized do not explicitly incorporate the potential effects of legal or contractual funding limitations on the pattern of cost sharing between the employer and plan members in the future. The actuarial calculations of the OPEB plan reflect a long-term perspective.

The City is required to accrue on the government-wide and proprietary fund financial statements the amounts necessary to finance the plan as actuarially determined, which is equal to the balance not paid by plan members. Funding for the Plan has been established on a pay-as-you-go basis. The assumed rates of increase in postretirement benefits are as follows:

Year ended December 31,	Healthcare Cost Trend Rate %
2016	7.50
2017	7.00
2018	6.50
2019	6.00
2020	5.50
2021+	5.00

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

h. Long-Term Liabilities - Continued

Other Postemployment Benefit Obligations Payable - Continued

The amortization basis is the level percentage of pay method with an open amortization approach with 30 years remaining in the amortization period. The actuarial assumptions also include a 3.0% inflation rate and a 2.5% annual payroll growth rate. The City currently has no assets set aside for the purpose of paying other postemployment benefits. The actuarial cost method utilized was the projected unit credit method.

The number of participants as of December 31, 2016 was as follows:

Active employees	144
Retired employees	112
	256

	Governmental Activities	Business-type Activities	Total
Amortization component			
Actuarial accrued liability as of January 1, 2016	\$ 74,130,037	\$ 3,511,509	\$ 77,641,546
Unfunded actuarial accrued liability ("UAAL")	\$ 74,130,037	\$ 3,511,509	\$ 77,641,546
Funded ratio	0.00%	0.00%	0.00%
Covered payroll (active plan members)	\$ 14,761,468	\$ 699,245	\$ 15,460,713
UAAL as a percentage of covered payroll	502.19%	502.19%	502.19%

The City's annual OPEB cost, the percentage of annual OPEB cost contributed to the plan, and the net OPEB obligation for the current and two preceding years is as follows:

Fiscal Year Ended December 31,	Annual OPEB Cost	Percentage of Annual OPEB Cost Contributed	Net OPEB Obligation
2016	\$ 6,499,587	39.80%	\$ 33,780,380
2015	6,973,352	32.04%	29,866,282
2014	6,665,634	24.95%	25,126,282

The schedule of funding progress for the OPEB plan immediately following the notes to financial statements presents multi-year trend information about whether the actuarial value of the plan assets is increasing or decreasing relative to the actuarial accrued liability for the benefits over time.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

i. Significant Commitments - Encumbrances

As discussed in Note 2a, Budgetary Data, encumbrance accounting is utilized to the extent necessary to assure effective budgetary control and accountability and to facilitate effective cash planning and control. At December 31, 2016, the amount of encumbrances expected to be honored upon performance by the vendor in the next year are as follows:

Fund		
General	\$	372,434
Non-Major Governmental - Cable TV		15,776
Total	\$	388,210

j. Revenues and Expenditures

Interfund Transfers

Interfund transfers are defined as the flow of assets (such as cash or goods and services) between funds of the City without equivalent flows of assets in return and without a requirement for repayment. Interfund transfers for the fiscal year ended December 31, 2016, are as follows:

Transfers Out	Transfers In			Total
	Capital Projects	Non-Major Governmental	Internal Service	
General	\$ 1,980,000	\$ 273,609	\$ 87,000	\$ 2,340,609
Building and Vehicle Maintenance	-	-	38,975	38,975
Capital Projects	416,240	-	-	416,240
Total	\$ 2,396,240	\$ 273,609	\$ 125,975	\$ 2,795,824

Transfers are used to 1) move revenues from the fund with collection authorization to the Debt Service Fund as debt service principal and interest payments become due and 2) move unrestricted General Fund revenues to finance various programs that the government must account for in other funds in accordance with budgetary authorizations, including amounts provided as subsidies or matching funds for various grant programs.

k. Net Position and Fund Balance

The components of net position are detailed below:

Net Investment in Capital Assets - the component of net position that reports the difference between capital assets less both the accumulated depreciation and the outstanding balance of debt, excluding unexpended proceeds, that is directly attributable to the acquisition, construction, or improvement of those assets.

Restricted for Capital Projects - the component of net position that reports the amounts restricted for capital projects, exclusive of unexpended bond proceeds and unrestricted interest earnings.

Restricted for Permanent Fund - the component of net position that reports the difference between the assets and liabilities of the non-expendable trust with constraints placed on their use by an external party.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

k. Net Position and Fund Balance - Continued

Restricted for Special Purposes - the component of net position that reports the difference between assets and liabilities of certain police department, fire department, parks, recreation, and WWI memorial programs with constraints placed on their use by external parties.

Restricted for Debt Service - the component of net position that reports the difference between the assets and liabilities of the Debt Service Fund with constraints placed on their use by New York State Local Finance Law.

Restricted for Risk Retention - the component of net position that provides for the payment of self-insured general and liability claims pursuant to New York State Law.

Unrestricted - all other amounts that do not meet the definition of "restricted" or "net investment in capital assets."

	December 31, 2016			Total
	General Fund	Capital Projects Fund	Non-Major Governmental Funds	
Nonspendable				
Prepaid expenditures	\$ 1,456,036	\$ -	\$ 4,350	\$ 1,460,386
Long-term receivables	777,731	-	-	777,731
Permanent Fund	-	-	20,000	20,000
Total nonspendable	2,233,767	-	24,350	2,258,117
Restricted				
Compensated absences	1,247,329	-	21,014	1,268,343
Police purposes	61,509	-	-	61,509
Fire purposes	2,000	-	-	2,000
Parks	101,809	-	-	101,809
Recreation programs	432,768	-	-	432,768
WWI memorial	4,151	-	-	4,151
9/11 memorial	250	-	-	250
Capital projects	-	2,148,042	-	2,148,042
Permanent Fund	-	-	249	249
Debt service	-	-	38,376	38,376
Total restricted	1,849,816	2,148,042	59,639	4,057,497
Assigned				
Purchases on order				
General government support	41,523	-	-	41,523
Public safety	102,701	-	-	102,701
Transportation	154,618	-	-	154,618
Culture and recreation	70,322	-	-	70,322
Home and community services	3,270	-	15,776	19,046
Total assigned	372,434	-	15,776	388,210
Other				
Subsequent year's expenditures	1,554,400	-	-	1,554,400
Tax certiorari	1,295,495	-	-	1,295,495
Cable TV	-	-	954,146	954,146
Total assigned	3,222,329	-	969,922	4,192,251
Unassigned				
Total assigned	4,930,854	-	-	4,930,854
Total fund balances	\$ 12,236,766	\$ 2,148,042	\$ 1,053,911	\$ 15,438,719

Certain elements of fund balance are described above. Those additional elements which are not reflected in the statement of net position but are reported in the governmental funds balance sheet are described below.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 3 - Detailed Notes on All Funds - Continued

k. Net Position and Fund Balance - Continued

Prepaid expenditures represent workers' compensation insurance, retirement, and other costs paid in advance. The amount is classified as nonspendable to indicate that funds are not "available" for appropriation or expenditure even though they are a component of current assets.

Long-term receivables have been classified as nonspendable to indicate the long-term nature of taxes receivable collected for other governments. These funds are not "available" for appropriation or expenditure even though they are a component of current assets.

Amounts restricted for compensated absences represent funds set aside for the payment of accumulated vacation and sick leave in accordance with various collective bargaining agreements and the General Municipal Law of the State of New York. These funds are not "available" for appropriation or expenditure.

Amounts restricted for workers' compensation represents funds set aside to be used for a specific purpose in accordance with Section 6-j of the General Municipal Law of the State of New York.

Purchases on order are assigned and represent the City's intention to honor the contracts in process at year-end. The subsequent year's appropriations will be amended to provide authority to complete the transactions.

Other assignments of fund balance are not legally required segregations but represent intended use for a specific purpose. At December 31, 2016, the City Council has assigned the above amounts to be appropriated for the ensuing year's budget, police purposes, fire purposes, the acquisition and/or embellishment of parks and playgrounds, recreational programs, WWI memorial, tax certiorari settlements and Cable TV.

Unassigned fund balance in the General Fund represents amounts not classified as nonspendable, restricted, or assigned.

Note 4 - Commitments and Contingencies

a. Litigation and Contingencies

The City is a party to various legal proceedings, including negligence suits, some of which involve serious inquiry. Many of these actions arise in the normal course of the City's operations. The City records accruals for claims liability to the extent that management concludes their occurrence is probable and the related damages are estimable. If the range of the liability is probable and estimateable, the City accrues the amount most likely to be paid. Changes in the City's claim liability from 2015 to 2016 are shown in footnote 3f.

The City is also a defendant in numerous pending tax certiorari proceedings, the results of which cannot be determined at this time. Any refunds resulting from adverse settlements will be funded in the year in which the payments are made.

Certain other claims cannot be estimated as they involve complex issues. Often these issues are subject to substantial uncertainties and, therefore, the probability of loss or an estimation of damages cannot be determined.

b. Other Contingencies

The City participates in various Federal grant programs. These programs are subject to program compliance audits pursuant to the Single Audit Act. The amount of expenditures/expenses that may be disallowed by the granting agencies cannot be determined at this time, although the City anticipates such amounts, if any, to be immaterial.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 4 - Commitments and Contingencies - Continued

c. Risk Management

The City purchases various conventional insurance policies to reduce its exposure to loss. The general liability, law enforcement, and public officials policies maintained provide coverage up to \$1 million per occurrence and \$2 million in the aggregate, with a self-insured retention level of \$50,000 per occurrence. The City also maintains an umbrella liability policy which provides coverage up to \$10 million. Settled claims resulting from these risks have not exceeded commercial insurance coverage in any of the past three fiscal years. Accrued liabilities in the Risk Retention Fund include provisions for claims reported and claims incurred but not reported (IBNR's).

The City, along with other municipal entities, participates in the Municipal Employee Benefits Consortium (MEBCO). MEBCO is a public entity risk pool currently operating as a common risk management and health insurance program for its members. MEBCO was formed in April 1988 pursuant to an act of the Board of Legislators of the County of Westchester. This act provides cities and villages throughout Westchester County with an opportunity to participate in a cooperative program for providing health benefits to municipal employees by entering into an intermunicipal agreement pursuant to Article 5-G of the General Municipal Law of the State of New York. The purpose of the pool is to stabilize the cost of medical benefits provided to employees (see unpaid claim liabilities). MEBCO functions primarily as a claims service whereby each participating municipality retains its own risk. MEBCO does not transfer risk since charges to each municipality are ultimately determined by their individual claims/loss experience. The City pays the pool an annual provision for its health coverage.

Workers' compensation insurance is secured with statutory coverage. Settled claims have not exceeded commercial insurance coverage in any of the past three fiscal years.

d. Tax Abatements

The City negotiates property tax abatement agreements on an individual basis. The City has tax abatement agreements with two entities as of December 31, 2016:

Agreement	Start Date	Taxable Assessed Value	Tax Rate	Tax Value	PILOT Received	Taxes Abated
Westchester County IDA c/o Avon Products Inc.	January 24, 1996	\$ 610,210	\$ 157.16	\$ 95,900	\$ 95,900	\$ -
HONY Housing Development Fund Corp. & Rye Manor	November 25, 2013	<u>345,000</u>	157.16	<u>54,220</u>	<u>31,519</u>	<u>22,701</u>
		<u>\$ 955,210</u>		<u>\$ 150,120</u>	<u>\$ 127,419</u>	<u>\$ 22,701</u>

Copies of the agreements may be obtained from Joseph Fazzino, Deputy City Comptroller, City of Rye, 1051 Boston Post Road, Rye New York 10580.

Note 5 - Accounting Standards Issued But Not Yet Implemented

GASB Statement No. 74, *Financial Reporting for Postemployment Benefit Plans Other Than Pension Plans*. This statement improves the usefulness of information about postemployment benefits other than pensions (other postemployment benefits) included in the general purposes external financial reports of state and local government other postemployment benefit plans for making decisions and assessing accountability. The requirements of this statement are effective for fiscal years beginning after June 15, 2016.

City of Rye, New York

Notes to Financial Statements December 31, 2016

Note 5 - Accounting Standards Issued But Not Yet Implemented - Continued

GASB Statement No. 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*. This statement establishes financial reporting standards for other postemployment benefits (OPEB) plans for state and local governments. This statement replaces the requirements of GASB Statement No. 45, *Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions*, as amended. The statement establishes standards for recognizing and measuring liabilities, deferred inflows and outflows of resources, and expense/expenditures, as well as identifying the methods and assumptions required to project benefit payments, discount projected benefit payments, to their actuarial present value, and attribute that present value to periods of employee service. Additionally, this Statement identifies requirements for additional note disclosures and required supplementary information. These requirements are effective for fiscal years beginning after June 15, 2017.

GASB Statement No. 80, *Blending Requirements for Certain Component Units*. This statement provides clarity on how certain component units incorporated as not-for-profit corporations should be presented in the financial statements of the primary state or local government. The requirements of this statement are effective for fiscal years beginning after June 15, 2016.

GASB Statement No 82, *Pension Issues*. This statement addresses practice issues raised during implementation of GASB Statements No. 67, *Financial Reporting for Pension Plans*, No. 68, *Accounting for Financial Reporting for Pensions*, and No. 73, *Accounting and Financial Reporting for Pensions and Related Assets That Are Not Within The Scope of GASB Statement No. 68, and Amendments to Certain Provisions of GASB Statements No. 67 and No. 68*. The requirements of this statement are effective for fiscal years beginning after June 15, 2016.

GASB Statement No. 83, *Certain Asset Retirement Obligations*. This statement establishes criteria for determining the timing and pattern of recognition for a liability and corresponding deferred outflow of resources for asset retirement obligations. This statement required that recognition occur when the liability is both incurred and reasonably estimable. The requirements of this statement are effective for reporting periods beginning after June 15, 2018.

GASB Statement No. 84, *Fiduciary Activities*. This statement provides guidance regarding the identification of fiduciary activities for accounting and financial reporting purposes and how those activities should be reported. The requirements of this statement are effective for reporting periods beginning after December 15, 2018.

Management has not estimated the extent of the potential impact, if any, of these statements on the City's financial statements.

City of Rye, New York

Required Supplementary Information - Schedule of Funding Progress Other Postemployment Benefits December 31, 2016

Valuation Date	Actuarial		Unfunded Actuarial Accrued Liability	Funded Ratio	Covered Payroll	Unfunded Liability as a Percentage of Covered Payroll
	Value of Assets	Accrued Liability				
1/1/2012	\$ -	\$ 65,316,988	\$ 65,316,988	0.00%	\$ 10,653,528	613.1%
1/1/2014	-	77,828,721	77,828,721	0.00%	11,597,044	613.1%
1/1/2016	-	77,641,546	77,641,546	0.00%	15,460,713	502.2%

City of Rye, New York

Required Supplementary Information Schedule of Proportionate Share of the Net Pension Liability December 31, 2016

ERS	<u>2016</u>
City's proportion of the net pension liability	0.0293238%
City's proportionate share of the net pension liability	\$ 4,706,557
City's covered-employee payroll	\$ 7,456,326
City's proportionate share of the net pension liability as a percentage of its covered-employee payroll	63.12%
Plan fiduciary net position as a percentage of the total pension liability	90.68%
PFRS	<u>2016</u>
City's proportion of the net pension liability	0.1806137%
City's proportionate share of the net pension liability	\$ 5,347,587
City's covered-employee payroll	\$ 6,837,317
City's proportionate share of the net pension liability as a percentage of its covered-employee payroll	78.21%
Plan fiduciary net position as a percentage of the total pension liability	90.24%

Schedule is intended to show information for ten years. Additional years will be displayed as they become available.

City of Rye, New York

Required Supplementary Information
New York State and Local Employees' Retirement System
Schedule of Pension Contributions
Years Ended December 31

	<u>2016</u>	<u>2015</u>	<u>2014</u>	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>	<u>2009</u>	<u>2008</u>	<u>2007</u>
Contractually required contribution	\$ 1,141,322	\$ 1,520,826	\$ 1,252,769	\$ 1,373,768	\$ 1,213,149	\$ 1,037,092	\$ 796,171	\$ 509,643	\$ 586,446	\$ 650,344
Contributions in relation to the contractually required contribution	1,141,322	1,520,826	1,252,769	1,373,768	1,213,149	1,037,092	796,171	509,643	586,446	650,344
Contribution deficiency (excess)	-	-	-	-	-	-	-	-	-	-
City's covered-employee payroll	7,456,326	7,421,204	6,765,836	7,133,530	6,649,504	6,813,692	7,235,332	7,429,244	7,268,188	7,057,194
Contributions as a percentage of covered-employee payroll	15.31%	20.49%	18.52%	19.26%	18.24%	15.22%	11.00%	6.86%	8.07%	9.22%

City of Rye, New York

Required Supplementary Information
New York State and Local Police and Fire Retirement System
Schedule of Pension Contributions
Years Ended December 31

	<u>2016</u>	<u>2015</u>	<u>2014</u>	<u>2013</u>	<u>2012</u>	<u>2011</u>	<u>2010</u>	<u>2009</u>	<u>2008</u>	<u>2007</u>
Contractually required contribution	\$ 1,558,509	\$ 1,625,600	\$ 2,017,606	\$ 1,589,019	\$ 1,300,431	\$ 1,103,082	\$ 891,722	\$ 802,412	\$ 840,329	\$ 766,703
Contributions in relation to the contractually required contribution	1,558,509	1,625,600	2,017,606	1,589,019	1,300,431	1,103,082	891,722	802,412	840,329	766,703
Contribution deficiency (excess)	-	-	-	-	-	-	-	-	-	-
City's covered-employee payroll	6,837,317	6,776,549	6,658,875	5,582,035	5,408,957	5,476,847	5,612,453	5,909,336	5,723,373	5,369,861
Contributions as a percentage of covered-employee payroll	22.79%	23.99%	30.30%	28.47%	24.04%	20.14%	15.89%	13.58%	14.68%	14.28%

Other Supplementary Information

Capital Projects Fund
Project-Length Schedule

City of Rye, New York

Supplementary Information - Capital Projects Fund - Project Length Schedule

Project Number	Project Title	Year Authorized	Project Budget	Expenditures and Other Financing Uses			Unexpended Balance	Revenues and Other Financing Sources			Project Balance (Deficit) at December 31, 2016
				Prior Years	Current Year	Total		Prior Years	Current Year	Total	
505231	EPA Hewlett Ave Pump	2016	\$ 1,220,866	\$ 313,781	\$ 82,900	\$ 396,681	\$ 824,185	\$ 477,866	\$ 530,000	\$ 1,007,866	\$ 183,681
533033	CBD Streetscape Improvement	2014	968,579	24,219	624,870	649,089	319,490	88,579	-	88,579	(230,911)
533034	Annual Traffic Light/Pedestrian Safety	2015	150,000	12,463	37,186	49,649	100,351	100,000	50,000	150,000	49,649
533035	Five Point Intersection	2015	150,000	2,156	-	2,156	147,844	150,000	-	150,000	2,156
533036	LED Streetlights	2016	350,000	-	10,420	10,420	339,580	-	350,000	350,000	10,420
551061	Peck Ave Intersection	2007	400,000	219,500	-	219,500	180,500	400,000	-	400,000	219,500
551070	Central Avenue Bridge Reconstruction	2007	2,248,600	2,015,125	350,000	2,365,125	(116,525)	2,389,954	-	2,389,954	2,506,479
551077	Boston Post Road Rock Stabilization	2008	378,200	114,189	-	114,189	264,011	78,200	-	78,200	(185,811)
551088	2013 Street Resurfacing Program	2013	614,937	614,937	-	614,937	-	614,937	-	614,937	614,937
551091	Safe Routes to School	2013	313,952	50,915	2,348	53,263	260,689	40,000	50,000	90,000	(170,689)
551092	Osborn Theall Corridor	2013	150,000	-	-	-	150,000	150,000	-	150,000	-
551093	Nature Center/BPR Realing	2014	50,000	23,249	-	23,249	26,751	50,000	-	50,000	23,249
551094	Street resurfacing	2014	827,100	824,700	-	824,700	2,400	827,100	-	827,100	824,700
551097	Annual Street Resurfacing Program	2015	2,383,034	1,226,405	566,650	1,793,055	589,979	1,316,797	1,049,587	2,366,384	1,776,405
551098	Firemen Memorial Intersection	2016	175,000	-	3,940	3,940	171,060	-	175,000	175,000	3,940
551099	Nature Center Bridge Repair	2016	40,000	-	6,168	6,168	33,832	-	40,000	40,000	6,168
551101	Forest Ave Pedestrian Improvements	2016	52,200	-	52,165	52,165	35	-	52,200	52,200	52,165
554118	2013 Sidewalk Replacement Program	2013	308,041	225,020	-	225,020	83,021	58,041	-	58,041	(24,980)
554119	2014 Sidewalk Replacement Program	2014	100,000	68,169	-	68,169	31,831	100,000	-	100,000	68,169
554120	Annual Sidewalk Replacement Program	2015	246,318	61,009	2,200	63,209	183,109	146,318	100,000	246,318	63,209
571090	Disbrow Park Master Plan	2014	57,164	-	-	-	57,164	57,164	-	57,164	-
581201	Kirby Lane Sewer	2003	2,466,773	2,449,012	-	2,449,012	17,761	2,456,979	-	2,456,979	2,439,218
581206	Upper Dogwood Lane Sewer	2007	150,000	135,022	-	135,022	14,978	150,000	-	150,000	135,022
581229	Kirby Lane Sanitary Sewer	2010	17,315	422,870	-	422,870	(405,555)	17,315	-	17,315	422,870
581230	Locust Avenue Sewer	2014	250,000	69,465	7,474	76,939	173,061	-	-	-	(173,061)
581231	Brevoort Force Main Replacement	2015	300,000	-	17,120	17,120	282,880	300,000	-	300,000	17,120
581232	Sewer Pump Station Automation	2015	30,000	-	30,000	30,000	-	30,000	-	30,000	30,000
581233	North Street Sewer Realignment	2016	1,000,000	-	462,309	462,309	537,691	-	-	-	(537,691)
581428	Old Milton Road Drainage	2010	253,885	246,733	-	246,733	7,152	253,885	-	253,885	246,733
581430	2013 Sewer Drainage Improvement Program	2013	135,957	135,957	-	135,957	-	135,957	-	135,957	135,957
581431	2014 Sewer Drainage Improvement Program	2014	113,003	113,003	-	113,003	-	113,003	-	113,003	113,003
581432	Kirby Lane Culvert	2014	-	12,200	-	12,200	(12,200)	-	-	-	12,200
581433	2015 Sewer Drainage Improvement Program	2015	509,678	39,528	52,127	91,655	418,023	109,678	400,000	509,678	91,655
585403	Theo Fremd Retain Wall	2007	1,758,660	121,192	-	121,192	1,637,468	434,811	5,414	440,225	(1,197,243)
585405	Bowman Ave Sluice Gate	2008	2,095,822	1,524,426	36,136	1,560,562	535,260	1,583,928	-	1,583,928	1,048,668
585406	Upper Blind Brook Study	2013	271,794	208,923	29,503	238,426	33,368	205,254	34,040	239,294	205,926
585407	Dearborn Seawall Construction	2012	28,576	24,495	15,669	40,164	(11,588)	-	-	-	11,588
585408	Blind Brook Wall Study	2014	50,000	6,670	-	6,670	43,330	50,000	-	50,000	6,670
585410	Hix Park Drainage Study	2015	20,000	-	-	-	20,000	20,000	-	20,000	-
585411	Theodore Fremd Senior Housing	2016	1,200,000	-	63,750	63,750	1,136,250	-	63,750	63,750	(1,072,500)
			\$ 21,835,454	\$ 11,305,333	\$ 2,452,935	\$ 13,758,268	\$ 8,077,186	\$ 12,905,766	\$ 2,899,991	\$ 15,805,757	\$ 7,728,571

Non-Major Governmental Funds

Special Revenue Funds

The Cable TV Special Revenue Fund is used to account for the receipt and use of revenues received from the franchise holder for public access cable television programming.

Permanent Fund

The K.T. Woods Permanent Fund is used to report resources that are legally restricted to the extent that only earnings, not principal, may be used for purposes that support the City's programs.

Debt Service Fund

The Debt Service Fund is provided to account for and report financial resources that are restricted, committed or assigned to expenditures for principal and interest, and for financial resources that are being accumulated for principal and interest maturing in future years.

City of Rye, New York

Supplementary Information - Combining Balance Sheet - Non-Major Governmental Funds

	December 31, 2016			Total
	Cable TV Fund	K.T. Woods Permanent Fund	Debt Service Fund	
ASSETS				
Cash and equivalents	\$ 928,617	\$ 20,249	\$ 38,376	\$ 987,242
Accounts receivable	102,288	-	-	102,288
Prepaid expenditures	4,350	-	-	4,350
Total assets	\$ 1,035,255	\$ 20,249	\$ 38,376	\$ 1,093,880
LIABILITIES AND FUND BALANCES				
Liabilities				
Accounts payable	\$ 20,137	\$ -	\$ -	\$ 20,137
Accrued liabilities	3,911	-	-	3,911
Due to other governments	968	-	-	968
Due to other funds	14,953	-	-	14,953
Total liabilities	39,969	-	-	39,969
Fund balances				
Nonspendable	4,350	20,000	-	24,350
Restricted	21,014	249	38,376	59,639
Assigned	969,922	-	-	969,922
Total fund balances	995,286	20,249	38,376	1,053,911
Total liabilities and fund balances	\$ 1,035,255	\$ 20,249	\$ 38,376	\$ 1,093,880

City of Rye, New York

Supplementary Information - Combining Statement of Revenues, Expenditures, and Changes in Fund Balances - Non-Major Governmental Funds

	Year Ended December 31, 2016			Total Governmental Funds
	Cable TV Fund	K.T. Woods Permanent Fund	Debt Service Fund	
REVENUES				
Real property taxes and related items	\$ -	\$ -	\$ 123,768	\$ 123,768
Non-property taxes	393,884	-	-	393,884
Charges for services	1,575	-	-	1,575
Use of money and property	1,447	34	158	1,639
Miscellaneous	50,000	-	-	50,000
Total revenues	<u>446,906</u>	<u>34</u>	<u>123,926</u>	<u>570,866</u>
EXPENDITURES				
Culture and recreation				
Salaries and wages	155,308	-	-	155,308
Equipment	35,477	-	-	35,477
Materials and supplies	10,816	-	-	10,816
Contractual costs	16,338	-	-	16,338
Employee benefits	60,245	-	-	60,245
	<u>278,184</u>	<u>-</u>	<u>-</u>	<u>278,184</u>
Debt service				
Principal	-	-	271,981	271,981
Interest	-	-	130,177	130,177
Total expenditures	<u>278,184</u>	<u>-</u>	<u>402,158</u>	<u>680,342</u>
Excess (deficiency) of revenues over expenditures	168,722	34	(278,232)	(109,476)
OTHER FINANCING SOURCES				
Transfers in	-	-	273,609	273,609
Net change in fund balances	168,722	34	(4,623)	164,133
FUND BALANCES, beginning of year	<u>826,564</u>	<u>20,215</u>	<u>42,999</u>	<u>889,778</u>
FUND BALANCES, end of year	<u><u>\$ 995,286</u></u>	<u><u>\$ 20,249</u></u>	<u><u>\$ 38,376</u></u>	<u><u>\$ 1,053,911</u></u>

City of Rye, New York

Supplementary Information - Schedule of Revenues, Expenditures, and Changes in Fund Balance Budget to Actual - Cable TV Fund

	Year Ended December 31, 2016			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
REVENUES				
Non-property tax	\$ 330,000	\$ 330,000	\$ 393,884	\$ 63,884
Charges for services	500	500	1,575	1,075
Use of money and property	700	700	1,447	747
Miscellaneous	50,650	50,650	50,000	(650)
Total revenues	381,850	381,850	446,906	65,056
EXPENDITURES				
Current				
Culture and recreation				
Salaries and wages	191,349	191,349	155,308	36,041
Equipment	55,000	61,603	35,477	26,126
Materials and supplies	19,209	19,247	10,816	8,431
Contractual costs	53,927	79,057	16,338	62,719
Employee benefits	77,644	77,644	60,245	17,399
Total expenditures	397,129	428,900	278,184	150,716
Excess (deficiency) of revenues over expenditures	(15,279)	(47,050)	168,722	215,772
FUND BALANCE, <i>beginning of year</i>	826,564	826,564	826,564	-
FUND BALANCE, <i>end of year</i>	\$ 811,285	\$ 779,514	\$ 995,286	\$ 215,772

City of Rye, New York

Supplementary Information - Schedule of Revenues, Expenditures, and Changes in Fund Balance Budget to Actual - K.T. Woods Permanent Fund

	Year Ended December 31, 2016			Variance with Final Budget Positive (Negative)
	Original Budget	Final Budget	Actual	
REVENUES				
Use of money and property	\$ 25	\$ 25	\$ 34	\$ 9
FUND BALANCE, <i>beginning of year</i>	20,190	20,190	20,215	25
FUND BALANCE, <i>end of year</i>	\$ 20,215	\$ 20,215	\$ 20,249	\$ 34

City of Rye, New York

Supplementary Information - Schedule of Revenues, Expenditures, and Changes in Fund Balance Budget to Actual - Debt Service Fund

	Year Ended December 31, 2016			
	Original Budget	Final Budget	Actual	Variance with Final Budget Positive (Negative)
REVENUES				
Real property taxes and related items	\$ 123,769	\$ 123,769	\$ 123,768	\$ (1)
Use of money and property	150	150	158	8
Total revenues	123,919	123,919	123,926	7
EXPENDITURES				
Debt service				
Principal	271,982	271,982	271,981	1
Interest	130,177	130,177	130,177	-
Total expenditures	402,159	402,159	402,158	1
Deficiency of revenues over expenditures	(278,240)	(278,240)	(278,232)	8
OTHER FINANCING SOURCES				
Transfers in	273,609	273,609	273,609	-
Net change in fund balance	(4,631)	(4,631)	(4,623)	8
FUND BALANCE, <i>beginning of year</i>	42,999	42,999	42,999	-
FUND BALANCE, <i>end of year</i>	\$ 38,368	\$ 38,368	\$ 38,376	\$ 8

Internal Service Funds

Internal Service Funds are used to account for operations that provide services to other departments or agencies of the government, or to other governments, on a cost reimbursement basis. The internal service funds of the City are as follows:

- The Risk Retention Fund is used to account for general liability insurance and risk management activities.
- The Building and Vehicle Maintenance Fund is used to account for the acquisition, operation, maintenance and disposition of the City's buildings and vehicles which are not otherwise accounted for in other funds.

City of Rye, New York

Supplementary Information - Combining Statement of Net Position - Internal Service Funds

	December 31, 2016		
	Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
ASSETS			
CURRENT ASSETS			
Cash and equivalents	\$ 361,444	\$ 6,616,793	\$ 6,978,237
Accounts receivable	1,246,070	2,458	1,248,528
Deposits	43,500	-	43,500
Due from other funds	-	35,184	35,184
Due from other governments	-	31,325	31,325
Prepaid expenses	130,855	78,212	209,067
Total current assets	1,781,869	6,763,972	8,545,841
NONCURRENT ASSETS			
Capital assets			
Land	-	4,561,755	4,561,755
Buildings	-	16,506,163	16,506,163
Improvements	-	5,089,391	5,089,391
Machinery and equipment	-	11,696,366	11,696,366
Construction in progress	-	42,453	42,453
Less accumulated depreciation	-	(16,865,020)	(16,865,020)
Total capital assets	-	21,031,108	21,031,108
Total assets	1,781,869	27,795,080	29,576,949
DEFERRED OUTFLOWS OF RESOURCES			
Pension deferrals	-	454,177	454,177
Deferred amounts on refunding bonds	-	197,890	197,890
Total deferred outflows of resources	-	652,067	652,067

City of Rye, New York

Supplementary Information - Combining Statement of Net Position - Internal Service Funds

	December 31, 2016		
	Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
LIABILITIES			
CURRENT LIABILITIES			
Accounts payable	\$ 17,673	\$ 357,588	\$ 375,261
Accrued liabilities	377,084	17,651	394,735
Accrued interest payable	-	51,191	51,191
Due to other funds	1,253,269	84,410	1,337,679
Capital lease obligation	-	42,223	42,223
Compensated absences	-	7,106	7,106
Bonds payable	-	1,102,097	1,102,097
Total current liabilities	1,648,026	1,662,266	3,310,292
NONCURRENT LIABILITIES			
Capital lease obligation, less current portion	-	66,938	66,938
Compensated absences, less current portion	-	47,189	47,189
Bonds payable, less current portion	-	6,984,247	6,984,247
Net pension liability	-	423,591	423,591
Other postemployment benefit obligations	-	2,068,865	2,068,865
Total noncurrent liabilities	-	9,590,830	9,590,830
Total liabilities	1,648,026	11,253,096	12,901,122
DEFERRED INFLOWS OF RESOURCES			
Pension deferrals	-	53,678	53,678
NET POSITION			
Net investment in capital assets	-	12,835,603	12,835,603
Unrestricted	133,843	4,304,770	4,438,613
Total net position	\$ 133,843	\$ 17,140,373	\$ 17,274,216

City of Rye, New York

Supplementary Information - Combining Statement of Revenues, Expenses, and Changes in Net Position - Internal Service Funds

	Year Ended December 31, 2016		
	Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
OPERATING REVENUES			
Charges for services	\$ 443,020	\$ 4,042,610	\$ 4,485,630
Miscellaneous	1,000	4,435	5,435
Total operating revenues	444,020	4,047,045	4,491,065
OPERATING EXPENSES			
Salaries and wages	-	598,300	598,300
Employee benefits	-	803,265	803,265
Materials and supplies	-	877,578	877,578
Contractual costs	547,308	222,826	770,134
Depreciation and amortization	-	1,063,097	1,063,097
Total operating expenses	547,308	3,565,066	4,112,374
Income (loss) from operations	(103,288)	481,979	378,691
NON-OPERATING REVENUES (EXPENSES)			
State and federal aid	-	29,056	29,056
Interest income	177	17,485	17,662
Interest expense	-	(347,204)	(347,204)
Total non-operating revenues (expenses)	177	(300,663)	(300,486)
Income (loss) before transfers	(103,111)	181,316	78,205
Transfers in	-	125,975	125,975
Transfers out	-	(38,975)	(38,975)
Change in net position	(103,111)	268,316	165,205
NET POSITION, <i>beginning of year</i>	236,954	16,872,057	17,109,011
NET POSITION, <i>end of year</i>	\$ 133,843	\$ 17,140,373	\$ 17,274,216

City of Rye, New York

Supplementary Information - Combining Statement of Cash Flows - Internal Service Funds

	December 31, 2016		
	Risk Retention Fund	Building and Vehicle Maintenance Fund	Total
CASH FLOWS PROVIDED (USED) BY OPERATING ACTIVITIES			
Cash received from customers	\$ 454,609	\$ 4,024,560	\$ 4,479,169
Cash payments to employees	-	(1,087,507)	(1,087,507)
Cash payments to vendors	(96,170)	(966,213)	(1,062,383)
	358,439	1,970,840	2,329,279
CASH FLOWS PROVIDED (USED) BY NON-CAPITAL FINANCING ACTIVITIES			
State and federal aid	-	29,056	29,056
Transfers in	-	125,975	125,975
Transfers out	-	(38,975)	(38,975)
	-	116,056	116,056
CASH FLOWS PROVIDED (USED) BY CAPITAL AND RELATED FINANCING ACTIVITIES			
Acquisition and construction of capital assets	-	(453,369)	(453,369)
Principal paid on capital debt	-	(1,068,018)	(1,068,018)
Payments on capital lease obligation	-	(40,397)	(40,397)
Interest paid on capital debt	-	(259,524)	(259,524)
Interest paid on capital lease obligation	-	(7,554)	(7,554)
	-	(1,828,862)	(1,828,862)
CASH FLOWS PROVIDED (USED) BY INVESTING ACTIVITIES			
Interest income	177	17,485	17,662
Net increase in cash and equivalents	358,616	275,519	634,135
CASH AND EQUIVALENTS, beginning of year	2,828	6,341,274	6,344,102
CASH AND EQUIVALENTS, end of year	\$ 361,444	\$ 6,616,793	\$ 6,978,237
RECONCILIATION OF INCOME (LOSS) FROM OPERATIONS TO NET CASH FROM OPERATING ACTIVITIES			
Income (loss) from operations	\$ (103,288)	\$ 481,979	\$ 378,691
Adjustments to reconcile income (loss) from operations to net cash from operating activities			
Depreciation expense	-	1,063,097	1,063,097
(Increase) decrease in			
Accounts receivable	(1,000)	2,172	1,172
Due from other funds	11,589	(35,184)	(23,595)
Due from other governments	-	10,527	10,527
Prepaid expenses	114,182	(8,885)	105,297
Deferred outflows of resources	-	(342,069)	(342,069)
Increase (decrease) in			
Accounts payable	4,676	199,787	204,463
Accrued liabilities	(2,093)	10,901	8,808
Due to other funds	334,373	(67,612)	266,761
Other post employment benefit obligations	-	281,391	281,391
Deferred inflows of resources	-	49,053	49,053
Net pension liability	-	342,452	342,452
Compensated absences	-	(16,769)	(16,769)
Total adjustments	461,727	1,488,861	1,950,588
Net cash from operating activities	\$ 358,439	\$ 1,970,840	\$ 2,329,279

See Independent Auditor's Report.

City of Rye, New York

Supplementary Information - Statement of Changes in Assets and Liabilities - Fiduciary Fund

	Balance January 1, 2016	Increases	Decreases	Balance December 31, 2016
ASSETS				
Cash and equivalents	\$ 147,216	\$ 29,035,197	\$ 29,022,951	\$ 159,462
Accounts receivable	3,725	28,433,168	28,434,576	2,317
	\$ 150,941	\$ 57,468,365	\$ 57,457,527	\$ 161,779
LIABILITIES				
Accounts payable	\$ 87,007	\$ 279,477	\$ 268,383	\$ 98,101
Employee payroll deductions	63,933	6,066,025	6,066,280	63,678
	\$ 150,940	\$ 6,345,502	\$ 6,334,663	\$ 161,779

**Capital Assets Used in the
Operation of Governmental Funds**

City of Rye, New York

Supplementary Information - Schedule by Source

	<u>2016</u>
Governmental Funds' Capital Assets	
Machinery and Equipment	\$ 86,074
Infrastructure	69,133,191
Construction in Progress	<u>3,709,663</u>
Investment in Governmental Funds' Capital Assets	
by Source - Capital Projects Fund	72,928,928
Less - Accumulated depreciation	<u>(27,590,724)</u>
 Net Book Value	 <u>\$ 45,338,204</u>

This schedule presents only the capital asset balances related to governmental funds. Accordingly, the capital assets reported in internal service funds are excluded from the above amounts. Generally, capital assets of internal service funds are included as governmental activities in the statement of net position.

City of Rye, New York

Supplementary Information - Schedule by Function and Activity

Function and Activity	Machinery and Equipment	Infrastructure	Construction in Progress	Total	Accumulated Depreciation	Net Book Value
PUBLIC SAFETY						
Traffic signals	\$ -	\$ 1,448,648	\$ -	\$ 1,448,648	\$ (444,080)	\$ 1,004,568
COMMUNITY ENVIRONMENT						
Rights of way	-	21,301,087	-	21,301,087	-	21,301,087
Streets	-	14,319,311	884,970	15,204,281	(9,863,286)	5,340,995
Streetlights	-	451,582	-	451,582	(317,013)	134,569
Sidewalks	-	976,585	-	976,585	(808,077)	168,508
Bridges	-	2,452,814	-	2,452,814	(491,226)	1,961,588
Sanitary sewers	-	12,155,337	-	12,155,337	(7,595,789)	4,559,548
Pump stations	-	1,392,648	157,126	1,549,774	(471,832)	1,077,942
Storm sewers	-	11,444,119	2,667,567	14,111,686	(7,513,347)	6,598,339
Total community environment	-	64,493,483	3,709,663	68,203,146	(27,060,570)	41,142,576
CULTURE AND RECREATION						
Cable TV equipment	86,074	-	-	86,074	(86,074)	-
Parks and playgrounds	-	3,191,060	-	3,191,060	-	3,191,060
Total culture and recreation	86,074	3,191,060	-	3,277,134	(86,074)	3,191,060
Total governmental funds capital assets	\$ 86,074	\$ 69,133,191	\$ 3,709,663	\$ 72,928,928	\$ (27,590,724)	\$ 45,338,204

This schedule presents only the capital asset balances related to governmental funds. Accordingly, the capital assets reported in internal service funds are excluded from the above amounts. Generally, capital assets of internal service funds are included as governmental activities in the statement of net position.

City of Rye, New York

Supplementary Information - Schedule of Changes by Function and Activity

<u>Function and Activity</u>	<u>Governmental Funds Capital Assets January 1</u>	<u>Additions</u>	<u>Deletions</u>	<u>Governmental Funds Capital Assets December 31</u>
PUBLIC SAFETY				
Traffic signals	\$ 1,411,462	\$ 37,186	\$ -	\$ 1,448,648
COMMUNITY ENVIRONMENT				
Rights of way	21,301,087	-	-	21,301,087
Streets	14,514,790	689,491	-	15,204,281
Streetlights	441,162	10,420	-	451,582
Sidewalks	976,585	-	-	976,585
Bridges	2,452,814	-	-	2,452,814
Sanitary sewers	12,155,337	-	-	12,155,337
Pump stations	1,466,874	82,900	-	1,549,774
Storm sewers	13,461,641	650,045	-	14,111,686
Total community environment	<u>66,770,290</u>	<u>1,432,856</u>	<u>-</u>	<u>68,203,146</u>
CULTURE AND RECREATION				
Cable TV equipment	86,074	-	-	86,074
Parks and playgrounds	3,191,060	-	-	3,191,060
Total culture and recreation	<u>3,277,134</u>	<u>-</u>	<u>-</u>	<u>3,277,134</u>
Total governmental funds capital assets	<u>\$ 71,458,886</u>	<u>\$ 1,470,042</u>	<u>\$ -</u>	<u>\$ 72,928,928</u>

This schedule presents only the capital asset balances related to governmental funds. Accordingly, the capital assets reported in internal service funds are excluded from the above amounts. Generally, capital assets of internal service funds are included as governmental activities in the statement of net position.

Statistical Section

This part of the City's Comprehensive Annual Financial Statement Report presents detailed information as a context for understanding what the information in the financial statements, note disclosures, and required supplementary information says about the City's overall financial health.

Contents

Financial Trends

These schedules contain trend information to help the reader understand how the City's financial performance and well-being have changed over time.

Revenue Capacity

These schedules contain information to help the reader assess the City's most significant revenue source, the personal income tax.

Debt Capacity

These schedules present information to help the reader assess the affordability of the City's current levels of outstanding debt and the City's ability to issue additional debt in the future.

Demographic and Economic Information

These schedules offer demographic and economic indicators to help the reader understand the environment within which the City's financial activities take place.

Operating Information

These schedules contain service and infrastructure data to help the reader understand how the information in the City's financial report relates to the services the City provides and the activities it performs.

Sources: Unless otherwise notes, the information in these schedules is derived from the Comprehensive Annual Financial Reports for the relevant years.

Financial Trends

These schedules contain trend information to help the reader understand how the City's financial performance and well-being have changed over time. These schedules include:

Net Position by Component

Changes in Net Position

Fund Balances, Governmental Funds

Changes in Fund Balances, Governmental Funds

Tax Revenues by Source, Governmental Funds

City of Rye, New York

Statistical Section - Financial Trends Net Position by Component - Last Ten Fiscal Years

	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014 (1)</u>	<u>2015</u>	<u>2016</u>
Governmental activities										
Net investment in capital assets	\$ 45,961,185	\$ 48,067,286	\$ 52,236,195	\$ 52,620,335	\$ 54,629,581	\$ 54,209,854	\$ 51,028,225	\$ 50,856,162	\$ 52,289,335	\$ 54,032,854
Restricted	2,849,549	2,697,494	2,646,922	2,761,748	2,630,318	3,237,805	2,698,735	2,004,948	2,373,603	3,045,785
Unrestricted	11,470,537	4,933,791	(320,838)	(1,266,730)	(6,349,164)	(7,386,797)	(3,401,492)	(5,765,440)	(10,917,674)	(15,186,668)
Total governmental activities net position	<u>60,281,271</u>	<u>55,698,571</u>	<u>54,562,279</u>	<u>54,115,353</u>	<u>50,910,735</u>	<u>50,060,862</u>	<u>50,325,468</u>	<u>47,095,670</u>	<u>43,745,264</u>	<u>41,891,971</u>
Business-type activities										
Net investment in capital assets	8,265,309	9,073,386	9,740,758	9,620,669	9,637,370	9,097,824	9,324,839	9,104,264	8,811,057	9,416,698
Unrestricted	4,437,719	4,335,879	2,951,808	1,630,040	833,265	933,733	1,396,753	1,949,435	5,037,836	3,983,646
Total business-type activities net position	<u>12,703,028</u>	<u>13,409,265</u>	<u>12,692,566</u>	<u>11,250,709</u>	<u>10,470,635</u>	<u>10,031,557</u>	<u>10,721,592</u>	<u>11,053,699</u>	<u>13,848,893</u>	<u>13,400,344</u>
Primary government										
Net investment in capital assets	54,226,494	57,140,672	61,976,953	62,241,004	64,266,951	63,307,678	60,353,064	59,960,426	61,100,392	63,449,552
Restricted	2,849,549	2,697,494	2,646,922	2,761,748	2,630,318	3,237,805	2,698,735	2,004,948	2,373,603	3,045,785
Unrestricted	15,908,256	9,269,670	2,630,970	363,310	(5,515,899)	(6,453,064)	(2,004,739)	(3,816,005)	(5,879,838)	(11,203,022)
Total primary government net position	<u>\$ 72,984,299</u>	<u>\$ 69,107,836</u>	<u>\$ 67,254,845</u>	<u>\$ 65,366,062</u>	<u>\$ 61,381,370</u>	<u>\$ 60,092,419</u>	<u>\$ 61,047,060</u>	<u>\$ 58,149,369</u>	<u>\$ 57,594,157</u>	<u>\$ 55,292,315</u>

(1) Includes the cumulative effect of the adoption of accounting standards GASB 68 and 71, representing an adjustment to total net position of \$755,990.

City of Rye, New York

Statistical Section - Financial Trends Changes in Net Position - Last Ten Fiscal Years

	<u>2007</u>	<u>2008(1)</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>
Expenses										
Governmental activities										
General government	\$ 4,287,175	\$ 4,852,832	\$ 4,075,663	\$ 3,847,977	\$ 3,847,034	\$ 3,733,393	\$ 3,966,979	\$ 4,860,285	\$ 3,944,226	\$ 3,773,782
Public safety	10,763,060	14,573,551	14,193,748	14,267,990	15,873,630	16,093,285	18,064,524	17,459,935	17,724,572	18,390,138
Community environment	8,215,438	-	-	-	-	-	-	-	-	-
Transportation	-	5,105,678	4,419,335	4,466,928	4,422,582	3,858,783	4,266,362	4,771,873	5,539,231	4,109,760
Culture and recreation	3,700,558	4,201,625	4,128,114	4,152,371	4,326,087	4,055,710	4,149,577	4,726,153	4,698,776	4,898,866
Home and community services	-	5,177,171	5,119,135	5,611,370	5,417,700	6,302,729	6,376,814	6,357,900	6,035,152	6,253,568
Interest	725,075	657,980	609,287	426,731	618,037	589,183	506,947	545,124	510,774	478,339
Total governmental activities	<u>27,691,306</u>	<u>34,568,837</u>	<u>32,545,282</u>	<u>32,773,367</u>	<u>34,505,070</u>	<u>34,633,083</u>	<u>37,331,203</u>	<u>38,721,270</u>	<u>38,452,731</u>	<u>37,904,453</u>
Business-type activities										
Marina	481,837	589,804	574,268	627,778	904,632	1,018,739	895,996	974,711	920,267	940,029
Golf	6,814,432	6,972,985	7,310,686	7,729,667	7,028,025	6,851,676	5,700,251	4,086,239	4,282,357	5,335,117
Total business-type activities	<u>7,296,269</u>	<u>7,562,789</u>	<u>7,884,954</u>	<u>8,357,445</u>	<u>7,932,657</u>	<u>7,870,415</u>	<u>6,596,247</u>	<u>5,060,950</u>	<u>5,202,624</u>	<u>6,275,146</u>
Total expenses	<u>34,987,575</u>	<u>42,131,626</u>	<u>40,430,236</u>	<u>41,130,812</u>	<u>42,437,727</u>	<u>42,503,498</u>	<u>43,927,450</u>	<u>43,782,220</u>	<u>43,655,355</u>	<u>44,179,599</u>
Program revenues										
Governmental activities										
Charges for services										
General government	505,926	743,220	792,068	789,441	917,026	899,551	707,740	562,980	530,253	532,439
Public safety	578,807	1,850,529	1,680,697	1,662,676	1,819,013	2,129,247	2,473,451	3,115,025	2,701,900	2,714,182
Community environment	2,446,351	-	-	-	-	-	-	-	-	-
Transportation	-	920,145	877,257	918,683	1,200,047	1,264,716	1,323,178	1,347,354	1,302,309	1,304,498
Culture and recreation	1,088,198	1,071,017	1,060,590	1,158,127	1,422,633	1,457,025	1,479,838	1,058,347	1,041,884	992,096
Home and community services	-	149,862	101,890	168,194	128,059	212,248	179,686	213,790	108,820	94,771
Operating grants and contributions	660,091	359,038	399,495	572,225	234,853	1,429,372	1,098,398	114,805	202,322	70,689
Capital grants and contributions	622,728	398,386	2,072,892	1,615,788	278,881	267,288	2,208,204	368,125	369,661	416,237
Total governmental activities	<u>5,902,101</u>	<u>5,492,197</u>	<u>6,984,889</u>	<u>6,885,134</u>	<u>6,000,512</u>	<u>7,659,447</u>	<u>9,470,495</u>	<u>6,780,426</u>	<u>6,257,149</u>	<u>6,124,912</u>
Business-type activities										
Charges for services										
Marina	576,693	565,473	591,891	633,269	646,459	640,518	663,455	686,471	668,033	668,258
Golf	6,990,360	7,264,208	6,565,155	6,275,216	6,504,746	6,760,138	6,060,574	4,700,869	4,706,223	4,090,871
Operating grants and contributions	-	-	-	-	-	28,718	559,942	17,989	4,385	8,500
Capital grants and contributions	3,826	317,500	-	-	-	-	-	-	-	-
Total business-type activities	<u>7,570,879</u>	<u>8,147,181</u>	<u>7,157,046</u>	<u>6,908,485</u>	<u>7,151,205</u>	<u>7,429,374</u>	<u>7,283,971</u>	<u>5,405,329</u>	<u>5,378,641</u>	<u>4,767,629</u>
Total program revenues	<u>13,472,980</u>	<u>13,639,378</u>	<u>14,141,935</u>	<u>13,793,619</u>	<u>13,151,717</u>	<u>15,088,821</u>	<u>16,754,466</u>	<u>12,185,755</u>	<u>11,635,790</u>	<u>10,892,541</u>

City of Rye, New York

Statistical Section - Financial Trends Changes in Net Position - Last Ten Fiscal Years - Continued

	<u>2007</u>	<u>2008(1)</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>
Net (expense)/revenue										
Governmental activities	(21,789,205)	(29,076,640)	(25,560,393)	(25,888,233)	(28,504,558)	(26,973,636)	(27,860,708)	(31,940,844)	(32,195,582)	(31,779,541)
Business-type activities	274,610	584,392	(727,908)	(1,448,960)	(781,452)	(441,041)	687,724	344,379	176,017	(1,507,517)
Total net expense	<u>(21,514,595)</u>	<u>(28,492,248)</u>	<u>(26,288,301)</u>	<u>(27,337,193)</u>	<u>(29,286,010)</u>	<u>(27,414,677)</u>	<u>(27,172,984)</u>	<u>(31,596,465)</u>	<u>(32,019,565)</u>	<u>(33,287,058)</u>
General revenues										
Governmental activities										
Real property taxes	17,838,489	18,331,970	19,323,667	19,764,674	19,726,762	20,433,026	20,860,358	21,184,086	22,033,338	22,528,077
Non-property taxes	2,622,734	2,643,066	2,364,648	2,566,311	2,620,377	2,612,451	2,791,836	2,901,618	2,834,113	2,827,489
Unrestricted use of money and property	1,195,960	490,398	140,030	95,000	51,907	43,420	48,667	63,946	58,438	91,202
Gain on sale of equipment	26,977	30,672	31,503	15,324	23,438	-	470,967	-	-	-
State aid	3,415,249	2,941,169	2,249,619	2,493,863	2,361,583	2,496,256	3,390,946	2,745,606	3,017,973	2,831,745
Other revenues	23,327	56,665	314,634	506,135	515,873	538,610	562,540	1,043,185	901,314	1,647,735
Total governmental activities	<u>25,122,736</u>	<u>24,493,940</u>	<u>24,424,101</u>	<u>25,441,307</u>	<u>25,299,940</u>	<u>26,123,763</u>	<u>28,125,314</u>	<u>27,938,441</u>	<u>28,845,176</u>	<u>29,926,248</u>
Business-type activities										
Unrestricted use of money and property	254,376	121,845	11,209	7,103	1,378	1,963	2,311	4,343	7,807	24,394
Gain on sale of equipment	-	-	-	-	-	-	-	-	92,370	-
Compensation for losses	-	-	-	-	-	-	-	-	2,519,000	1,034,574
Total business-type activities	<u>254,376</u>	<u>121,845</u>	<u>11,209</u>	<u>7,103</u>	<u>1,378</u>	<u>1,963</u>	<u>2,311</u>	<u>4,343</u>	<u>2,619,177</u>	<u>1,058,968</u>
Total general revenues	<u>25,377,112</u>	<u>24,615,785</u>	<u>24,435,310</u>	<u>25,448,410</u>	<u>25,301,318</u>	<u>26,125,726</u>	<u>28,127,625</u>	<u>27,942,784</u>	<u>31,464,353</u>	<u>30,985,216</u>
Change in net position										
Governmental activities	3,333,531	(4,582,700)	(1,136,292)	(446,926)	(3,204,618)	(849,873)	264,606	(4,002,403)	(3,350,406)	(1,853,293)
Business-type activities	528,986	706,237	(716,699)	(1,441,857)	(780,074)	(439,078)	690,035	348,722	2,795,194	(448,549)
Total change in net position	<u>\$ 3,862,517</u>	<u>\$ (3,876,463)</u>	<u>\$ (1,852,991)</u>	<u>\$ (1,888,783)</u>	<u>\$ (3,984,692)</u>	<u>\$ (1,288,951)</u>	<u>\$ 954,641</u>	<u>\$ (3,653,681)</u>	<u>\$ (555,212)</u>	<u>\$ (2,301,842)</u>

(1) Beginning in 2008, amounts previously reported in the community environment function have been reallocated and are now being reported within the public safety, transportation and home and community services functions.

City of Rye, New York

Statistical Section - Financial Trends Fund Balances - Governmental Funds - Last Ten Fiscal Years

	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011 (1)</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>
General fund										
Nonspendable	\$ -	\$ -	\$ -	\$ -	\$ 1,647,837	\$ 1,501,991	\$ 1,533,556	\$ 2,079,435	\$ 1,959,971	\$ 2,233,796
Restricted	-	-	-	-	2,141,634	1,938,058	1,383,442	1,874,294	1,862,012	1,849,816
Assigned	-	-	-	-	1,688,353	2,659,084	3,504,007	3,859,588	4,082,738	3,222,329
Unassigned	-	-	-	-	3,779,938	4,982,632	5,942,286	5,245,938	4,521,623	4,930,825
Reserved	3,523,898	3,264,302	3,130,697	4,661,371	-	-	-	-	-	-
Unreserved	9,785,973	7,736,075	6,701,760	5,833,786	-	-	-	-	-	-
Total general fund	<u>13,309,871</u>	<u>11,000,377</u>	<u>9,832,457</u>	<u>10,495,157</u>	<u>9,257,762</u>	<u>11,081,765</u>	<u>12,363,291</u>	<u>13,059,255</u>	<u>12,426,344</u>	<u>12,236,766</u>
All other governmental funds										
Nonspendable	-	-	-	-	24,227	24,900	27,261	25,300	26,909	24,350
Restricted	-	-	-	-	1,723,849	789,628	768,200	1,324,541	1,663,319	2,207,681
Assigned	-	-	-	-	777,191	1,501,397	1,023,955	729,947	799,983	969,922
Reserved	1,921,268	2,036,376	464,673	315,472	-	-	-	-	-	-
Unreserved, reported in:										
Special revenue fund	336,911	191,558	281,777	367,862	-	-	-	-	-	-
Permanent fund	1,099	25	80	118	-	-	-	-	-	-
Debt service fund	9,800	139,356	99,750	21,176	-	-	-	-	-	-
Capital projects fund	(1,476,029)	(3,301,577)	(2,049,789)	2,450,581	-	-	-	-	-	-
Total all other governmental funds	<u>793,049</u>	<u>(934,262)</u>	<u>(1,203,509)</u>	<u>3,155,209</u>	<u>2,525,267</u>	<u>2,315,925</u>	<u>1,819,416</u>	<u>2,079,788</u>	<u>2,490,211</u>	<u>3,201,953</u>
Total governmental funds	<u>\$ 14,102,920</u>	<u>\$ 10,066,115</u>	<u>\$ 8,628,948</u>	<u>\$ 13,650,366</u>	<u>\$ 11,783,029</u>	<u>\$ 13,397,690</u>	<u>\$ 14,182,707</u>	<u>\$ 15,139,043</u>	<u>\$ 14,916,555</u>	<u>\$ 15,438,719</u>

(1) - The City implemented the provisions of Governmental Accounting Standards Board Statement No. 54, "Fund Balance Reporting and Governmental Fund Type Definitions," for the fiscal year ended December 31, 2011.

City of Rye, New York

Statistical Section - Financial Trends Changes in Fund Balances - Governmental Funds - Last Ten Fiscal Years

	<u>2007</u>	<u>2008(1)</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>
Revenues										
Real property taxes	\$ 17,849,808	\$ 18,272,135	\$ 19,303,263	\$ 19,750,579	\$ 19,740,731	\$ 20,435,348	\$ 20,857,749	\$ 21,054,171	\$ 22,132,379	\$ 22,449,340
Non-property taxes	2,868,819	2,900,960	2,635,463	2,860,490	2,950,001	2,938,634	3,124,407	3,265,217	3,209,355	3,221,373
Charges for services	1,282,324	1,329,874	1,274,460	1,449,041	1,636,476	1,809,922	1,816,289	1,777,944	1,648,227	1,556,146
Intergovernmental charges	73,656	77,799	85,866	82,008	95,651	81,095	85,998	86,150	89,274	91,428
Use of money and property	1,021,617	473,566	138,398	96,288	342,169	338,569	156,417	60,344	58,438	91,202
Licenses and permits	2,035,050	1,730,742	1,557,660	1,457,462	1,975,596	2,344,563	2,809,894	3,428,516	2,845,189	2,794,909
Fines and forfeitures	477,209	583,026	569,770	609,918	617,282	609,247	510,819	560,476	654,842	784,894
Sale of property and compensation for loss	67,234	108,962	49,377	113,486	95,641	119,983	238,215	366,397	283,147	922,373
Interfund revenues	430,025	432,050	445,759	442,984	441,634	441,634	445,759	444,409	448,159	447,184
State aid	3,816,573	3,328,874	2,929,553	2,854,696	2,703,865	2,914,810	4,232,395	3,158,818	3,573,931	3,313,257
Federal aid	450,127	47,002	1,100,153	1,043,013	-	595,533	1,983,148	67,843	15,769	14,102
Miscellaneous	189,884	210,007	332,834	349,608	398,189	950,545	692,467	313,189	242,656	291,629
Total revenues	<u>30,562,326</u>	<u>29,494,997</u>	<u>30,422,556</u>	<u>31,109,573</u>	<u>30,997,235</u>	<u>33,579,883</u>	<u>36,953,557</u>	<u>34,583,474</u>	<u>35,201,366</u>	<u>35,977,837</u>
Expenditures										
Current										
General government	3,670,968	4,333,767	3,901,647	3,478,239	4,772,526	3,622,160	3,774,826	3,659,719	3,640,550	3,870,010
Public safety	10,984,670	12,890,879	12,736,433	12,883,879	13,835,912	14,340,455	16,111,656	15,393,247	16,436,539	15,872,354
Community services	7,257,244	-	-	-	-	-	-	-	-	-
Transportation	-	3,177,429	3,183,718	3,234,870	3,430,714	2,972,934	3,226,903	3,458,207	3,681,558	3,500,925
Culture and recreation	3,770,058	4,101,417	4,127,040	4,048,699	4,201,941	3,885,612	4,040,257	4,175,428	4,367,278	4,379,134
Home and community services	-	4,478,333	4,571,286	4,604,338	4,924,690	5,496,520	5,323,300	5,312,503	5,392,881	5,383,949
Debt service										
Refunding bond issuance costs	-	-	-	9,782	-	-	6,655	-	-	-
Principal	67,079	71,144	74,242	165,261	221,721	246,918	254,579	262,291	266,786	271,981
Interest	71,470	137,993	117,539	58,871	187,171	156,428	148,601	141,092	135,388	130,177
Capital outlay										
General government	27,980	13,496	-	-	-	-	-	-	-	-
Public safety	16,877	30,401	92,090	779,451	230,062	-	2,170	11,188	27,651	644,529
Transportation	3,055,579	2,337,444	874,498	733,012	552,823	293,946	1,927,269	714,599	1,331,578	602,939
Home and community services	-	1,064,499	1,426,196	668,909	422,012	985,249	1,268,979	398,864	143,644	712,675
Total expenditures	<u>28,921,925</u>	<u>32,636,802</u>	<u>31,104,689</u>	<u>30,665,311</u>	<u>32,779,572</u>	<u>32,000,222</u>	<u>36,085,195</u>	<u>33,527,138</u>	<u>35,423,853</u>	<u>35,368,673</u>
Excess (deficiency) of revenues over expenditures	<u>1,640,401</u>	<u>(3,141,805)</u>	<u>(682,133)</u>	<u>444,262</u>	<u>(1,782,337)</u>	<u>1,579,661</u>	<u>868,362</u>	<u>1,056,336</u>	<u>(222,487)</u>	<u>609,164</u>
Other financing sources (uses)										
Bonds issued	-	-	-	4,677,374	-	-	-	-	-	-
Refunding bonds issued	-	-	-	791,579	-	-	378,885	-	-	-
Issuance premium	-	-	-	56,934	-	-	13,598	-	-	-
Payment to refunded bond escrow agent	-	-	-	(838,731)	-	-	(385,828)	-	-	-
Transfers in	3,384,328	2,274,360	1,204,410	1,664,155	939,801	491,839	830,051	1,248,781	2,031,201	2,669,849
Transfers out	(4,061,378)	(3,169,360)	(1,959,444)	(1,774,155)	(1,024,801)	(456,839)	(920,051)	(1,348,781)	(2,031,201)	(2,756,849)
Total other financing sources (uses)	<u>(677,050)</u>	<u>(895,000)</u>	<u>(755,034)</u>	<u>4,577,156</u>	<u>(85,000)</u>	<u>35,000</u>	<u>(83,345)</u>	<u>(100,000)</u>	<u>-</u>	<u>(87,000)</u>
Net change in fund balances	<u>\$ 963,351</u>	<u>\$ (4,036,805)</u>	<u>\$ (1,437,167)</u>	<u>\$ 5,021,418</u>	<u>\$ (1,867,337)</u>	<u>\$ 1,614,661</u>	<u>\$ 785,017</u>	<u>\$ 956,336</u>	<u>\$ (222,487)</u>	<u>\$ 522,164</u>
Debt service as a percentage of non-capital expenditures	0.54%	0.72%	0.67%	0.79%	1.31%	1.33%	1.24%	1.26%	1.20%	1.22%

(1) Beginning in 2008, amounts previously reported in the community environment function have been reallocated and are now being reported within the public safety, transportation and home and community services functions.

City of Rye, New York

Statistical Section - Financial Trends Tax Revenues by Source - Governmental Funds - Last Ten Fiscal Years

<u>Fiscal Year</u>	<u>Real Property Taxes</u>	<u>Sales and Use Taxes</u>	<u>Hotel Occupancy Taxes</u>	<u>Utilities Gross Receipts Taxes</u>	<u>Mortgage Taxes</u>	<u>Total</u>
2007	\$ 17,849,808	\$ 2,079,744	\$ 200,303	\$ 342,687	\$ 2,141,475	\$ 22,614,017
2008	18,272,135	2,050,610	177,338	415,118	1,629,182	22,544,383
2009	19,303,262	1,812,360	136,922	415,366	919,732	22,587,642
2010	19,750,579	1,981,208	153,934	431,169	1,261,186	23,578,076
2011	19,740,731	2,051,254	159,001	410,122	1,153,559	23,514,667
2012	20,435,348	2,076,364	155,534	380,553	1,288,232	24,336,031
2013	20,857,749	2,222,745	166,778	402,313	2,182,922	25,832,507
2014	21,054,171	2,295,447	170,924	435,247	1,537,582	25,493,371
2015	22,132,379	2,265,172	176,467	392,474	1,809,949	26,776,441
2016	22,449,340	2,297,696	160,530	369,263	1,623,721	26,900,550

Source: Comprehensive Annual Financial Report for each respective fiscal year.

Revenue Capacity

These schedules contain information to help the reader assess the City's most significant local revenue source, the property tax. These schedules include:

Assessed Valuation, State Equalization Rate
and Estimated Full Value of Real Property

Property Tax Rates per \$1,000 Assessed Valuation -
All Direct and Overlapping Governments

Principal Taxpayers

Property Tax Levies and Collections

Constitutional Tax Limit

City of Rye, New York

Statistical Section - Revenue Capacity Assessed Valuation, State Equalization Rate, and Estimated Full Value of Real Property - Last Ten Fiscal Years

Year	One-Three Family Residential	Condos Co-ops & Apt. Bldgs.	Business (1)	Other (2)	Total Gross Assessed Valuation	Exemptions	Total Taxable Assessed Valuation	Direct Tax Rate (3)	Estimated Actual Full Value	Taxable Value as a Percentage of Full Value
2007	\$ 110,420,885	\$ 9,161,821	\$ 22,040,490	\$ 26,366,361	\$ 167,989,557	\$ (29,587,882)	\$ 138,401,675	127.24	\$ 6,407,484,954	2.16%
2008	111,523,385	9,147,881	21,869,050	25,815,312	168,355,628	(29,282,510)	139,073,118	131.49	7,059,549,137	1.97%
2009	113,148,100	7,819,866	21,819,700	25,580,843	168,368,509	(29,053,242)	139,315,267	137.38	7,036,124,596	1.98%
2010	113,647,740	7,592,163	21,354,351	25,756,508	168,350,762	(29,058,445)	139,292,317	139.52	7,180,016,340	1.94%
2011	113,336,975	7,343,964	21,257,429	25,770,920	167,709,288	(29,027,596)	138,681,692	140.87	6,420,448,704	2.16%
2012	113,347,445	7,083,655	20,458,446	24,513,427	165,402,973	(27,666,240)	137,736,733	144.99	6,289,348,539	2.19%
2013	113,959,435	7,022,535	20,338,966	24,397,088	165,718,024	(27,622,832)	138,095,192	148.91	6,703,650,097	2.06%
2014	114,415,390	6,572,933	20,290,888	24,390,305	165,669,516	(27,268,354)	138,401,162	150.38	7,061,283,776	1.96%
2015	115,701,170	6,833,053	19,724,538	23,966,042	166,224,803	(27,406,976)	138,817,827	155.11	7,267,949,058	1.91%
2016	117,375,340	6,833,053	19,481,537	23,932,502	167,622,432	(27,394,578)	140,227,854	157.16	8,200,459,298	1.71%

(1) Includes commercial, industrial, and recreational facilities.

(2) Includes agriculture, vacant land, community service, utility, wetland and parkland, most of which is tax-exempt.

(3) A single direct property tax rate applies to all classes of real property.

Note: Assessed values are established by the City Assessor on September 15th of the prior year and includes Special Franchise Assessments as established by the New York State Board of Equalization and Assessment. For instance, assessed values for fiscal 2016 were established on September 15, 2015.

City of Rye, New York

Statistical Section - Revenue Capacity Property Tax Rates per \$1,000 Assessed Valuation - All Direct and Overlapping Governments - Last Ten Fiscal Years

Year	City of Rye			Rye City School District	Rye Neck Union Free School District	Westchester County				Total County
	Operations	Debt Service	Total			Westchester County	Refuse Disposal District	Blind Brook Sewer District	Mamaroneck Sewer District	
2007	115.68	11.56	127.24	463.92	-	134.06	15.03	20.56	-	169.65
	115.68	11.56	127.24	-	575.62	134.06	15.03	-	23.14	172.23
2008	117.97	13.52	131.49	490.55	-	141.43	13.61	20.90	-	175.94
	117.97	13.52	131.49	-	626.45	141.43	13.61	-	23.92	178.96
2009	124.40	12.98	137.38	500.20	-	146.28	13.89	21.15	-	181.32
	124.40	12.98	137.38	-	647.88	146.28	13.89	-	25.15	185.32
2010	126.56	12.96	139.52	507.31	-	158.12	15.12	21.87	-	195.11
	126.56	12.96	139.52	-	672.72	158.12	15.12	-	25.15	198.39
2011	128.97	11.90	140.87	520.54	-	156.86	15.34	21.62	-	193.82
	128.97	11.90	140.87	-	696.64	156.86	15.34	-	28.80	201.00
2012	133.15	11.84	144.99	529.68	-	162.12	15.84	22.32	-	200.28
	133.15	11.84	144.99	-	737.53	162.12	15.84	-	28.92	206.88
2013	137.08	11.83	148.91	554.65	-	173.82	16.98	23.23	-	214.03
	137.08	11.83	148.91	-	796.18	173.82	16.98	-	29.38	220.18
2014	138.70	11.68	150.38	561.34	-	187.92	17.61	29.69	-	235.22
	138.70	11.68	150.38	-	847.99	187.92	17.61	-	29.81	235.34
2015	143.45	11.66	155.11	595.58	-	184.87	17.32	35.82	-	238.01
	143.45	11.66	155.11	-	879.29	184.87	17.32	-	29.04	231.23
2016	145.68	11.48	157.16	595.20	-	196.32	17.94	37.72	-	251.98
	145.68	11.48	157.16	-	883.88	196.32	17.94	-	32.07	246.33

Source: City of Rye Comptroller's Office

City of Rye, New York

Statistical Section - Revenue Capacity Principal Taxpayers - Current Year and Nine Years Ago

2016

Rank	Taxpayer	Type of Business	Taxable Assessed Valuation	Percent of Total Taxable Assessed Valuation
1	Consolidated Edison	Public Utility	\$ 3,066,206	2.19%
2	Miriam Osborn Memorial Home	Retirement Community	2,133,120	1.52%
3	United Water	Public Utility	836,770	0.60%
4	Parsonage Investment Co.	SFR + Vacant Land	715,800	0.51%
5	Avon Products, Inc.	Office Building + lot	673,310	0.48%
6	Rye VS LLC	Office Building	668,000	0.48%
7	Rye Colony Apts., Inc.	Cooperative	586,459	0.42%
8	Milton Harbor House Inc.	Cooperative	532,748	0.38%
9	Blind Brook Lodge Owners, Inc.	Cooperative	518,895	0.37%
10	Shenorock Shore Club	Beach Club	<u>485,900</u>	<u>0.35%</u>
Total			<u><u>\$ 10,217,208</u></u>	<u><u>7.30%</u></u>

2007

Rank	Taxpayer	Type of Business	Taxable Assessed Valuation	Percent of Total Taxable Assessed Valuation
1	Miriam Osborn Memorial Home	Retirement Community	\$ 3,257,100	2.35%
2	Consolidated Edison	Public Utility	2,870,007	2.07%
3	The Gateside - Rye Co.	Office Building	879,300	0.64%
4	United Water Westchester ¹	Public Utility	835,851	0.60%
5	Parsonage Investment Co.	Residential	745,300	0.54%
6	Milton Harbor House Inc.	Cooperative	734,534	0.53%
7	Rye Colony Apartments	Cooperative	698,405	0.50%
8	Host Restaurants Inc.	Hotel	549,550	0.40%
9	American Yacht Club	Private Club	542,550	0.39%
10	Blind Brook Lodge Owners, Inc.	Cooperative	<u>511,558</u>	<u>0.36%</u>
Total			<u><u>\$ 11,624,155</u></u>	<u><u>8.38%</u></u>

Source: City of Rye Assessor's Office

City of Rye, New York

Statistical Section - Revenue Capacity Property Tax Levies and Collections - Last Ten Fiscal Years

Fiscal Year	Total Tax Levy for Fiscal Year	Collected within the Fiscal Year of the Levy		Uncollected within the Fiscal Year of the Levy		Collected in Subsequent Years	Total Collected to Date		Total Uncollected to Date		Tax Liens	Percent of Tax Liens to Tax Levy
		Amount	Percent	Amount	Percent		Amount	Percent	Amount	Percent		
2007	\$ 17,706,559	\$ 17,654,153	99.70%	\$ 52,406	0.30%	\$ 51,547	\$ 17,705,700	99.99%	\$ 859	0.01%	\$ 258,076	1.46%
2008	18,387,932	18,318,242	99.62%	69,690	0.38%	68,802	18,387,044	100.00%	888	0.00%	430,138	2.34%
2009	19,241,273	19,136,162	99.45%	105,111	0.55%	104,101	19,240,263	99.99%	1,010	0.01%	443,621	2.31%
2010	19,625,486	19,555,272	99.64%	70,214	0.36%	69,189	19,624,461	99.99%	1,025	0.01%	666,679	3.40%
2011	19,658,891	19,584,532	99.62%	74,359	0.38%	70,837	19,655,369	99.98%	3,522	0.02%	577,105	2.94%
2012	20,268,674	20,195,369	99.64%	73,305	0.36%	69,680	20,265,049	99.98%	3,625	0.02%	588,151	2.90%
2013	20,790,194	20,735,050	99.73%	55,144	0.27%	47,303	20,782,353	99.96%	7,841	0.04%	748,700	3.60%
2014	21,103,045	20,991,291	99.47%	111,754	0.53%	93,505	21,084,796	99.91%	18,249	0.09%	1,119,128	5.30%
2015	21,851,559	21,760,662	99.58%	90,897	0.42%	65,820	21,826,482	99.89%	25,077	0.11%	1,147,060	5.25%
2016	22,354,590	22,293,894	99.73%	60,696	0.27%	14,938	22,308,832	99.80%	45,758	0.20%	804,945	3.60%

Source: City of Rye Comptroller's Office

City of Rye, New York

Statistical Section - Revenue Capacity Constitutional Tax Limit

Constitutional Tax Limit

Constitutional tax limit calculation, December 31, 2016

Full valuation, last five fiscal years

2016	\$ 8,200,459,298
2015	7,267,949,058
2014	7,061,283,776
2013	6,703,650,097
2012	6,289,348,539

Total full valuation, last five years	35,522,690,768
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Five-year average full valuation	7,104,538,154
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Constitutional tax limit: 2% of five-year average full valuation	\$ 142,090,763
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Tax levy - general city purposes	\$ 22,038,527
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Total exclusions ¹	3,788,146
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Tax levy subject to tax limit	\$ 18,250,381
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Percent of constitutional tax limit exhausted	12.84%
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Constitutional tax margin	\$ 123,840,382
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Constitutional Tax Limit - Last Ten Fiscal Years

Year	Constitutional Tax Limit	Tax Levy Subject to Tax Limit	Constitutional Tax Margin	Percent Exhausted
2007	109,217,662	11,596,583	97,621,079	10.62%
2008	118,554,221	9,272,883	109,281,338	7.82%
2009	126,308,575	15,489,470	110,819,105	12.26%
2010	134,043,181	9,462,610	124,580,571	7.06%
2011	136,414,495	17,105,703	119,308,792	12.54%
2012	135,941,949	18,059,528	117,882,421	13.28%
2013	134,518,353	18,386,704	116,131,649	13.67%
2014	134,618,990	17,565,145	117,053,845	13.05%
2015	134,970,721	17,559,034	117,411,687	13.01%
2016	142,090,763	18,250,381	123,840,382	12.84%

¹ The constitutional tax limit specifically excludes debt service related to bonds and notes issued for certain specific purposes, and also excludes budgetary appropriations in the forthcoming year's budget for objects or purposes for which a period of probable usefulness is provided for in Section 11 of the New York State Local Finance Law.

Debt Capacity

These schedules present information to help the reader assess the affordability of the City's current levels of outstanding debt and the City's ability to issue additional debt in the future. These schedules include:

Ratios of Outstanding Debt by Type

Direct and Overlapping Governmental Activities Debt

Computation of Legal Debt Margin

Calculation of Charter Bonded Debt Limits

City of Rye, New York

Statistical Section - Debt Capacity Ratios of Outstanding Debt by Type - Last Ten Fiscal Years

Fiscal Year	General Obligation Bonds	Capital Leases	Net Outstanding Debt	Estimated Full Property Value	Percentage of Full Property Value	Population (1)	Debt Per Capita	Per Capita Personal Income (1)	Estimated Total Personal Income	Percentage of Estimated Total Personal Income
Governmental Activities										
2007	16,345,000	\$ -	\$ 16,345,000	\$ 6,407,484,954	0.26%	15,109	\$ 1,082	\$ 76,566	\$ 1,156,835,694	1.41%
2008	15,500,000	-	15,500,000	7,059,549,137	0.22%	15,242	1,017	76,566	1,167,018,972	1.33%
2009	14,625,000	-	14,625,000	7,036,124,596	0.21%	16,737	874	76,566	1,281,485,142	1.14%
2010	19,407,374	-	19,407,374	7,180,016,340	0.27%	15,091	1,286	92,737	1,399,494,067	1.39%
2011	18,389,117	-	18,389,117	6,420,448,704	0.29%	15,720	1,170	93,072	1,463,091,840	1.26%
2012	17,215,209	-	17,215,209	6,289,348,539	0.27%	15,834	1,087	94,207	1,491,673,638	1.15%
2013	16,080,938	-	16,080,938	6,703,650,097	0.24%	15,868	1,013	94,559	1,500,462,212	1.07%
2014	14,832,155	188,209	15,020,364	7,061,283,776	0.21%	15,960	941	91,839	1,465,750,440	1.02%
2015	13,535,871	149,558	13,685,429	7,267,949,058	0.19%	16,046	853	92,871	1,490,208,066	0.92%
2016	12,219,586	109,161	12,328,747	8,200,459,298	0.15%	15,980	772	90,548	1,446,957,040	0.85%
Business-Type Activities										
2007	3,730,000	-	3,730,000	6,407,484,954	0.06%	15,109	247	76,566	1,156,835,694	0.32%
2008	3,470,000	-	3,470,000	7,059,549,137	0.05%	15,242	228	76,566	1,167,018,972	0.30%
2009	3,200,000	-	3,200,000	7,036,124,596	0.05%	16,737	191	76,566	1,281,485,142	0.25%
2010	2,840,000	-	2,840,000	7,180,016,340	0.04%	15,091	188	92,737	1,399,494,067	0.20%
2011	2,580,987	-	2,580,987	6,420,448,704	0.04%	15,720	164	93,072	1,463,091,840	0.18%
2012	2,252,775	-	2,252,775	6,289,348,539	0.04%	15,834	142	94,207	1,491,673,638	0.15%
2013	1,920,446	-	1,920,446	6,703,650,097	0.03%	15,868	121	94,559	1,500,462,212	0.13%
2014	1,538,690	32,036	1,570,726	7,061,283,776	0.02%	15,960	98	91,839	1,465,750,440	0.11%
2015	1,163,332	25,456	1,188,788	7,267,949,058	0.02%	16,046	74	92,871	1,490,208,066	0.08%
2016	782,974	18,580	801,554	8,200,459,298	0.01%	15,980	50	90,548	1,446,957,040	0.06%
Total Government-Wide										
2007	20,075,000	-	20,075,000	6,407,484,954	0.31%	15,109	1,329	76,566	1,156,835,694	1.74%
2008	18,970,000	-	18,970,000	7,059,549,137	0.27%	15,242	1,245	76,566	1,167,018,972	1.63%
2009	17,825,000	-	17,825,000	7,036,124,596	0.25%	16,737	1,065	76,566	1,281,485,142	1.39%
2010	22,247,374	-	22,247,374	7,180,016,340	0.31%	15,091	1,474	92,737	1,399,494,067	1.59%
2011	20,970,104	-	20,970,104	6,420,448,704	0.33%	15,720	1,334	93,072	1,463,091,840	1.43%
2012	19,467,984	-	19,467,984	6,289,348,539	0.31%	15,834	1,230	94,207	1,491,673,638	1.31%
2013	18,001,384	-	18,001,384	6,703,650,097	0.27%	15,868	1,134	94,559	1,500,462,212	1.20%
2014	16,370,845	220,245	16,591,090	7,061,283,776	0.23%	15,960	1,040	91,839	1,465,750,440	1.13%
2015	14,699,203	175,014	14,874,217	7,267,949,058	0.20%	16,046	927	92,871	1,490,208,066	1.00%
2016	13,002,560	127,741	13,130,301	8,200,459,298	0.16%	15,980	822	90,548	1,446,957,040	0.91%

(1) United States Census Bureau

Source: City Comptroller's Office

City of Rye, New York

Statistical Section - Debt Capacity Direct and Overlapping Governmental Activities Debt - Last Ten Fiscal Years

	December 31, 2016		
Government Unit	Net General Long-Term Debt	Percentage Applicable City of Rye(1)	Amount Applicable to City of Rye
City of Rye School District	\$ 32,786,274	100.00%	\$ 32,786,274
Rye Neck Union Free School District	14,428,541	33.32%	4,807,590
Westchester County	1,020,539,010	5.35%	54,604,225
Subtotal, Overlapping Debt			92,198,089
City Direct Debt (2)			12,328,747
 Total Direct and Overlapping Debt			\$ 104,526,836
 Population			15,980
 Overlapping Debt Per Capita			\$ 5,770
 Direct and Overlapping Debt Per Capita			\$ 6,541

Summary of Direct and Overlapping Governmental Activities Debt
Last Ten Fiscal Years

Year	Overlapping Debt	City Direct Debt	Direct and Overlapping Debt	Population	Overlapping Debt Per Capita	Direct and Overlapping Debt Per Capita
2007	56,889,611	20,075,000	76,964,611	15,242	3,732	5,050
2008	72,272,509	18,970,000	91,242,509	16,737	4,318	5,452
2009	69,394,614	17,825,000	87,219,614	15,091	4,598	5,780
2010	61,092,502	22,247,374	83,339,876	15,720	3,886	5,302
2011	72,521,155	20,970,104	93,491,259	15,834	4,580	5,904
2012	71,965,716	19,467,984	91,433,700	15,868	4,535	5,762
2013	94,761,524	18,001,384	112,762,908	15,960	5,937	7,065
2014	98,668,148	17,045,005	115,713,153	15,960	6,182	7,109
2015	98,714,733	15,229,601	113,944,334	16,046	6,152	7,101
2016	92,198,089	12,328,747	104,526,836	15,980	5,770	6,541

(1) The percentage of overlapping debt applicable is estimated using taxable assessed values.

Applicable percentages were estimated by determining the portion of the City's taxable assessed value that is within the government's boundaries and dividing it by the City's total taxable assessed value.

(2) Represents governmental activities debt only.

Sources: Outstanding debt and applicable percentages provided by each governmental unit.

Note: Overlapping governments are those that coincide, at least in part, with the geographic boundaries of the City. This schedule estimates the portion of the outstanding debt of those overlapping governments that is borne by the property taxpayers of the City of Rye.

This process recognizes that, when considering the City's ability to issue and repay long-term debt, the entire debt burden borne by the property taxpayers should be taken into account.

City of Rye, New York

Statistical Section - Debt Capacity Computation of Legal Debt Margin - Last Ten Fiscal Years

Fiscal Year	Net Assessed Valuation	State Equalization Rate	Full Valuation
2016	\$ 140,227,854	1.71%	\$ 8,200,459,298
2015	138,817,827	1.91%	7,267,949,058
2014	138,401,162	1.96%	7,061,283,776
2013	138,095,192	2.06%	6,703,650,097
2012	137,736,733	2.19%	6,289,348,539
Total Five Year Full Valuation			35,522,690,768
Five Year Average Full Valuation of Taxable Real Property			7,104,538,154
Constitutional Debt Limit (7% of Average Full Valuation)			497,317,671
Outstanding Indebtedness at December 31st			13,130,301
Less: 2017 Appropriations for Debt Principal			1,775,000
Net Indebtedness Subject to Debt Limit			11,355,301
Net Debt Contracting Margin			\$ 485,962,370
Percentage of Net Debt Contracting Margin Available			97.72%
Percentage of Net Debt Contracting Power Exhausted			2.28%

Last Ten Fiscal Years

Year	Constitutional Debt Limit	Outstanding Indebtedness December 31	Less Appropriations for Debt Principal	Indebtedness Subject to Debt Limit	Net Debt Contracting Margin	Percentage of Net Debt Contracting Margin Available
2007	382,261,817	24,931,748	1,173,948	23,757,800	358,504,017	93.78%
2008	414,939,772	23,550,956	1,217,116	22,333,840	392,605,932	94.62%
2009	442,080,012	22,117,493	1,224,767	20,892,726	421,187,286	95.27%
2010	469,151,135	22,247,374	1,322,828	20,924,546	448,226,589	95.54%
2011	477,450,732	20,845,000	1,406,137	19,438,863	458,011,869	95.93%
2012	475,796,822	19,360,000	1,525,000	17,835,000	457,961,822	96.25%
2013	470,814,236	17,949,212	1,640,000	16,309,212	454,505,024	96.54%
2014	471,166,464	16,323,252	1,695,000	14,628,252	456,538,212	96.90%
2015	472,397,522	15,229,601	1,720,000	13,509,601	458,887,921	97.14%
2016	497,317,671	13,130,301	1,775,000	11,355,301	485,962,370	97.72%

City of Rye, New York

Statistical Section - Debt Capacity Calculation of Charter Bonded Debt Limits

In addition to the legal debt margin, the City Charter §C21-9 places limitations on the issuance of new debt. The City Council may, by a vote of at least five members, authorize the issuance of debt not exceeding 30% of the average gross annual budget for the preceding three years. Debt in excess of 30% requires approval of the voting public in a general or special election. All bond resolutions authorizing the issuance of bonds in excess of \$1 million for the acquisition of real property shall be adopted by a vote of at least five members of the City Council and shall be subject to the approval of a majority of the qualified voters voting at a general or special election.

In addition to other exemptions, the City Charter provides a limited exemption of \$1 million per year to an aggregate maximum outstanding of \$2.5 million, for debt issued for public safety purposes and disaster rebuilding purposes.

For purposes of this calculation, "gross annual budget" is defined as the total appropriations of the General Fund, the Cable TV Special Revenue Fund, and the enterprise funds (Boat Basin Fund and Golf Club Fund). All other fund budgets - the K.T. Woods Permanent Fund, the Debt Service Fund, the Capital Projects Fund, and the internal service funds (Risk Retention Fund and Building and Vehicle Maintenance Fund) are not included in the calculation, as their budgets are supported primarily by revenues and transfers charged to other funds.

Fund	Gross Annual Budgets (As Adopted)		
	2013	2014	2015
General Fund	\$ 31,706,181	\$ 33,589,349	\$ 35,427,514
Cable TV Fund	320,453	422,773	429,910
Boat Basin Fund	888,716	968,756	1,015,510
Golf Club Fund	6,379,621	6,197,291	4,456,555
Total gross annual budgets	\$ 39,294,971	\$ 41,178,169	\$ 41,329,489
Total of preceding three years' gross annual budgets			\$ 121,802,629
Average of preceding three years' gross annual budgets			\$ 40,600,876
	Subject to City Council Vote	Public Safety Exemption	Disaster Rebuilding Exemption
Maximum charter bonding limit	\$ 12,180,263	\$ 2,500,000	\$ 2,500,000
Less outstanding principal at December 31, 2016			
2000 Serial Bonds	(94,416)	-	-
2001 Serial Bonds	(178,120)	-	-
2002 Serial Bonds	-	-	-
2005 Serial Bonds	-	(269,294)	-
2010 Serial Bonds	(1,057,919)	(948,430)	-
Charter bonding limit December 31, 2016	\$ 10,849,808	\$ 1,282,276	\$ 2,500,000

Demographic and Economic Information

These schedules offer demographic and economic indicators to help the reader understand the environment within which the City's financial activities take place. These schedules include:

Demographic and Economic Statistics

Principal Employers

City of Rye, New York

Demographic and Economic Information Demographic and Economic Statistics - Last Ten Fiscal Years

<u>Year</u>	<u>Population¹</u>	<u>Median Age²</u>	<u>Per Capita Income²</u>	<u>Median Household Income²</u>	<u>Median Residential Home Value³</u>	<u>Unemployment Rate⁴</u>
2007	15,109	38.1	\$ 76,566	\$ 110,894	\$ 1,455,000	3.7%
2008	15,242	38.1	76,566	110,894	1,460,000	5.7%
2009	16,737	38.1	76,566	110,894	1,350,000	6.9%
2010(5)	15,091	38.1	92,737	145,990	1,255,000	6.7%
2011	15,720	40.8	93,072	146,069	1,448,750	6.5%
2012	15,834	40.8	94,207	149,973	1,440,000	6.2%
2013	15,868	40.8	94,559	154,734	1,450,000	6.3%
2014	15,960	40.8	91,839	154,734	1,563,000	4.5%
2015	16,046	40.8	92,871	155,422	1,649,500	4.4%
2016	15,980	40.8	90,548	155,273	1,560,000	4.0%

Sources:

- 1 United States Census Bureau.
- 2 United States Census Bureau 2010 Census.
- 3 City of Rye Assessor's office. 2015 median based on sales in 2015.
- 4 United States Bureau of Labor Statistics unemployment rates for Westchester County not seasonally adjusted.
- 5 United States Census Bureau's American Community Survey (2005-2009).

City of Rye, New York

Demographic and Economic Information Principal Employers Fiscal Year Ended December 31, 2004¹

<u>Employer</u>	<u>Industry</u>	<u>Number of Employees</u>
Playland	Amusement Park	596
Osborne Retirement Home	Retirement Community	480
Avon Products	Retail Cosmetics	400
Rye City School District	Education	377
Lynch Interactive Corp.	Telecommunications	328
Apawamis Club	Private Club	200
Shenorock Shore Club	Private Club	200
Mobius Management Systems Inc.	Computer Technology	175
Rye Country Day School	Education	160
Lillian Vernon Corp	Catalog and Mail Order Sales	150

¹ No data available after fiscal 2004. No data on total employment available.

Source: Westchester County Planning Department

Operating Information

These schedules contain service and infrastructure data to help the reader understand how the information in the City's financial report relates to the services the City provides and the activities it performs. These schedules include:

Full-Time Equivalent Employees by Program

Operating Indicators by Program

Capital Asset Statistics by Program

City of Rye, New York

Statistical Section - Operating Information Full-Time Equivalent Employees by Program - Last Ten Fiscal Years

<u>Year</u>	<u>General Government</u>	<u>Public Safety</u>	<u>Community Environment (1)</u>	<u>Transportation (1)</u>	<u>Culture and Recreation</u>	<u>Home and Community Svs (1)</u>	<u>Total</u>
2007	21	61	64	n/a	21	n/a	167
2008	30	64	n/a	16	22	34	166
2009	18	59	n/a	20	22	44	163
2010	18	59	n/a	20	22	44	163
2011	14	57	n/a	19	19	39	148
2012	14	55	n/a	15	19	41	144
2013	13	57	n/a	15	19	42	146
2014	13	61	n/a	13	18	44	149
2015	12	62	n/a	13	20	43	150
2016	13	60	n/a	13	19	42	147

(1) Beginning in 2008, employees previously reported in the community environment function have been reallocated and are now being reported within the public safety, transportation and home and community services functions.

Source: Annual City Budget

City of Rye, New York

Statistical Section - Operating Information Operating Indicators by Program - Last Ten Fiscal Years

	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016
General Government										
Primary elections	1	1	1	1	1	1	1	1	1	1
General elections	1	1	1	1	1	1	1	1	1	1
Special elections	1	-	-	-	-	-	-	-	-	-
Public Safety										
Number of police personnel and officers	44	44	42	42	40	39	40	41	41	41
Number of police calls for service	12,182	14,004	12,841	16,252	14,845	13,829	10,957	13,501	15,260	30,120
Number of parking violations issued	9,300	12,739	11,752	11,829	11,930	10,539	8,498	9,061	10,762	10,071
Number of paid firefighters	17	17	17	17	17	17	17	17	17	17
Number of volunteer firefighters	200	225	120	120	112	170	150	150	150	150
Number of fire alarms answered	1,150	855	821	957	951	1,134	888	928	928	1,020
Number of fire inspections performed	428	442	471	478	485	115	119	119	119	N/A
Number of school crossing guards***	8	8	8	10	9	8	-	-	-	-
Home and Community Services										
Tons collected and disposed										
Solid waste	7,419	5,836	5,645	5,806	5,935	5,598	5,391	5,364	5,364	N/A
Green waste	3,006	3,199	3,554	2,863	4,326	5,685	6,697	1,736	1,736	N/A
Metal	194	155	134	111	97	76	86	44	44	N/A
Pulp	1,864	1,934	1,714	1,739	1,673	1,627	1,558	1,617	1,617	N/A
Co-mingled	665	770	800	794	787	734	722	772	772	N/A
Number of tires collected and disposed*	2	4	1	1	1	-	-	-	-	-
Number of shade trees										
Planted	55	115	-	5	7	8	27	30	30	30
Removed	235	239	268	95	219	134	162	91	91	96
Trimmed	379	468	404	253	329	265	147	223	223	257
Emergency calls	55	86	44	75	126	289	95	22	22	64
Stumps ground	139	154	135	66	135	125	99	93	93	55
Culture and Recreation										
Registrations for adult programs	1,170	1,402	1,307	1,130	1,092	1,428	1,300	1,201	1,201	1,326
Picnics**	5,833	4,103	2,516	3,922	N/A	75	77	62	62	71
Registrations for senior citizen programs	6,410	7,445	6,668	6,729	6,511	5,144	5,276	4,976	4,976	5,310
Registrations for special events	6,006	5,051	4,848	4,795	4,509	4,065	4,215	5,438	5,438	6,189
Registrations for youth programs	4,747	5,486	5,270	3,674	2,998	1,810	2,124	1,904	1,904	1,818
Registrations for youth sports	5,012	4,727	4,853	5,109	4,842	4,231	4,239	4,150	4,150	3,012

Note: "N/A" indicates data not available.

Sources: Departmental annual reports to the City Manager.

*County stopped collecting used tires. City must now pay to dispose of them.

** Changed to number of picnic events beginning in 2012

*** Hired Outside Agency for Crossing Guards

City of Rye, New York

Statistical Section - Operating Information Capital Asset Statistics by Program - Last Ten Fiscal Years

	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>
General Government										
Number of general government buildings	1	1	1	1	1	1	1	1	1	1
Public Safety										
Number of police stations	1	1	1	1	1	1	1	1	1	1
Number of fire stations	2	2	2	2	2	2	2	2	2	2
Number of traffic lights	23	23	23	23	23	23	23	23	23	23
Transportation										
Miles of streets	71	71	71	71	71	71	71	71	71	71
Number of street lights	2,105	2,105	2,105	2,105	2,105	2,105	2,105	2,105	2,105	2,105
Culture and Recreation										
Number of recreation centers	1	1	1	1	1	1	1	1	1	1
Number of parks	3	3	3	3	3	3	3	3	3	3
Acres of parks	75	75	75	75	75	75	75	75	75	75
Number of golf courses	1	1	1	1	1	1	1	1	1	1
Number of swimming pools	1	1	1	1	1	1	1	1	1	1
Number of nature centers	1	1	1	1	1	1	1	1	1	1
Number of cable television channels	2	2	2	2	2	2	2	2	2	2
Number of boat basin slips	412	412	412	412	412	412	412	412	412	412
Home and Community Services										
Miles of sanitary sewers	53	53	53	53	53	53	53	53	53	53
Number of pump stations	6	6	6	6	6	6	6	6	6	6
Miles of storm drains	30	30	30	30	30	30	30	30	30	30

Source: Various City Departments



**Report on Internal Control Over Financial Reporting and on
Compliance and Other Matters Based on an Audit of Financial
Statements Performed in Accordance With
*Government Auditing Standards***

The Honorable Mayor and City Council
of the City of Rye, New York
Rye, New York

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Rye, New York (City) as of and for the year ended December 31, 2016, and the related notes to the financial statements, which collectively comprise the City's basic financial statements, and have issued our report thereon dated June 12, 2017.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the City's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we do not express an opinion on the effectiveness of the City's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the City's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

BST & CO. CPAs, LLP

Albany, New York
June 12, 2017





June 12, 2017

Honorable Mayor and Council Members
City of Rye, New York
City Hall
1051 Boston Post Road
Rye, New York 10580

Dear Honorable Mayor and Council Members:

We are pleased to present this report related to our audit of the financial statements of the City of Rye, New York (City) for the year ended December 31, 2016. This report summarizes certain matters required by professional standards to be communicated to you in your oversight responsibility for the City's financial reporting process.

This report is intended solely for the information and use of the Honorable Mayor, Council Members, and management, and is not intended to be, and should not be, used by anyone other than these specified parties. It will be our pleasure to respond to any questions you have regarding this report. We appreciate the opportunity to be of continued service to the City.

Very truly yours,

BST & Co. CPAs, LLP

A handwritten signature in black ink that reads "Brendan K. Kennedy". The signature is written in a cursive style with a long, sweeping underline.

Brendan K. Kennedy, Partner

BKK/emt



City of Rye, New York

Communication With Those Charged With Governance Year Ended December 31, 2016

Generally accepted auditing standards (AU-C 260, *The Auditor's Communication With Those Charged With Governance*) require the auditor to promote effective two-way communication between the auditor and those charged with governance. Consistent with this requirement, the following summarizes our responsibilities regarding the financial statement audit as well as observations arising from our audit that are significant and relevant to your responsibility to oversee the financial reporting process.

Our Responsibilities With Regard to the Financial Statement Audit

Our responsibilities under auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States have been described to you in our arrangement letter dated September 12, 2016. Our audit of the financial statements does not relieve management or those charged with governance of their responsibilities, which are also described in that letter.

Overview of the Planned Scope and Timing of the Financial Statement Audit

We have issued a separate communication regarding the planned scope and timing of our audit and have discussed with you our identification of and planned audit response to significant risks of material misstatement. Our audit of the financial statements does not relieve management or those charged with governance of their responsibilities which are also described in that letter.

Accounting Policies and Practices

Preferability of Accounting Policies and Practices

Under generally accepted accounting principles, in certain circumstances, management may select among alternative accounting practices. In our view, in such circumstances, management has selected the preferable accounting practice.

Adoption of, or Change in, Accounting Policies

Management has the ultimate responsibility for the appropriateness of the accounting policies used by the City. Following is a description of new accounting standards that were adopted by the City for the purposes of complying with accounting principles generally accepted in the United States of America (U.S. GAAP):

GASB Statement No. 72, *Fair Value Measurement and Application*. This statement addresses accounting and financial reporting issues related to fair value measurements. The definition of fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This statement provides guidance for determining a fair value measurement for financial reporting purposes. This statement also provides guidance for applying fair value to certain investments and disclosures related to all fair value measurements.

City of Rye, New York

Communication With Those Charged With Governance Year Ended December 31, 2016

Accounting Policies and Practices - Continued

Adoption of, or Change in, Accounting Policies - Continued

GASB Statement No. 73, *Accounting and Financial Reporting for Pensions and Related Assets That Are Not within the Scope of GASB Statement No. 68, and Amendments to Certain Provisions of GASB Statements No. 67 and No. 68*. This statement establishes requirements for defined benefit pensions that are not within the scope of GASB Statement No. 68, *Accounting and Financial Reporting for Pensions* (GASB Statement No. 68), as well as for the assets accumulated for purposes of providing those pensions. In addition, this statement establishes requirements for defined contribution pensions that are not within the scope of GASB Statement No. 68.

GASB Statement No. 76, *Hierarchy of Generally Accepted Accounting Principles for State and Local Governments*. This statement identifies the hierarchy of U.S. GAAP in the context of governmental financial reporting. This statement reduces the hierarchy of U.S. GAAP to two categories of authoritative U.S. GAAP and addresses the use of authoritative and non-authoritative literature in the event that the accounting treatment for a transaction or other event is not specific with a source of authoritative U.S. GAAP.

GASB Statement No. 77, *Tax Abatement Disclosures*. This statement requires governments that enter into tax abatement agreements to disclose the following information about the agreements:

- Descriptive information, such as the tax being abated, the authority under which tax abatements are provided, eligibility criteria, the mechanism by which taxes are abated, provisions for recapturing abated taxes, and the types of commitments by tax abatement recipients,
- Gross dollar amount of taxes abated during the period, and
- Commitments made by the government, other than to abate taxes, as part of a tax abatement agreement.

GASB Statement No. 78, *Pensions Provided Through Certain Multiple-Employer Defined Benefit Pension Plans*. This statement amends the scope and applicability of GASB Statement No. 68 to exclude pension plans provided to employees of state or local governmental employers through a cost-sharing multiple-employer defined benefit pension plan that is:

- Not a state or local government pension plan,
- Used to provide defined benefit pensions both to employees of state or local governmental employers and to employees of employers that are not state or local governments, and
- Not used predominantly by a state or local governmental employer (either individually or collectively with other state or local governmental employers that provide pensions through the pension plan).



City of Rye, New York

Communication With Those Charged With Governance Year Ended December 31, 2016

Accounting Policies and Practices - Continued

Adoption of, or Change in, Accounting Policies - Continued

GASB Statement No 79, *Certain External Investment Pools and Pool Participants*. This statement enhances comparability of financial statements among governments by establishing specific criteria used to determine whether a qualifying external investment pool may elect to use an amortized cost exception to fair value measurement. Those criteria will provide qualifying external investment pools and participants in those pools with consistent application of an amortized cost based measurement for financial reporting purposes. That measurement approximates fair value and mirrors the operations of external investment pools that transact with participants at a stable net asset value per share.

Adoption of these accounting standards did not significantly impact the City's financial statements.

Significant or Unusual Transactions

We did not identify any significant or unusual transactions or significant accounting policies in controversial or emerging areas for which there is a lack of authoritative guidance or consensus.

Management's Judgments and Accounting Estimates

Summary information about the process used by management in formulating particularly sensitive accounting estimates and about our conclusions regarding the reasonableness of those estimates is in the attached Exhibit A, Summary of Significant Accounting Estimates.

Audit Adjustments

Audit adjustments proposed by us and recorded by the City are shown on the attached Exhibit B, Summary of Recorded Audit Adjustments.

Uncorrected Misstatements

Uncorrected misstatements are summarized in the attached Exhibit C, Summary of Uncorrected Misstatements.

Disagreements with Management

We encountered no disagreements with management over the application of significant accounting principles, the basis for management's judgments on any significant matters, the scope of the audit, or significant disclosures to be included in the financial statements.

Consultations with Other Accountants

We are not aware of any consultations management had with other accountants about accounting or auditing matters.



City of Rye, New York

Communication With Those Charged With Governance Year Ended December 31, 2016

Significant Issues Discussed with Management

No significant issues arising from the audit were discussed with or the subject of correspondence with management.

Significant Difficulties Encountered in Performing the Audit

We did not encounter any significant difficulties in dealing with management during the audit.

Significant Written Communications Between Management and Our Firm

Copies of significant written communications between our firm and the management of the City, including the representation letter provided to us by management, are attached as Exhibit D.



City of Rye, New York

Summary of Significant Accounting Estimates Year Ended December 31, 2016

Accounting estimates are an integral part of the preparation of financial statements and are based upon management's current judgment. The process used by management encompasses their knowledge and experience about past and current events and certain assumptions about future events. You may wish to monitor throughout the year the process used to determine and record these accounting estimates. The following describes the significant accounting estimates reflected in the City's December 31, 2016 financial statements:

Estimate	Accounting Policy	Estimation Process
Other Postemployment Benefits (OPEB)	An accrual is made in the financial statements for benefits earned primarily by employer funded health insurance that will be paid subsequent to the qualifying employees' service with the City.	The estimate is developed with the assistance of an actuarial firm using assumptions applied to census and plan information provided by the City.
Depreciation Expense	At the time an asset is inventoried, its useful life and acquisition date are determined in compliance with the capital asset policy, and entered into the fixed asset system accordingly. The depreciation expense is recorded annually for financial statement preparation.	All capital assets are depreciated using the straight-line method over the estimated useful lives determined.
Allowance for Uncollectible Receivables	Receivables are reduced to their net realizable value. An allowance for uncollectible receivables is recorded as a contra asset and a corresponding expense.	Management will perform a calculation to determine what portion of the outstanding receivable balances may be uncollectible.
Compensated Absences	The City recognizes the estimated liability for unused vacation and compensated time.	Unused vacation and compensated time is aggregated, and current employee rates are applied to generate the extended liability.
Claims Payable	An accrual for claims payable is recorded when the contingent obligation is determined to be both probable and estimable.	Management reviews responses from the City's legal counsel to determine cases that are both probable and possible. These cases are the basis for determining the claims payable estimate along with the footnote disclosure.



City of Rye, New York

Summary of Significant Accounting Estimates Year Ended December 31, 2016

Estimate	Accounting Policy	Estimation Process
Self-Insured Property and Liability Insurance Program	An accrual is made in the financial statements for the ultimate cost of claims that have been reported, but not settled, and of claims that have been incurred but not reported.	The estimate is developed with the assistance of an actuarial firm using historical data provided by management and information obtained from other insurance industry sources.
Net Pension Liability	The City recognizes its proportionate share of the New York State and Local Employee's Retirement System and the New York State and Local Police and Fire Retirement System net pension liabilities and the related deferred inflows and outflows of resources.	The City's net pension liabilities are estimated by the New York State Office of the State Comptroller using census data supplied by participating employers and various actuarial assumptions, including but not limited to, rate of return, mortality, and inflation. The City's proportionate percentage of the liabilities is determined on an annual basis.

We have evaluated management's significant accounting estimates noted above as part of our audit, and concluded that management's estimates and the estimation process appear reasonable in the context of the financial statements taken as a whole.

City of Rye, New York

Summary of Uncorrected Misstatements
Year Ended December 31, 2016

Description	Effect - Increase (Decrease)				
	Assets/Deferred Outflows of Resources	Liabilities/Deferred Inflows of Resources	Fund Balance/ Net Position	Revenues	Expenditures/ Expenses
General Fund					
To adjust period of availability of property tax collections	\$ -	\$ 64,806	\$ -	\$ (64,806)	\$ -
Risk Retention Fund					
To adjust accrued liabilities to actuarial estimate	-	(2,093)	-	-	(2,093)
Capital Project Fund					
To adjust retained percentages to actual	-	(76,552)	-	-	(76,552)
Building and Vehicle Maintenance Fund					
To amortize deferred outflow of resources	(80,126)	-	-	-	80,126
To amortize premium on refunding	-	(56,410)	-	-	(56,410)
To adjust fund balance	-	-	(8,246)	-	(8,246)
To adjust net pension liability	342,069	391,505	-	-	49,436
To adjust OPEB to actuarial estimate	-	281,391	-	-	281,391
Golf Club Fund					
To amortize deferred outflow of resources	(11,671)	-	-	-	11,671
To adjust fund balance	-	-	(8,027)	-	(8,027)
To adjust net pension liability	266,054	304,504	-	-	38,450
To amortize discount on refunding bonds	(348)	-	-	-	348
To amortize premium on refunding	-	(12,377)	-	-	(12,377)
To adjust claims payable	-	1,000,000	-	-	1,000,000
To adjust OPEB to actuarial estimate	-	55,524	-	-	55,524
Boat Basin Fund					
To adjust fund balance	-	-	537	-	537
To adjust net pension liability	57,012	65,251	-	-	8,239
To adjust OPEB to actuarial estimate	-	18,285	-	-	18,285
Payroll Fund					
To adjust fund balance	-	-	764	(764)	-
Government-wide					
To adjust net pension liability	8,648,473	9,682,262	-	-	1,033,789
To adjust claims payable	-	(600,000)	-	-	(600,000)
Total Statement of Activities Effect			(1,879,661)	\$ (65,570)	\$ 1,814,091
Total Statement of Net Position Effect	\$ 9,221,463	\$ 11,116,096	\$ (1,894,633)		

City of Rye, New York

Summary of Uncorrected Misstatements Year Ended December 31, 2016

During the course of our audit, we accumulated an uncorrected misstatement that was determined by management to be immaterial, both individually and in the aggregate, to the statement of net position, statement of activities and to the related financial statement disclosures. Following is a summary of the uncorrected misstatement:

Description	Effect - Increase (Decrease)				
	Assets	Liabilities	Fund Balance	Revenue	Expenditures
General Fund					
To adjust beginning fund balance for period of availability of property tax collections in the prior year	\$ -	\$ -	\$ (147,126)	\$ 147,126	\$ -
To accrued professional fees	-	29,965	-	-	29,965
Total Statement of Activities Effect	-	-	117,161	<u>\$ 147,126</u>	<u>\$ 29,965</u>
Total Statement of Net Position Effect	<u>\$ -</u>	<u>\$ 29,965</u>	<u>\$ (29,965)</u>		



City of Rye, New York

Significant Written Communications
Between Management and our Firm
Year Ended December 31, 2016

Representation Letter





CITY OF RYE

June 12, 2017

BST & Co. CPAs, LLP
26 Computer Drive West
Albany, New York 12205

This representation letter is provided in connection with your audit of the basic financial statements of the City of Rye, New York (City) as of and for the year ended December 31, 2016, for the purpose of expressing an opinion on whether the financial statements are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America (U.S. GAAP).

We confirm that as of June 12, 2017:

Financial Statements

1. We have fulfilled our responsibilities, as set out in the terms of the audit arrangement letter dated September 12, 2016, for the preparation and fair presentation of the financial statements referred to above in accordance with U.S. GAAP.
2. We acknowledge our responsibility for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.
3. We acknowledge our responsibility for the design, implementation, and maintenance of internal control to prevent and detect fraud.
4. Significant assumptions used by us in making accounting estimates, including those measured at fair value, are reasonable and reflect our judgment based on our knowledge and experience about past and current events, and our assumptions about conditions we expect to exist and courses of action we expect to take.
5. Related-party transactions, including those with jointly governed organizations in which the City participates, and interfund transactions, including interfund accounts and advances receivable and payable, sale and purchase transactions, interfund transfers, long-term loans, leasing arrangements and guarantees, have been recorded in accordance with the economic substance of the transaction and appropriately accounted for and disclosed in accordance with the requirements of U.S. GAAP.
6. All events subsequent to the date of the financial statements, and for which U.S. GAAP requires adjustment or disclosure, have been adjusted or disclosed.
7. The effects of all known actual or possible litigation and claims have been accounted for and disclosed in accordance with U.S. GAAP.
8. We agree with the findings of specialists in evaluating our obligations for postemployment benefits and our net pension liability and have adequately considered the qualifications of the specialists in determining the amounts and disclosures used in the financial statements and underlying accounting records. We did not give or cause any instructions to be given to specialists with respect to the values or amounts derived in an attempt to bias their work, and we are not otherwise aware of any matters that have had an effect on the independence or objectivity of the specialists.

9. We believe the actuarial assumptions and methods used to measure pension and other postemployment benefit liabilities and costs for financial accounting purposes are appropriate in the circumstances.
10. We have no direct or indirect, legal or moral, obligation for any debt of any organization, public or private, or to special assessment bond holders that is not disclosed in the financial statements.
11. We have complied with all aspects of contractual agreements that would have a material effect on the financial statements in the event of noncompliance. In connection therewith, we specifically represent that we are responsible for determining that we are not subject to the requirements of Subpart F of Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance) because we have not received, expended, or otherwise been the beneficiary of the required amount of federal awards during the period of this audit.
12. We have informed you of all uncorrected misstatements. As of and for the year ended December 31, 2016, we believe that the effects of the uncorrected misstatement aggregated by you and summarized below is immaterial, both individually and in the aggregate, to the basic financial statements. For purposes of this representation, we consider items to be material, regardless of their size, if they involve the misstatement or omission of accounting information that, in light of surrounding circumstances, makes it probable that the judgment of a reasonable person relying on the information would be changed or influenced by the omission or misstatement.

Description	Effect - Increase (Decrease)				
	Assets	Liabilities	Fund Balance	Revenue	Expenditures
General Fund					
To adjust beginning fund balance for period of availability of property tax collections in the prior year	\$ -	\$ -	\$ (147,126)	\$ 147,126	\$ -
To accrued professional fees	-	29,965	-	-	29,965
Total Statement of Activities Effect	-	-	117,161	\$ 147,126	\$ 29,965
Total Statement of Net Position Effect	\$ -	\$ 29,965	\$ (29,965)		

Information Provided

13. We have provided you with:
 - a. Access to all information of which we are aware that is relevant to the preparation and fair presentation of the financial statements, such as records, documentation, and other matters;
 - b. Additional information that you have requested from us for the purpose of the audit;
 - c. Unrestricted access to persons within the City from whom you determined it necessary to obtain audit evidence; and
 - d. Minutes of the meetings of the governing board and committees, or summaries of actions of recent meetings for which minutes have not yet been prepared.

14. All transactions have been recorded in the accounting records and are reflected in the financial statements.
15. We have disclosed to you the results of our assessment of risk that the financial statements may be materially misstated as a result of fraud.
16. We have no knowledge of allegations of fraud or suspected fraud affecting the City's financial statements involving:
 - a. Management.
 - b. Employees who have significant roles in internal control.
 - c. Others where the fraud could have a material effect on the financial statements.
17. We have no knowledge of any allegations of fraud or suspected fraud affecting the City's financial statements received in communications from employees, former employees, analysts, regulators, short sellers or others.
18. We have no knowledge of noncompliance or suspected noncompliance with laws and regulations whose effects were considered when preparing the financial statements.
19. We have disclosed to you all known actual or possible litigation and claims whose effects should be considered when preparing the financial statements.
20. We have disclosed to you the identity of the City's related parties and all the related-party relationships and transactions of which we are aware.
21. We are aware of no significant deficiencies, including material weaknesses, in the design or operation of internal controls that could adversely affect the City's ability to record, process, summarize and report financial data.
22. We are aware of no communications from regulatory agencies concerning noncompliance with, or deficiencies in, financial reporting practices.
23. During the course of your audit, you may have accumulated records containing data that should be reflected in our books and records. All such data have been so reflected. Accordingly, copies of such records in your possession are no longer needed by us.

Supplementary Information

24. With respect to supplementary information presented in relation to the financial statements as a whole:
 - a. We acknowledge our responsibility for the presentation of such information.
 - b. We believe such information, including its form and content, is fairly presented in accordance with U.S. GAAP.
 - c. The methods of measurement or presentation have not changed from those used in the prior period.

- d. When supplementary information is not presented with the audited financial statements, we will make the audited financial statements readily available to the intended users of the supplementary information no later than the date of issuance of the supplementary information and the auditor's report thereon.

Required Supplementary Information

25. With respect to Management's Discussion and Analysis, the schedule of funding progress - other postemployment benefits, the schedule of proportionate net pension liability, and the schedule of local government contributions presented as required by the GASB to supplement the basic financial statements:
 - a. We acknowledge our responsibility for the presentation of such required supplementary information.
 - b. We believe such required supplementary information is measured and presented in accordance with guidelines prescribed by U.S. GAAP.
 - c. The methods of measurement or presentation have not changed from those used in the prior period.

Compliance Considerations

26. In connection with your audit conducted in accordance with *Government Auditing Standards*, we confirm that management:
 - a. Is responsible for the preparation and fair presentation of the financial statements in accordance with the applicable financial reporting framework.
 - b. Is responsible for compliance with the laws, regulations, and provisions of contracts and grant agreements applicable to the City.
 - c. Has identified and disclosed to you all instances that have occurred, or are likely to have occurred, of fraud and noncompliance with provisions of laws and regulations that have a material effect on the financial statements or other financial data significant to the audit objectives, and any other instances that warrant the attention of those charged with governance.
 - d. Has identified and disclosed to you all instances that have occurred, or are likely to have occurred, of noncompliance with provisions of contracts and grant agreements that have a material effect on the determination of financial statement amounts.
 - e. Has identified and disclosed to you all instances that have occurred, or are likely to have occurred, of abuse that could be quantitatively or qualitatively material to the financial statements.
 - f. Is responsible for the design, implementation and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.
 - g. Acknowledges its responsibility for the design, implementation and maintenance of internal controls to prevent and detect fraud.
 - h. Has taken timely and appropriate steps to remedy fraud; noncompliance with provisions of laws, regulations, contracts and grant agreements; or abuse that you report.
 - i. Has a process to track the status of audit findings and recommendations.

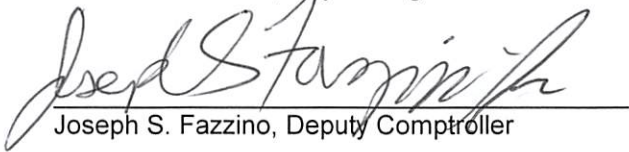
- j. Has identified to you previous audits, attestation engagements and other studies related to the audit objectives and whether related recommendations have been implemented.
 - k. Has provided views on your reported findings, conclusions and recommendations, as well as management's planned corrective actions, for the report.
27. Acknowledges its responsibilities as they relate to non-audit services performed by you, including a statement that it assumes all management responsibilities; that it oversees the services by designating an individual, preferably within senior management, who possesses suitable skill, knowledge or experience; that it evaluates the adequacy and results of the services performed; and that it accepts responsibility for the results of the services.

Very truly yours,

CITY OF RYE, NEW YORK



Marcus A. Serrano, City Manager



Joseph S. Fazzino, Deputy Comptroller



CITY COUNCIL AGENDA

NO. 11

DEPT.: City Manager's Office

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Continuation of the Public Hearing to amend the Rye City Code: (a) local law Chapter 133, "Noise", by amending Section §133-4, "Points and method for measuring intensity of sound" to regulate placement and noise of telecommunication devices; (b) local law Chapter 167, "Streets and Sidewalks", to add a new Article IV "Placement of Permanent Facilities in the Rights of Way", Sections §167-66 through §167-71, to regulate placement of devices in the right of way; and (c) local law Chapter 196, "Wireless Telecommunications Facilities", by amending Sections §196-3 through §196-8, §196-14, §196-17, §196-18, and §196-22 to regulate wireless facilities and structures regarding size, visual impact and permit process.

FOR THE MEETING OF:

October 4, 2017

**RYE CITY CODE,
CHAPTER
SECTION**

RECOMMENDATION: That the City Council continue the Public Hearing to approve the changes in the City Code regarding telecommunications devices.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND: Local law Chapter 196, "Wireless Telecommunications Facilities" was adopted in 1997 with modifications in 2003. Due to the continuing evolution of telecommunications technology and demands, the recommendation is to make changes to Chapters 133, 167 and 196 of the Rye City Code to address telecommunications devices regarding size, visual impact, placement and permit process.

Draft Local Laws revised as of April 3, 2017 are available on the City website.



CITY COUNCIL AGENDA

NO. 12

DEPT.: City Manager

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Public Scoping Meeting on the Crown Castle application pursuant to the New York State Environmental Quality Review Act (SEQRA).

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the City Council hold a Public Scoping Meeting on the Crown Castle application pursuant to the New York State Environmental Quality Review Act (SEQRA).

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND: The City Council issued the SEQRA Notice of Intent to serve as Lead Agency for Crown Castle's request to amend the Right of Way Use Agreement at their at their October 5, 2016 City Council meeting. Crown Castle submitted the Full Environmental Assessment Form (EAF) at the City Council meeting on October 19, 2016. The City Council issued a Positive Determination of Significance under SEQRA relating to Crown Castle's request to modify the existing Right of Way Use Agreement at their April 19, 2017 meeting citing the following reasons: (1) the potential for significant aesthetic/design/visual resource impacts and neighborhood character impacts, (2) the potential for significant impacts related to noise associated with the two and three ion boxes and (3) the potential for significant impacts to the community character and locally designated historic districts and landmarks. A Public Scoping meeting will be held to review these issues and identify mitigation measures and provide the public with an opportunity to participate in the discussion of the impacts.

See attached Draft Scoping document.

DRAFT SCOPING DOCUMENT FOR THE PREPARATION OF A DRAFT ENVIRONMENTAL IMPACT STATEMENT

PROPOSED ACTION:

Crown Castle’s Request To Amend the Existing Right-of-Way Use Agreement and install Approximately 64 new nodes throughout the City of Rye on Existing Utility Poles and Other City Owned Infrastructure.

PROJECT LOCATION:

City of Rye, New York 10580

SEQRA CLASSIFICATION:

Unlisted

LEAD AGENCY AND CONTACT:

Rye City Council
Marcus Serrano, City Manager
Rye City Hall
1051 Boston Post Road
Rye, New York 10580

APPLICANT:

Crown Castle NG East, LLC

Description of Proposed Action:

Crown Castle NG East, LLC (“Crown” or the “Applicant”) seeks to install an additional sixty plus DAS nodes throughout the City of Rye on existing utility poles and other City owned infrastructure. The proposal includes an amendment to the existing Right of Way Use Agreement (“RUA”) to allow for a different size equipment box to house ions provided by the Applicant and/or the Applicant’s wireless client. In addition to the equipment box, an antennae will be located on the top or within the “communication zone” of the utility poles/infrastructure.

SEQR Process:

The basic purposes of the State Environmental Quality Review Act (SEQR) is to incorporate the consideration of environmental facts into the existing planning, review and decision-making processes of state, regional and local government agencies at the earliest possible time. To accomplish this goal, SEQR requires a determination of whether a proposed action may have a significant impact on the environment, and if it is determined that the action may have a significant adverse impact, prepare an Environmental Impact Statement (EIS). It is not intention of SEQR that environmental factors be the sole consideration in decision-making.

Draft Environmental Impact Statement Content

- Introductory Material – Cover Sheet, list of consultants, Table of Contents
- Executive Summary
- Description of Proposed Action – Location and sites, Project Purpose, Need and Benefits, Project Description, Involved Agencies and Required Approvals
- Impact Issues (described below)

Potential Impacts and Mitigation

I. Areas of Study

A. Noise

Existing Conditions:

- The DEIS will describe the existing noise levels in areas where no DAS nodes are located and those areas where DAS nodes are currently located.

Potential Impacts:

- Sound levels greater than those permitted by the City of Rye City Code

Mitigation Measures:

- The DEIS will discuss alternative designs that include potential buffering material that can be used to mitigate the sound from the cooling fans

B. Aesthetic Impacts

Existing Conditions:

- Description of existing visual conditions throughout the City of Rye. Existing conditions will be documented through the use of captioned photographs and an accompanying map.

Potential Impacts:

- Description and analysis of the infrastructure within the surrounding residential context and description of any change in the visual character of the area as a result of the project. This analysis will include photographs and graphic depictions showing the proposed deployments from various vantage points (street view and adjoining property), possible use of balloon tests for visual impact comparison.
- Description of the new equipment, illustrating the potential height, design and overall size of the infrastructure.

Mitigation Measures:

- Description of measures undertaken to mitigate impacts to residential neighborhoods, historical properties and other areas of public interest (parks,

schools, etc.). Discussion will include use of different architectural features, color, materials, etc.

C. Community Character

Existing Conditions:

- Inventory of existing land uses throughout the various areas of the City and a description of the character of the surrounding community.

Potential Impacts:

- Description of the compatibility of the proposed development with adjacent land uses and the effect of the project on the general land use pattern and community character within the study area.
- Discussion of updated/new Comprehensive Plan

Mitigation Measures:

- Discussion of any possible measures to mask the appearance of the infrastructure including architectural features, scale, color and use of materials.

D. Construction

- Description of extent of construction activities, including overall schedule, equipment that would be used to install infrastructure.

E. Economic Conditions (Impact on Property Values)

Existing Conditions:

- Describe the existing demographic and economic conditions that currently exist in the City. Include the current real property valuation.

Potential Impacts

- Provide expanded information on the impact on property values for residential properties.

Mitigation Measures

-

II. Alternatives

SEQRA mandates that environmental impact statements analyze a “range of reasonable alternatives,” including the No Action alternative, to a proposed action that are feasible, considering the objectives and capabilities of the project sponsor (6 NYCRR Part 6717.9(5)(v)).

The alternatives analysis section of the DEIS will examine a number of alternatives for the deployment of DAS, small cells, macro sites (roof top sites and towers) and other forms of infrastructure to provide capacity and coverage of wireless service within the City of Rye. Specifically, the DEIS will examine the following alternatives:

- A. No Action – no new deployments of any infrastructure takes place.
- B. DAS – Plan A deployment as submitted by Crown – with single, double and triple ion equipment boxes.
 - a. With Co-Location impacts
- C. DAS – Plan B deployment as submitted by Crown – with single, double and triple ion equipment boxes.
 - a. With Co-Location impacts
- D. DAS – Plan C deployment as submitted by Crown – with single, double and triple ion equipment boxes.
 - a. With Co-Location impacts
- E. Single monopole alternatives (100’ or taller)
 - Publicly owned land
 - Disbrow Park
 - Rye Golf Club
- F. Macro-site alternatives –
 - Publicly owned structures (roof top)
 - Privately owned structures (roof top)
- G. Stealth monopole alternatives (less than 100’) – including scale and architectural designs (flag pole, spire, or other architectural feature)
- H. Stealth monopole alternatives (less than 70’) - – including scale and architectural designs (flag pole, spire, or other architectural feature)

III. Mitigation

This section will include a summary of all proposed mitigation for significant impacts identified in the DEIS. Because these measures, if practicable, will become part of the Proposed Action, their formulation and analysis of their effectiveness will be undertaken in coordination with the lead, if necessary. Any mitigation measure which the Applicant will not either undertake directly or fund should be clearly identified as such.

IV. Unavoidable Adverse Impacts

Significant adverse environmental impacts identified in the DEIS that cannot be mitigated will be designated as unavoidable environmental impacts and summarized in this section. Such impacts may be either short-term or long-term in nature.

V. Additional Impact Evaluations

The DEIS will include analyses of additional potential effects of the Proposed Action on:

- Growth Inducing and Cumulative Aspects – potential impacts related to the growth of the infrastructure in physical dimension and number. This analysis will include how these facilities can expand under the Telecommunications Act without any further approval or review from the City.

VI. Appendices to Accompany DEIS

The following elements will be included in the Appendices to the DEIS

All SEQRA documentation

References

Maps

Studies

Reports



CITY COUNCIL AGENDA

NO. 13

DEPT.: City Manager

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Public Hearing to amend local law Chapter 161, "Sewers", of the Rye City Code by amending Article II, "Sewer Rents", to order costs for infrastructure maintenance and access to be charged to all customer classes located in such municipality.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER	161
SECTION	5-14

RECOMMENDATION: That the Council hold a Public Hearing to amend local law Chapter 161, "Sewers", of the Rye City Code by amending Article II, "Sewer Rents", to order costs for infrastructure maintenance and access to be charged to all customer classes located in such municipality.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND: A proposal has been put forward to impose annual charges against properties that utilize the City's sewers. The annual sewer rents as provided in the attached Draft Local Law shall be applied to pay the costs of the operation, maintenance, upkeep, repair and replacement of the sanitary sewer system. The purpose of the local law is to preclude the cost of the sanitary sewer system from being funded solely from the property tax and to more equitably distribute such cost on all properties, including tax exempt properties.

See attached proposed Local Law.

CITY OF RYE
LOCAL LAW NO. 2017

A local law to amend Chapter 161, Article II “Sewer Rents” by adding provisions related to the imposition of sewer rents as follows:

Be it enacted by the City Council of the City of Rye as follows:

Section 1:

Article II. Sewer Rents

Section 161-5. Imposition of sewer rent.

- A. Pursuant to Article 14-F of the General Municipal Law, there is hereby established and imposed a plan of sewer rents applicable to the City of Rye’s sanitary sewer system. Such plan shall consist of annual charges against properties that utilize the City’s sewers. The annual sewer rents as provided for in this chapter shall be applied to pay the costs of the operation, maintenance, upkeep, repair and replacement of the sanitary sewer system. The purpose of the local law is to preclude the cost of the sanitary sewer system from being funded solely from the property tax and to more equitably distribute such cost on all properties, including tax exempt properties.
- B. In addition to any charges provided by law, the owner of any real property within the City of Rye served by a sewer system maintained by a municipality other than the City of Rye shall pay to the City of Rye a sewer rent for the use of such sewer system. Such sewer rent shall be at the rate of \$210 per connection thereto or shall be such pro rata amount allocable to such property as the City of Rye shall be required to pay for the use of such sewer system.

Section 161--6. Definitions

For the purpose of this Section, the definitions set forth herein shall be controlling:

SANITARY SEWER SYSTEM – The system for the collection of sewage, including all sewer mains, pumping stations, appurtenances or other facilities, which are owned, operated and maintained by the City of Rye, whether in or outside the City.

SEWER RENTS – A schedule of annual charges established and imposed by the City of Rye for the use of the sanitary sewer system.

SYSTEM USERS – The record owners of real properties that have sanitary facilities which discharge into the sanitary sewer system, whether the properties are located in or outside of the City of Rye.

TOTAL WATER CONSUMPTION – Shall mean the total volume of water delivered to a property by the water source for which a sewer user is responsible. The total volume of water shall be the sum of the volume of water metered by, or otherwise calculated by, the water source.

WATER CONSUMPTION – The consumption of water, measured in hundreds of cubic feet (“CCF”); one CCF equals 748 gallons.

WATER SOURCE – Shall mean any entity that delivers water to a property such as United Water Westchester or its’ successors in interest or assigns

Section 161--7. Collection of sewer rent; lien.

[renumbered from existing law as § 161-6 will now become § 161-7]

All sewer rents imposed hereunder shall be levied, connected and enforced in the same manner and at the same time as provided for the collection and enforcement of city taxes. If such sewer rents are not paid when due, it shall be the duty of the City Comptroller to charge and collect interest thereon at the same rates specified for the collection of city taxes. Such sewer rents shall constitute a lien upon the real property served by such sewer system, and such lien shall be prior and superior to every other lien or claim except the lien of an existing tax, assessment or other lawful charge.

Section 161-8. Sewer rent; adjustments; changes

- A. Sewer Rent. System users shall pay an amount based on the actual water consumption as determined by the water source using a water meter or other measuring device, or an estimated amount if the water source is unable to obtain a reading.**
- B. Adjustment. System users may apply to the City Manager for an adjustment of the amount of water consumption. Such application shall in writing, accompanied by any prescribed filing fee, and include all evidence to support the degree and amount of water usage that is claimed to be applied for uses that do not result in discharges into the sanitary sewer system. The Manager may grant an adjustment on an appeal as provided hereinafter, but in no event shall such adjustment exceed ten (10) percent of the water consumption.**
- C. Changes. The initial rate of the sewer rent shall be established by resolution of the City Council after public hearing on five days’ notice. Subsequent changes to the rate of the sewer rent and amount of penalty shall be made in the same manner.**

Section 161-9. Annual costs of the sanitary sewer system

The annual operation and maintenance costs of the sanitary sewer system shall be determined as part of the City' budget process. The annual costs shall include, but not be limited to:

- A. Personnel services, including salaries and fringe benefits**
- B. Contractual services**
- C. Repairs and replacement to the sanitary sewer system**
- D. Materials and supplies**
- E. Utilities**
- F. Building repair and maintenance**
- G. Equipment**
- H. Testing and sampling**
- I. Insurance**
- J. Indebtedness**
- K. Auditor's Fees**
- L. Reserve Fund**
- M. Contingency**

Section 161-10. Billing and payments.

- A. System users shall be billed by the City or entity to which billing authority has been designated on a frequency established by the City.**
- B. Sewer rents shall be paid to the City of Rye.**
- C. Sewer rent bills shall be sent to system users to the address to which real estate bills would be sent.**
 - (1) The failure of a system owner to receive a bill shall not excuse non-payment thereof, nor shall it act as a waiver of a penalty imposed herein prescribed.**
 - (2) A system user that intends to convey property shall notify the City or entity to which billing authority has been delegated sufficiently in**

advance of the closing title for a final reading and new ownership information.

D. System users may be afforded the option of receiving e-bills or direct deposit of payments. System users may request that a tenant receive bills, and such additional notice may be given as an accommodation.

E. Any adjustments granted, issued or agreed upon with regard to actual water consumption shall be indicated to system users and reflected in the next succeeding bill.

Section 161--11. Disposition of sewer rents.

[renumbered from existing law as § 161-7 will now become § 161-11]

Revenues derived from sewer rents, including interest, shall be credited to a special fund to be known as the "Sewer Rent Fund." Moneys in such fund shall be used for the payment of charges the City of Rye shall be required to pay for the use of such system.

Section 161--12. Exemption

The property of the City of Rye is solely exempt from the obligation to pay sewer rent.

Section 161-13. Agreement with water sources

Together with United Water Westchester, the City of Rye has petitioned the New York State Public Service Commission for authorization to contract with the water company to utilize its water consumption data, perform billing functions, as well as provide any other services necessary to administer this chapter. Similarly, the City of Rye has petitioned the Westchester Joint Water Works Board to utilize its water consumption data, perform billing functions, as well as provide any other services necessary to administer this chapter for those users receiving water from Westchester Joint Water Works.

Section 161-14. Applicable rules and regulations

[renumbered from existing law as § 161-8 will now become § 161-14]

The owner and occupant of real property served by such sewer system shall be subjected to the rules and regulations of the City of Rye and the municipality maintaining such sewer system relating to the use of such sewer system, insofar as applicable.

Section 2: Severability.

If any clause, sentence, paragraph, section or part of any section of this title shall be adjudged by any court of competent jurisdiction to be invalid, such judgment shall not affect, impair or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, section or

part thereof directly involved in the controversy and in which such judgment shall have been rendered.

Section 3: Effective date.

This local law will take effect immediately on filing in the office of the Secretary of State.



CITY COUNCIL AGENDA

NO. 14

DEPT.: City Manager

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Authorization for the City Manager to enter into an agreement with Brown & Weinraub, PLLC to represent the City before the New York Public Service Commission to allow municipalities to receive water usage data.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the Council authorize the City Manager to engage an attorney to represent the City before the New York Public Service Commission.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND: Rye, along with other municipalities, seeks to secure water usage data of customers in their community from Suez Water in order to develop a rate structure for a sewer use fee and billing procedures. Suez Water has refused to release this information to the requesting municipalities. The City of New Rochelle, Village of Pelham Manor, Village of Bronxville, Village of Hastings, Village of Ardsley, Village of Rye Brook, and the Village of Tuckahoe have agreed to retain an attorney to petition the PSC to allow the data to be provided to these Municipalities. The estimated cost for the attorney is \$41,000 which will be shared evenly by all of the participating Municipalities. The City Council is asked to authorize the City Manager to execute the agreement with Brown & Weinraub, PLLC to intervene with the Public Service Commission to allow municipalities to receive water usage data from Suez Water.

See attached.

Estimated budget for PSC counsel services:

Brown & Weinraub will prepare an intervenor motion/petition, with a memorandum of law and affidavits. We'll have draft reply papers in answer to any oppositions we may receive. There may also be oral argument.

Since we don't know the amount of opposition (if any) in this particular case, the amount of hours I'm putting down for each is a rough estimate:

- Draft and prepare papers in support of application to intervene: 25 hours
- Discovery (document demands and responses, interrogatories and responses): 15 hours
- Routine correspondence/discussion with adversaries and the PSC: 5 hours
- Hearing preparation: 25 hours
- Hearing: 8 hours
- Post-Hearing Brief: 20 hours

Total Estimate: 103 hrs x \$400 = \$41,200

Given the above, I would estimate probable cost range, from between \$35,000 and \$55,000. One of the great unknowns is how many motions of other parties we may have to respond to over the course of the case. Looking at the e-docket on the PSC website, it looks like these cases with Suez generate a lot of filings. Even if we were to approach the case tightly, we could be forced to put in work answering interim motions of other parties.



CITY COUNCIL AGENDA

NO. 15

DEPT.: City Manager

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Consideration of a Resolution to petition the Public Service Commission (PSC) to order hydrant costs for infrastructure maintenance and access to be charged to all customer classes located in such municipality.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the Council consider the Resolution to petition the Public Service Commission (PSC) to order hydrant costs for infrastructure maintenance and access to be charged to all customer classes located in such municipality.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND: A proposal has been put forward to apply to the Public Service Commission to have any infrastructure maintenance and access costs it is charged by a water-works corporation to be charged to all customers across all customer classes located in the municipality. This would apply to all residences who receive their water from SUEZ water.

See attached Resolution to the Public Service Commission (PSC).

CITY OF RYE

RESOLUTION IN SUPPORT OF CHAPTER 433 OF THE LAWS OF NEW YORK, 2013 AUTHORIZING THE PUBLIC SERVICE COMMISSION, UPON APPLICATION BY THE CITY OF RYE, TO ORDER COSTS FOR INFRASTRUCTURE MAINTENANCE AND ACCESS TO BE CHARGED TO ALL CUSTOMER CLASSES LOCATED IN SUCH MUNICIPALITY

WHEREAS, Chapter 433 of the laws of 2013 provides that a municipality as defined therein may apply to the Public Service Commission to have any infrastructure maintenance and access costs it is charged by a water-works corporation to be charged to all customers across all customer classes located in the municipality provided the municipality has held a public hearing with notice on the matter and has adopted a resolution and determined by a majority vote of the total voting strength of its governing body that it is in the overall public interest to have such costs charged to all customers across all customer classes; and

WHEREAS, the City of Rye duly held a public hearing with notice on the matter.

NOW, THEREFORE, BE IT RESOLVED, after a public hearing, that the City of Rye determines that it is in the overall public interest to have such costs charged to all customers across all customer classes; and

BE IT FURTHER RESOLVED, that the City Manager shall make an application to the Public Service Commission by transmittal of this Resolution and any other necessary papers requesting that the costs for infrastructure maintenance and access be included in the rate charges to all customer classes and apportioned amount all customers located in this municipality.



CITY COUNCIL AGENDA

NO. 16

DEPT.: City Manager

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Consideration of a Resolution to petition the Westchester Joint Water Works (WJWW) Transportation Corporation Board to order hydrant costs for infrastructure maintenance and access to be charged to all customer classes located in such municipality.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the Council consider the Resolution to petition the Westchester Joint Water Works (WJWW) Transportation Corporation Board to order hydrant costs for infrastructure maintenance and access to be charged to all customer classes located in such municipality.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND: A proposal has been put forward to petition the Westchester Joint Water Works (WJWW) Transportation Corporation Board to have any infrastructure maintenance and access costs it is charged by a water-works corporation to be charged to all customers across all customer classes located in the municipality. This would apply to all residences who receive their water from Westchester Joint Water Works.

See attached Resolution to the Westchester Joint Water Works (WJWW) Transportation Corporation Board.

CITY OF RYE

**RESOLUTION IN SUPPORT OF PETITION TO
WESTCHESTER JOINT WATER WORKS TO ORDER
COSTS FOR INFRASTRUCTURE MAINTENANCE AND
ACCESS TO BE CHARGED TO ALL CUSTOMER
CLASSES LOCATED IN SUCH MUNICIPALITY**

WHEREAS, the City would like to have any infrastructure maintenance and access costs it is charged by a Westchester Joint Water Works to be charged to all customers across all customer classes located in the municipality; and

WHEREAS, the City of Rye duly held a public hearing with notice on the matter.

NOW, THEREFORE, BE IT RESOLVED, after a public hearing, that the City of Rye determines that it is in the overall public interest to have such costs charged to all customers across all customer classes; and

BE IT FURTHER RESOLVED, that the City Manager shall make an application to the Westchester Joint Water Works Board by transmittal of this Resolution and any other necessary papers requesting that the costs for infrastructure maintenance and access be included in the rate charges to all customer classes and apportioned amount all customers located in this municipality.



CITY COUNCIL AGENDA

NO. 17

DEPT.: City Council

DATE: October 4, 2017

CONTACT: Mayor Joseph A. Sack

AGENDA ITEM: Consideration of a request from the Rye Golf Club Commission to commence an Article 7 tax certiorari proceeding against the Board of Assessment Review.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the Council consider the request from the Rye Golf Club Commission.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND:

The Rye Golf Club filed a complaint requesting a reduction in its sewer tax. The Rye Golf Club currently has an assessment of \$557,500 which equalizes to a full market value of \$33.994 million based on the latest equalization rate. The request was heard by the Board of Assessment review and denied. The Rye Golf Club Commission is requesting that the City Council authorize an Article 7 tax certiorari proceeding against the Board of Assessment Review.



CITY COUNCIL AGENDA

NO. 18

DEPT.: Finance

DATE: October 4, 2017

CONTACT: Joseph S. Fazzino, Deputy City Comptroller

AGENDA ITEM: Resolution to transfer \$80,000 from the Contingency Account to the Assessor Appraiser and Legal Services accounts to fund small claims assessment review (SCAR) proceedings.

FOR THE MEETING OF:
October 4, 2017

RECOMMENDATION: That the City Council adopt the following resolution:

WHEREAS, City staff has determined that the amounts required to fund small claims assessment review (SCAR) proceedings was not anticipated and was not provided for in the adopted 2017 budget, and;

WHEREAS, the General Fund Contingent Account has a balance of \$340,459, now therefore be it;

RESOLVED, that the City Comptroller is authorized to transfer \$80,000 from the General Fund Contingent Account to the Assessor Appraiser and Legal Services accounts.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND:

Use and status of the Contingent Account:

01/01/2017 Beginning balance	\$523,559
01/25/2017 Transfer to Capital Projects Fund, Dearborn Ave Seawall	(40,000)
07/12/2017 Transfer to Legal Fees	(50,000)
09/13/2017 Transfer to Fire Salaries Expense Account	(93,100)
10/04/2017 Transfer to Assessor Appraiser and Legal Services Accounts	<u>(80,000)</u>
10/04/2017 Balance	<u>\$260,459</u>



CITY COUNCIL AGENDA

NO. 19

DEPT.: Finance

DATE: October 4, 2017

CONTACT: Joseph S. Fazzino, Deputy City Comptroller

AGENDA ITEM: Resolution to transfer \$150,000 from the Contingency Account to the Legal Department to fund legal services.

FOR THE MEETING OF:
October 4, 2017

RECOMMENDATION: That the City Council adopt the following resolution:

WHEREAS, City staff has determined that the amounts required for the cost of legal services performed and on-going for various legal cases were not anticipated and were not provided for in the adopted 2017 budget, and;

WHEREAS, the General Fund Contingent Account has a balance of \$260,459, now therefore be it;

RESOLVED, that the City Comptroller is authorized to transfer \$150,000 from the General Fund Contingent Account to the City Legal Services Account.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND:

Use and status of the Contingent Account:

01/01/2017 Beginning balance	\$523,559
01/25/2017 Transfer to Capital Projects Fund, Dearborn Ave Seawall	(40,000)
07/12/2017 Transfer to Legal Fees	(50,000)
09/13/2017 Transfer to Fire Salaries Expense Account	(93,100)
10/04/2017 Transfer to Assessor Appraiser and Legal Services Accounts	(80,000)
10/04/2017 Transfer to Legal Fees	<u>(150,000)</u>
10/04/2017 Balance	<u>\$110,459</u>



CITY COUNCIL AGENDA

NO. 20

DEPT.: Police

DATE: October 4, 2017

CONTACT: Michael C. Corcoran, Jr., Commissioner of Public Safety

AGENDA ITEM: Consideration of the proposed changes and additions to the Rules and Regulations of the City of Rye Police Department: General Order #102.2, General Order #102.3, General Order #102.4, General Order #113.4, General Order #116.3, and General Order #117.7.

FOR THE MEETING OF:

October 4, 2017

**RYE CITY CODE,
CHAPTER
SECTION**

RECOMMENDATION: Approval of a revision to five (5) General Orders and the addition of one (1) new General Orders.

IMPACT: Environmental Fiscal Neighborhood Other:
Enhancement of the operational effectiveness of the Department.

BACKGROUND:

- Revision of General Order #102.2 regarding the rank structure of the City of Rye Police Department
- Revision of General Order #102.3 regarding the authority and command of police officers on duty
- Revision of General Order #102.4 regarding job classifications of the City of Rye Police Department
- Revision of General Order #113.4 regarding policies and procedures for the identification, collection, and preservation of physical evidence
- Addition of General Order #116.3 regarding the performance evaluation of City of Rye Police Personnel
- Revision of General Order #117.7 regarding the use of safety belts/restraining devices in City of Rye Police Department vehicles

The General Orders have been provided to the Rye Police Association for review pursuant to the provisions of the collective bargaining agreement.

CITY OF RYE POLICE DEPARTMENT

General Order #102.2	New [] Revised [x] Supersedes: 102.2 issued 08/01/13
Subject: Order of Rank	
Date Issued	Date Effective
Page 1 of 2	
Issuing Authority: Michael C. Corcoran Jr., Commissioner of Public Safety	

PURPOSE

To establish the rank structure of the City of Rye Police

Department. POLICY

It shall be the policy of this Department to adhere to its order of rank/chain of command in order to avoid conflicts and promote unity of command.

Commissioner of Public Safety

It shall be the duty of the Commissioner to administer the Police Department of said City, of which Department he/she shall be the Chief Executive Officer, and he/she shall have full control of the members thereof.

Sworn Rank Structure

1. Police Lieutenant
2. Detective Sergeant/Sergeant
3. Detective/Police Officer

Presence of Equal Rank

Command is exercised by virtue of office or special assignment of officers who are eligible by law to exercise command. Subject to direction from higher command, a commanding officer has direct control over all members and employees within his command. When officers of equal rank are present and in the performance of the same operation, the senior ranking officer shall be in command, except as outlined in section 102.3 of this Manual.

When two Sergeants are assigned to perform duty on a particular tour as the result of an overlap in the duty schedule, the senior Sergeant will be designated the Tour Supervisor and will be in command of that tour. If more than one Sergeant is assigned to a tour for reasons other than an overlap in the schedule, i.e., "drop down" day, training assignment, or other duties, the Sergeant assigned to the squad normally scheduled to work during that tour will be designated the Tour Supervisor, and will be in command of activities during that tour.

Seniority

Seniority is determined first by rank, then by continuous service in rank, then by date of appointment to the City of Rye Police Department and then by highest score on Civil Service list from which appointments were made.

Obedience to Laws, Ordinances, Rules and Regulations

Definition

Employees of the department will obey all Federal and State Laws. They will also obey all laws and ordinances of the department and of other municipalities in which the employees may be present. Employees will obey all rules, regulations, directives and orders as may be issued by the department. The term "employee" includes both sworn and non-sworn personnel.

Authority

Employees of the department will obey all lawful orders issued to them by competent authority.

Violation of Law

Supervisory officers of the department will not knowingly or willfully issue any order in violation of any law or ordinance or of any rule, regulation, general or special order of the department. Supervisory officers are responsible and will be held accountable for the performance of employees working on their tour.

Obedience to Orders

Employees will promptly obey any lawful order of a superior officer regardless of assignment. This will include any order relayed to them by an employee of the same or lesser rank.

No employee of the department is required to obey any order which is contrary to the laws of the United States, State of New York, or ordinances of the community; however, such refusal to obey is the responsibility of the employee and he/she will be required to justify his/her action and will be held responsible for his/her actions or lack of action.

CITY OF RYE POLICE DEPARTMENT

General Order #102.3	New [] Revised [x] Supersedes: 102.3 issued 8/01/13
Subject: Authority and Command	
Date Issued	Date Effective
Page 1 of 2	
Issuing Authority: Michael C. Corcoran Jr., Commissioner of Public Safety	

General Duties

Command is exercised by virtue of office, or special assignment of officers who are eligible by law to exercise command. Subject to direction from higher command, a commanding officer has direct control, and shall issue lawful orders to all members, and employees within his command.

At the scene of a police incident, the uniformed member of the service assigned to the post concerned shall be in command, until relieved by a higher-ranking member of the service. If officers of equal rank are present at the scene, and neither is the assigned post officer, the senior officer shall be in command unless relieved by a higher-ranking member.

If more than one sergeant is present at the scene of an incident, the sergeant designated as the Tour Supervisor shall be in command unless relieved by a higher-ranking member.

Duties and Responsibilities:

A member who succeeds to any command or duty stands in regard to his duties, in the same situation as his predecessor. The member relieved shall turn over to his successor all lawful orders relating to that position in force at the time, and all funds and properties pertaining to it. He/she shall receive, upon request, receipts showing the condition of each article so transmitted.

An officer relieving or temporarily filling the position of a superior, in an acting capacity, shall be vested with all the authority and responsibilities of the superior, but the acting officer shall not interfere with, countermand, or modify the lawful orders previously issued by the superior, except in extreme emergency. However, when such action is taken, a report shall be made to the Commissioner of Public Safety through the chain of command, in writing, stating the reasons therefore.

Members acting in the capacity of a higher rank shall be accorded the same obedience and respect as the permanent ranking officer.

Should a lawful order conflict with any previous lawful order issued by any other ranking officer or with any department or provision of the Department Rules and Regulations, the member to whom such order is issued shall respectfully call attention to the conflict. Responsibility for countermanding the original order then rests with the individual issuing the second order. If so directed, the latter command shall be obeyed.

Issuance of orders to a subordinate does not relieve a ranking officer from the responsibility to see that the lawful orders are obeyed. Ranking officers shall not perform the duties regularly assigned to a subordinate when the subordinate *is* available to perform them.

Adherence to the chain of command shall not be by-passed except in an emergency.

A ranking officer may exercise command over subordinates not under his or her regular command when in his/her judgment such action is necessary in order not to jeopardize the police purpose or the reputation of the Department.

Ranking officers in command of the various branches of the Department shall establish methods, techniques, and procedure best suited for the accomplishment of the functional objectives of their particular divisions. Such procedures and techniques shall be subject to the approval of the Commissioner and shall not be in conflict with the law or any rule or lawful order of the Department. Whenever authority *is* delegated to a member of the Department, he/she shall be held accountable for the proper use of such authority.

In order to maintain a proper chain of command, a member will, as a general rule, be required to take direct orders from and be responsible to, one ranking officer. Ranking officers, however, shall exercise direct command over lower ranks outside their usual command in all situations where the police purpose or the reputation of the Department *is* jeopardized; or if no other provision is made for personnel temporarily unsupervised. If a ranking officer requires a subordinate, not of his command, to leave a regular assignment, the ranking officer so directing will inform the subordinate's supervisor as soon as possible.

At every level within this Department, personnel must be given the authority to make decisions necessary for the effective execution of their responsibilities.

Each department employee will be held fully accountable for the use of, or failure to use, delegated authority. Any employee who has any questions concerning his/her delegated authority should bring such questions to the attention of his/her supervisor.

Any gross improper use of authority or failure to accept authority will be reported through command channels as rapidly as possible.

CITY OF RYE POLICE DEPARTMENT

General Order # 102.4	New []	Revised [X]
Supersedes: 102.4 issued 8/1/13		
Subject: Job Classifications		
Date Issued XXXX	Date Effective XXXX	Page 1 of 15
Issuing Authority: Michael C. Corcoran, Jr., Commissioner of Public Safety		

PURPOSE

To clearly state the various roles and responsibilities of members of the City of Rye Police Department.

Duties and Responsibilities

The City of Rye Police Department has the duty to:

1. Protect life and property
2. Prevent Crime
3. Detect and arrest offenders
4. Preserve the public peace
5. Enforce all laws and ordinances over which the Police Department has jurisdiction

Head of Department and Subordinates

1. The head of the Police Department will be the Commissioner of Public Safety. He/she will be appointed by the City Manager and will serve at the pleasure of the City Manager. The Commissioner will have at least the qualifications and experience specified by the Council.
2. In addition to the Commissioner of Public Safety, the Council will determine the number of Lieutenants, Sergeants and Patrolmen as recommended by the Commissioner, all of whom will be appointed by the Commissioner.
3. The Commissioner will appoint, as vacancies in the Department occur, all officers and members thereof. He/she will also appoint Bay Constables and special officers for

such purposes and upon such occasions as he/she may deem proper if not in conflict with law or this chapter.

4. The Mayor and City Manager will be ex-officio members of the City of Rye Police Department.
5. The Commissioner will, when he/she deems it necessary for the good of the Department, suspend or remove any officer or employee whom he/she may appoint or employ, except as otherwise provided by law.

Powers and Duties

1. The City of Rye Police Department will have all functions customarily performed by a police department, and will exercise all powers and perform all duties pertaining or necessarily incident thereto.
2. Under the supervision of the City Manager, the Commissioner will promote and enforce rules and regulations governing police discipline, practices and procedures for the administration of the Department and for the discipline and efficiency of the Police Department. The rules and regulations will be approved by the Council before becoming effective. He/she will have authority to administer oaths and take evidence, affidavits and acknowledgements in all proceedings relating to the Department.

In addition, the Commissioner of Public Safety will:

- a. Exercise the powers to request and grant public assistance as provided in 209-m of the General Municipal Law.
- b. Be responsible for the administration, supervision and training of the auxiliary police and will coordinate the same with the City Manager.
- c. Be responsible for the administration and supervision of Police Officers assigned to policing and enforcing all laws and ordinances applicable to the Rye Town Park.
- d. Assist the City Manager in the administration of flood, disaster and other emergency plans.
- e. Work in coordination with other municipalities, or agencies, for an emergency ambulance service on behalf of the inhabitants of the City.
- f. Institute and formulate, in coordination with the heads of other Departments of the City, safety programs to reduce accidents and avoid injury or damage to persons and property.
- g. Perform such other duties as may be prescribed by law, the Council or the City Manager.

Patrol Division

The assigned activities, functions and responsibilities of the Patrol Division are:

1. Protective patrols
2. Control of assemblies
3. Traffic control and direction
4. Vehicle and Traffic Law enforcement
5. Motor vehicle accident investigation
6. Parking control
7. Escorts
8. Service of arrest warrants and summonses
9. Protection of persons and property
10. General crime prevention
11. Police services calls
12. Preliminary investigations of crime
13. Emergency services
14. Enforcement of Revocation and Suspension Orders
15. Radar equipment
16. School Crossing Guards
17. Traffic safety
18. Maintenance of police vehicles
19. Traffic surveys
20. Traffic signs, controls, devices, etc.
21. Safety equipment

Patrol Division Commander

The Patrol Division Commander will be responsible for all matters pertaining to the operation of the Patrol Division.

1. His/her administrative duties will include planning, organizing and directing the activities of assigned personnel and maintenance of harmonious relationships with other police divisions and agencies.
2. He/she will promptly obey and transmit all orders of the Commissioner, ensuring uniform interpretation and full compliance.
3. He/she will exercise authority in proportion to his/her responsibility and be held accountable for the effectiveness of the Division.
4. Ordinarily, his/her hours of duty will be in accordance with the needs of the Division, but he/she will be available for duty at all times in case of special need or emergency.

5. He/she will familiarize himself/herself with administrative policy and execute effective programs for the efficient performance of the Division including:
 - a. Prevention and suppression of crime
 - b. Protection of life and property
 - c. Apprehension and prosecution of offenders
 - d. Preservation of the peace
 - e. Enforcement of regulatory measures
6. He/she will analyze crime and incident reports, using facilities of the Detective Division and Staff Services to determine trends as a basis for effective deployment of resources and direction of operations.
7. He/she will examine time and activity reports to insure proper deployment and control of division personnel.
8. He/she will be diligent in enforcing the observance of high ethical standards in the operations and conduct of division personnel.
9. He/she will collaborate with other division heads and staff officers for the purpose of:
 - a. Organizing and conducting a progressive program of personnel training
 - b. Improving personnel working conditions for maximum efficiency and morale
 - c. Adequately recognize outstanding personnel performance
 - d. Improving efficiency and cooperation in areas of common responsibility
 - e. Advancing public relations programs for promoting public confidence and support
 - f. Using personnel records for individual guidance and improvement
 - g. Insuring the proper economical use of Departmental property and equipment
 - h. Promoting personnel and vehicle safety
10. He/she will systematically investigate all cases of apparent or alleged misconduct by division personnel, preparing reports and reporting his/her findings to the Commissioner of Public Safety.
11. He/she will, when required, assist with inquiries and investigations involving members of the division.
12. He/she will, at all times, keep informed of the affairs and activities of his/her division and be assured that the duties of his/her subordinates are properly discharged.

13. He/she will, at irregular and unannounced times, visit all units and areas under his/her command; inspecting them for condition, efficiency of operation, and conformity with the rules and regulations, initiating corrective action or disciplinary measures as required.
14. He/she will exercise general supervision and inspection of all public places within the City and assure compliance of laws and ordinances.
15. He/she will insure prompt reporting to proper authority all instances of negligence, violation of law or other matters falling within the jurisdiction of Federal, State or local agencies.
16. He/she will submit required reports reflecting the problems, services and activities of the division.
17. He/she will insure that all necessary orders, instruction and communications are available for outgoing squads.
18. He/she will be responsible for the safe, expeditious movement of vehicles and the enforcement of laws, ordinances and regulations governing traffic.
19. He/she will be diligent to prevent overt acts arising from the development of group tensions, unlawful assemblies or strikes and take appropriate action.
20. He/she will be responsible for the repression of crime and the enforcement of laws, ordinances and regulations pertaining to criminal activities.
21. He/she will, with specific instructions, establish the required details and assignments necessary to carry out the functions related to his/her division. He/she will be guided in his/her assignment by the needs of the department and assign personnel where they will be most useful and efficient.
22. He/she will observe probationary patrol officers assigned to his/her division. Prior to the expiration of their probationary period, he/she will evaluate their appearance, intelligence, discipline, efficiency, initiative, general adaptability to police work and their overall ability to obtain desirable results, and advise the Commissioner. He/she will make recommendations as to whether or not, in his/her opinion, each individual officer should receive permanent appointment.
23. He/she will perform such other duties as may be assigned to him/her by the Commissioner of Public Safety.

24. He/she has overall responsibility for ensuring a safe and free flow of vehicle and pedestrian traffic in the City.
25. He/she will prepare annual performance evaluations of all ranking officers under his/her supervision and submit them to the Commissioner.
26. He/she will assist with payroll, budgeting, and acquisition/maintenance of Patrol equipment (i.e. vehicles, radios, weapons, uniforms, first aid supplies, etc.).
27. He/she will review reports for accuracy and any necessary follow up.
28. He/she will serve as a point of contact for other departments within the City of Rye, other agencies, outside contractors and news agencies.
29. He/she will directly supervise the Parking Enforcement Officers and any Patrol Officer that is not under the supervision of a Sergeant.
30. He/she will oversee the 1033 Federal Excess Property Program.

Duties of Patrol Sergeant

Supervisory members will be responsible for the enforcement of all laws and ordinances, department rules and regulations, orders, procedures, discipline, punctuality, attendance, appearance, good order and efficiency of members within their purview.

Supervisory members will:

1. Perform specific duties and functions as assigned by his/her superior officer.
2. Obey all lawful orders.
3. Perform assigned tasks.
4. Provide leadership and guidance in developing loyalty and dedication to the police profession.
5. Train, direct, supervise and evaluate members in their assigned duties. Recommend remedial or disciplinary actions for inefficient, incompetent or unsuitable members.
6. Communicate orders, information and instructions.

7. Inform his/her relief of all necessary police matters.
8. Be present at prescribed roll calls.
9. At unannounced intervals, inspect personnel, vehicles and equipment.
10. Take appropriate action in regards to absentees and any deficiencies in patrol officers and equipment.
11. Insure that departmental resources are used effectively.
12. Insure that recovered property is handled in accordance with department orders.
13. Account for all monies and valuables received, processed and disbursed in conformance with department orders.
14. Inquire into the circumstances of all arrests to assure that all persons are handled in conformance with department order.
15. Know and conform to the current bail provisions of the Criminal Procedure Law.
16. Report promptly matters of police importance to his/her superior officer.
17. Insure that all appropriate City Departments are informed of emergencies which require their attention.
18. Direct activities of subordinate members for the purpose of achieving the objectives of the Police Department.
19. Perform those duties of the members of the Department that are applicable to him/her.
20. When in uniform maintain a military bearing and render military courtesy to superior officers.
21. The Patrol Sergeant will inspect the outgoing squad when acting as the Tour Supervisor.
22. The Patrol Sergeant will follow up complaints and see that they receive proper attention.
23. The Patrol Sergeant will patrol the entire City. He/she will visit each Patrol Officer at irregular intervals and supervise their activities.
24. The Patrol Sergeant will inspect, without delay, the activity sheets of Patrol Officers presented to him/her at

the expiration of their tours of duty, certify such inspection by his/her signature, record any pertinent information, and take the proper action with respect thereto.

25. He/she will review and approve or reject all reports and arrests generated during that tour for conformity with procedure, attention to detail and completion of pertinent information.
26. Serve as a role model for members under his/her command at all times, on and off duty.
27. He/she will prepare and annual evaluation report of each member of his/her squad and submit them to the Patrol Commander.

Detective Division

The assigned activities, functions and responsibilities of the Detective Division are:

1. Investigation of crimes
2. Identification services
3. Warrants
4. Wire-tap requests
5. Intelligence gathering and reports
6. Analyze crime reports and trends
7. Transmit and receive fingerprints
8. Conduct follow up on complaints
9. Maintenance of police vehicles and equipment assigned to the Detective Division
10. Respond to requests for letters of good conduct
11. Any additional functions specified in G.O. 118.1

Detective Division Commander

The Detective Division Commander will be responsible for matters pertaining to the operation of the Detective Division.

1. He/she will be responsible for investigation of all felony and serious misdemeanor cases, as well as any other incidents that merit further investigation, or as directed by the Commissioner.
2. He/she will have the responsibility of planning, organizing and directing the activities of assigned personnel and will maintain harmonious relationships with other divisions and allied agencies.
3. He/she will exercise authority in proportion to his/her responsibility and be accountable to higher authority.
4. He/she will promptly obey and transmit all orders from higher authority.
5. Ordinarily his/her hours of duty will be in accordance with the needs of the division, but he/she will be available for duty at all times in cases of special need or emergency.
6. He/she will examine reports for conformity with the procedure for complete investigation and reporting, referring improper or incomplete reports through channels for correction.
7. He/she will analyze crime and incident reports daily, using facilities of the Staff Services Division to determine trends as a basis for deployment of personnel and direction of operations.
8. He/she will inspect time and activity reports of assigned personnel to insure proper direction and control.
9. He/she will be responsible for assigning vacations, holidays, overtime and leaves for personnel assigned to the Division. He/she will maintain a sufficient working force to conform to the needs of the division.
10. He/she will be diligent in enforcing the observance of high ethical standards in the operation and conduct of Division personnel.
11. He/she will collaborate with other division heads and staff officers for the purpose of:
 - a. Conducting a progressive program of personnel training
 - b. Improving personnel working conditions for maximum efficiency and morale
 - c. Adequately recognizing outstanding performance of assigned personnel
 - d. Improving efficiency and cooperation in areas of common responsibility

- e. Advancing public relations programs for promoting public confidence and support
 - f. Using personnel records for individual guidance and improvement
 - g. Insuring the proper and economical use of division property and equipment
12. He/she will, at all times, be aware of the affairs of the division and be assured that the duties of his/her subordinates are properly discharged.
 13. He/she will, at irregular and unannounced times, visit all units and areas under his/her command; inspecting them for condition, efficiency and conformity with regulations and orders, initiating corrective action as indicated.
 14. He/she will be responsible for the maintenance of proper records and reports of the division.
 15. He/she will acquire information concerning places in the City known to be, or suspected of being, frequented by criminals and direct the attention of the Detective Division to such places. When criminals are arrested he/she will, when possible, take necessary steps to assure that personnel of the department are familiar with their appearance, history, methods and habits. He/she will arrange for interviews with all persons held for investigation or persons charged with serious crimes and assist members of the division in preparing cases for proper presentation in court.
 16. He/she may assign any member of the Division to special investigations when required. He/she will keep higher authority informed of such special assignments.
 17. He/she will insure prompt reporting to proper authorities of any violation of law or other matter failing within the jurisdiction of such authority.
 18. He/she will maintain files on general criminal intelligence. The files will contain information on known and suspected members of criminal organizations and their activities, place of residence, associates and other pertinent data. He/she will forward to proper agencies information of violations of law outside the jurisdiction of this department.
 19. He/she will be responsible for the repression of crime through the apprehension of criminals and the proper conduct of investigations in order to accomplish this goal.

20. He/she will be responsible for all evidence and property control for the department.

Duties of Detectives

1. Members assigned as detectives will hold the permanent rank of Police Officer.
2. Members of the Detective Division will be under the direction of the Detective Division Commander. They are charged particularly with the investigation of criminal cases in the City, the arrest of criminal offenders, the location of missing persons, the recovery of lost or stolen property and the proper processing of fugitive requisitions. They will have responsibility for all conditions pertaining to or relating toward the fostering of crime.
3. They will acquire a thorough knowledge of the Penal Law and code of criminal procedure and the elements that constitute criminal acts in violation of the various sections thereof; and will have a thorough knowledge of the rules of evidence. They will familiarize themselves with the criminal element, acquiring knowledge of their practices, hangouts and associates, and acquaint themselves with the various methods adopted by criminals in the commission of crime and evading detection.
4. They will follow up each case assigned to them until there is a final official disposition of such case. They will interview and advise complainants in person, at reasonable intervals, of the status of the case under investigation, in which the complainant is concerned.
5. They will cooperate with all members of the Department in the prevention and detection of crime, the arrest of criminals and the enforcement of all laws and ordinances.
6. They will be assigned hours by the Detective Commander. At the completion of each tour of duty, they will submit necessary reports of their activities and supplementary offense reports on previously assigned offenses. They will keep their Commanding Officer advised of the progress and development of cases to which they are assigned.
7. They will keep themselves neat and clean, properly armed and their appearance will be businesslike and presentable (dress shirts, tie and jacket), unless under specific orders of a superior officer in the line of duty or on a detail that requires other dress, such as a uniform.

8. The fact that a Detective is detailed to special duty in the detection and prevention of crime will not be construed as relieving him/her of the responsibility of taking prompt action in the matter of any violation of the laws, ordinances, rules and regulations, coming to his/her attention.
9. All lost, found or stolen property coming into the hands of members of the Department will be thoroughly checked and recorded by the Detective Division immediately, and they will make the proper effort to locate the owner of such recovered property.
10. They will be held accountable for the condition, care and proper use of the motor vehicles under their control.
11. Members of the Department who are acting detectives will be guided by these regulations and will be supervised by Detective Division personnel.

Records Division / Staff Services

The assigned activities, functions and responsibilities of the Records Division is to provide staff support to the Police Department.

1. Maintain computerized record management system
2. Assist with department IT issues on software
3. Scan in all report paperwork into incidents
4. Accreditation manager
5. RICCI Administrator
6. Oversee and maintain all alarm permit applications and related records
7. Taxi permit applications and inspections
8. Returning deficient paperwork to any tour supervisor who is responsible for immediate corrections and refiling
9. NIBRS reporting
10. Management of department forms

Crime Prevention Unit

The function of the Crime Prevention Unit is to educate the citizens of Rye in methods of protecting their person and property.

The Crime Prevention Unit will perform the following functions:

1. Public education regarding methods of home, business and personal security
2. Security analysis of residents homes and business establishments
3. Coordination of neighborhood block watch groups
4. Liaison with State Office of Crime Prevention
5. Maintain liaison with other police departments' Crime Prevention Units for the purpose of keeping abreast of changing modes of criminal operation.
6. Keep abreast of new crime prevention techniques for dissemination to the public

Juvenile Aid Unit

The function of the Juvenile Aid Unit is to educate citizens of Rye in public safety, prevent juvenile delinquency and crime among minors, enforce laws specifically relating to children, public relations and maintain liaison with schools.

The assigned activities, functions and responsibilities of the Juvenile Aid Unit are:

1. To enforce the laws, rules and regulations involving youth arrests and activities.
2. To develop liaison with cultural, civic and religious organizations within the City of Rye which will assist the department in youth activities.
3. To develop working relationships with surrounding Police Departments and other agencies involved in youth activities.
4. To develop an open line of communication with the Patrol and Detective Divisions for the formal/informal exchange of information concerning the shared interest of youth activity.
5. To assist the Commissioner of Public Safety in any and all activities as assigned.

6. To develop within the Rye Police Department an interest in the youth of the community and to assist in youth development programs as well as the Adopt a School program.
7. To develop a liaison with the local schools to give Adopt a School/youth officers better access to the information that is being taken by school administrators and assisting the school with police matters.
8. To develop educational and enforcement activities regarding drug abuse within the City of Rye.

Police Dispatcher

1. The dispatcher's post is the immediate area of the desk. He/she will not leave the post unless properly relieved and will notify relief of where he/she can be reached.
2. The dispatcher is responsible for the interception and timely relay of all radio, telephone, walk in and electronic information received.
3. Except as otherwise directed by a superior officer, the dispatcher will not permit anyone behind the desk except members of the department on official business.
4. During his/her tour of duty, the dispatcher is responsible for proper receipt of all complaints and messages.
5. The dispatcher will notify the Tour Supervisor of any specific duty assignments and inform him/her of any other necessary information or bulletins so they may be read to the outgoing squad.
6. The dispatcher will complete all necessary forms and records during his/her tour of duty.
7. The dispatcher will stop all civilians at the desk and inquire as to their business. He/she will direct them to the proper division after obtaining photo identification, making a log entry and providing a guest pass. The dispatcher will announce their visit and obtain an escort if necessary.
8. The dispatcher will, at all times, in their dealing with members of the Department and public, display efficiency, promptness, accuracy, trustworthiness and courtesy.
9. It will be the duty of the dispatcher to insure that persons will not unnecessarily congregate in the desk area.

10. He/she will notify the Tour Supervisor if a member of the department is absent from his/her post or fails to make required radio calls.
11. The dispatcher will be observant, discreet and logical in the performance of his/her duty. The dispatcher will obey and execute promptly all lawful orders issued by their superiors.

Special Investigations Unit

The Special Investigations Unit Commander will be responsible for matters pertaining to the operation of the Special Investigations Unit.

He/she will oversee Narcotics Enforcement, Internal Affairs and any other investigations deemed appropriate by the Commissioner of Public Safety.

CITY OF RYE POLICE DEPARTMENT

General Order # 113.4	New []	Revised [X]
Supersedes: 113.4 Issued 7/22/98		
Subject: Evidence		
Date Issued	Date Effective	Page 1 of 7
Issuing Authority: Michael C. Corcoran, Jr., Commissioner of Public Safety		

PURPOSE:

The purpose of this order is to establish policies and procedures for the identification, collection and preservation of physical evidence at the scene of a crime, traffic accident or other major incident, and to establish the role and authority of the crime scene processor in the investigation of a crime scene.

POLICY:

It shall be the policy of this department to search crime scenes in order to identify, collect and preserve evidentiary items, and to maintain the chain of custody for presentation of the collected evidence in court.

PROCEDURE:

EVIDENCE

Where an officer investigates an offense and takes possession of any physical evidence which might have value in a later prosecution, they will mark the items for identification and personally retain the evidence up to the point where it is turned over to the evidence locker or Duty Detective.

1. Evidence/Property collected should be labeled with but not limited to:
 - a. Date.
 - b. Time of collection.
 - c. Description.
 - d. Where located.
 - e. Serial numbers, if applicable and incident number.
 - f. Officer's signature.
 - g. Offenses charged.

2. Items collected will be properly packaged, using containers that will preserve the evidence in its original form and will withstand transportation. Caution should be used to separate items which could contaminate one another.
3. In general, if an object or surface known to bear physical evidence can be submitted, it should be submitted in lieu of attempting to remove the evidence from the object, i.e., object too large or fragile to risk removal. If a question occurs a Detective should be contacted.
4. All evidence gathered should be placed in the designated evidence lockers in the "Safe" area. It shall then be removed by first available Duty Detective. If the Duty Detective or Detective Commander deems the collected items to in fact be evidence, it will be placed in a heat sealed evidence bag. It will be placed in the Department's evidence room located in the Detective Division and logged into the evidence book noting case number, who retrieved items, who logged in items, evidence room location, time and date. The items will also be added to Case Management by the Detective so their report will reflect the chain of custody for corresponding evidence.
5. The Detective Division Commander or his/her designee has the right of refusal (see G.O. #111.1) of any evidence or property not meeting department standards.
6. A purge will be conducted by an employee review system in which a designated Detective will review property/evidence on a quarterly basis to combat overcrowding.

INVESTIGATIONS

The preliminary phase of an investigation begins with the discovery or report of a crime or other circumstance requiring police action. In most instances, patrol officers respond to and make preliminary investigations as far as it is possible up to and including arrest of the offender. However, in many cases, the follow through to the point of arrest will not be possible. It is at this stage of the investigation that the Detective Division will begin a follow-up. When investigating felonies the Duty Detective will be notified. In the event there is no Duty Detective, the Tour Supervisor will contact the Detective Commander in accordance with General Order #114.2.

The Tour Supervisor will be responsible for overseeing the preliminary investigation. Upon the arrival of a detective, the investigation will be his/her responsibility.

Preliminary investigations will ordinarily include the following activities:

1. Initial detection of a crime, or response to the scene of a reported incident.
2. Inquiry to determine if an offense has been committed, and, if so, its nature and severity.
3. Assistance to injured, if any.
4. Searches for victims and perpetrators.
5. Furnish headquarters with descriptions, method of travel and direction of suspects and other relevant information as may be necessary.
6. Analysis of the situation to determine what departmental resources will be required to properly address the incident.
7. Identification and marking of the perimeter of a crime.
8. Arrangements for securing and protecting the integrity of the crime scene.
9. Identification of witnesses, victims and suspects.
10. Interviews or interrogations of any parties who witnessed or were involved in the incident.
11. The limitation of access to the crime scene to law enforcement officials with an authorized purpose for entering the scene.
12. Recording the identities, missions, and times of entry and exit for all persons who enter the crime scene.
13. A search for items of evidence.
14. The recovery of evidence if the officers at the scene possess sufficient expertise to properly recognize, preserve, recover, package, and store the evidentiary materials present.
15. The completion of required notifications.
16. The arrest of offenders.

17. Enter investigation notes, images, and documents into case management.
18. The preparation of reports and prosecutorial information.
19. The completion of a complaint report.

The preliminary investigation will end when the patrol officer has exhausted all police action at his immediate disposal. Considerations should be given to such factors as expertise (knowledge and skills), time (sufficient staffing is available) and the degree of seriousness of the offense. The preliminary investigation will also end when the Duty Detective arrives on the scene and assumes responsibility for the investigation. The patrol officer at the request of the detective in charge of the scene will be required to assist in the investigation.

The second phase of the investigation will be the follow up. This occurs when specialized skills and equipment are needed to bring the case to a successful conclusion. The follow up investigation shall include but not be limited to:

1. Review and analyze all previous reports prepared during the preliminary investigation.
2. Conduct additional interviews and/or interrogation of victims, witnesses or suspects.
3. Review departmental and other such records for possible leads to the case. Seek additional information from other sources such as other officers or informants.
4. Collect physical evidence.
5. Taking necessary photographs.
6. Identify and apprehend suspects.
7. Determine involvement of suspect(s) in other crimes.
8. Check suspects criminal history.
9. Prepare case for court presentation by consulting with the ADA, etc.
10. Assist in the case preparation and testify in court.

Equipment for Crime Scene Processing

1. It is the policy of this department that the Detective Division will have at their disposal the following:
 - a. Digital/Video Camera
 - b. Latent fingerprint field kit.
 - c. Tape measure
 - d. Crime Scene Kit
 - e. Evidence Tape
 - f. Buccal Swabs
 - g. Faraday Bags
 - h. Evidence collection containers, including bags (plastic and paper) and other assorted containers for collection.
 - i. Department Forms (i.e. civilian statements, owner's depositions, etc.)

EVIDENCE PRELOG

- A. All officers requesting that evidence be submitted to the Westchester County Medical Examiner's Office and Forensic Science Lab must log the evidence in the IMC Property Inventory module and indicate that it is to be sent to the Westchester County Lab for processing.
- B. A member of the Support/Investigations section will pre-log the evidence utilizing the on-line web pre-log system administered by the Westchester County Division of Forensic Sciences.
- C. The officer entering evidence will enter the department's Incident Number in the Department Case field of the on-line form. Westchester County will include this number on any lab reports and it will be needed when requests are made regarding the status of any evidence examination.

Photographing Scenes

It is the policy of the City of Rye Police Department that photographs will be taken at scenes of crimes, accidents or unusual incidents consistent with the goals of the department.

A camera will be located at the front desk and may be used by the Patrol or Detective Division to conform to the guidelines of this policy.

Cameras may be used to photograph:

1. Property damage accidents involving City owned or contracted vehicles and/or property, (i.e., street sign, fire hydrant, vehicles and/or property, (i.e., street sign, fire hydrant, contract cabs, and school buses for City School District). The assigned camera may be used on police vehicle accidents when there is need to show the lack of damage to vehicles or property.
2. The scenes of miscellaneous accidents which occur in/on city owned property (i.e., sidewalks, playgrounds, etc.)
3. Attempted burglaries or burglaries where a detective is not available to respond.
4. Location of search warrant execution (per current directives).
5. Assault cases where required for prosecution.
6. In any other situation not specifically prohibited by law or current directives.

Procedure

The Detective Division Commander (or their designee) will:

1. Issue and maintain those records necessary for inventory control.
2. Assure the assigned Detective is properly downloading photographs obtained into the corresponding case utilizing Case Management.
3. Ensure that cameras which are non-functioning or damaged are scheduled for replacement or repair.

User Responsibilities

The officer assigned photographic equipment shall be responsible for:

1. The proper care and security of the camera equipment.
2. The judicious use of this equipment.

3. The digital camera will be downloaded after photographs are obtained and entered into the Case Management system and the effort will include:
 - a. Date of incident
 - b. Description of incident
 - c. Street address or route number of incident
 - d. Incident number(s)
 - e. Photographer
 - f. Assisting officer(s)

Photographs - when mandatory to take

With no exception, photographs will be taken at the below listed incidents. The reporting officer on the scene will take photographs regardless of the subsequent photographs that may be directed to be taken.

1. Serious crimes.
2. Fatal automobile accidents.
3. Serious personal injury automobile accidents.
4. Property damage accidents where there is serious damage or damage to personal property belonging to those uninvolved in the incident.
5. Any vehicle accident where vehicle and traffic or criminal charges are placed.
6. All suicides or suspicious deaths investigations.
7. All accidents involving City owned vehicles or equipment.
8. Any incidents where photographs will aid in an investigation, whether non-criminal or criminal.

This directive shall not relieve the Detective Division of the responsibility to deliver services required by any member upon request and consistent with current directives.

CITY OF RYE POLICE DEPARTMENT

General Order # 116.3	New [<input checked="" type="checkbox"/>]	Revised [<input type="checkbox"/>]
Supersedes:		
Subject: Performance Evaluation		
Date Issued	Date Effective	Page 1 of 9
Issuing Authority: Michael C Corcoran, Jr., Commissioner of Public Safety		

PURPOSE

The purpose of the evaluation system is to: Foster fair and impartial personnel decisions, maintain and improve performance, provide a medium for personnel counseling, provide an objective and fair means for measurement and recognition of individual performance. It is further intended to facilitate proper decisions regarding probationary employees every month and identify training needs. Evaluations will serve as a tool to give management an objective appraisal and inventory of employee capabilities. They will also provide supervisors a means to systematically record their observations and opinions regarding the performance, behavior and conduct of employees at every level.

POLICY

It is the policy of the City of Rye Police Department that all sworn personnel will have a performance evaluation completed on an annual basis.

DEFINITIONS

- a. **RATER**: means the supervisor who initially prepares the evaluation. He/She will be the rank of sergeant or above.
- b. **REVIEWER**: means the immediate supervisor of the rater.
- c. **EMPLOYEE**: mean the person being evaluated.

1. The evaluation of an employee's daily work activities is an important means for the department to ensure that continued high standards of performance are achieved by all employees. The department is committed to providing feedback to all employees regarding their level of performance. This feedback can be recognized by the employees in that:

- a. the department recognizes good and/or outstanding performance;
- b. documentation of actual performance will occur; and
- c. employees will be afforded the opportunity to improve deficient performance.

2. Performance Evaluations will not be used for disciplinary purposes, but rather provides the opportunity to let employees know how they are doing and what might be expected of them in the future.

3. Performance evaluations can only be effective and of value if those doing the evaluating are sincere and objective. Personnel are normally evaluated on their overall performance during a twelve month period, and not on a single incident which may stand out in the rater's mind. Personality problems and other stumbling blocks to a good evaluation should be considered and resolved prior to the evaluation process.

4. A supervisor who observes, documents, and appraises an employee's on-the-job performance needs to be aware of a number of rater errors that can occur. Some of the more common rater errors which may occur are as follows:

- a. **Halo Effect:** rating an employee excellent in one element which influences similar, though undeserved, high ratings in other elements.
- b. **Horn Effect:** rating an employee low in one element, which influences similar, though undeserved low ratings in other elements.
- c. **Central Tendency:** rating employee(s) "meets standard" in all elements. It provides an easy out from doing a valid appraisal.
- d. **Strict (negative) or Lenient (positive) Rating:** rating employees harshly to the negative or loosely to the positive compared to other raters.

- e. **Latest Behavior:** rating an employee only on significant recent performance, positive or negative. This indicates poor documentation of observation over an entire period.
- f. **Initial Impression:** rating an employee on first performances without subsequent observations from the entire rating period.
- g. **Spill Over Effect:** allowing past appraisals, positive or negative, to influence a current appraisal.
- h. **Similar To Me Effect:** rating an employee more favorably if he/she is similar to the supervisor in any way.
- i. **Contrast Effect:** rating an employee's performance relative to other employees rather than on job elements.

PROCEDURE:

- A. All sworn employees will be evaluated by their immediate supervisor on an annual basis. The evaluation will be based on the positions and assignments of the employee for the one year period prior to the evaluation.
 - 1. Performance Evaluations: a written performance evaluation will be completed for all sworn employees. Sergeants will rate Patrol Officers, and Lieutenants will rate Sergeants. The evaluations must be filled out completely, reviewed with the employee, and be submitted for review. All employees have the right to contest their evaluation with the reviewing officer.
- B. Ratings: The supervisor/rater will select the description which best represents the employee's performance for each of the eleven performance criteria. The following standards will be given to all of the criteria/statements:
 - 1. **EXCEPTIONAL:**
 - a. The performance exhibited is outstanding and rarely equaled; work is constantly excellent as quality, thoroughness, accuracy, and expertise;

- b. Has an exceptional understanding of what work is to be accomplished;
- c. Always initiates and completes responsibilities while adhering to standards;
- d. Always exercises sound judgement;
- e. Seeks additional work upon completion of normal duties;
- f. Assumes responsibilities for performing job without prompting.

2. EXCEEDS STANDARD:

- a. The performance exhibited regularly exceeds basic requirements and is above average;
- b. Displays an advanced ability to apply skills to various responsibilities;
- c. There is a conscientious effort to perform responsibilities and maintain adherence to standards;
- d. Sound judgement is almost always exercised;
- e. Is always desirous and willing to work and complete the job with almost no instruction or direction.

3. MEETS STANDARD:

- a. The performance exhibited is efficient and acceptable;
- b. Performs work beyond minimum requirements in a consistent manner with effective application of skills to various responsibilities;
- c. Usually exercises sound judgement;
- d. Is usually desirous and willing to do the job with minimal instruction or direction;

4. REQUIRES IMPROVEMENT:

- a. The performance exhibited is below standard to the degree of needing improvement;
- b. Displays a limited ability to perform the appropriate skills for a given responsibility;
- c. Performs responsibilities and adheres to standards inconsistently;
- d. Inconsistently exercises sound judgement;
- e. Is generally willing to do the job but usually requires prompting, instructions, and direction.

5. REQUIRES SUBSTANTIAL IMPROVEMENT:

- a. The performance exhibited is consistently poor;
- b. There is a substantial lack of skills to perform basic responsibilities;
- c. Consistently disregards responsibilities or adherence to standards;
- d. Usually does not exercise sound judgement;
- e. Is unwilling or shows no desire to work;
- f. Usually requires repeated instructions with extensive direction.

C. Performance Criteria: the criteria used to form the basis of the employee's performance evaluation will reflect the tasks which the employee performs relative to the job description of their position. Each one should be rated in relation to the individual employee's duties and amount of responsibility. For example, all supervisors will be rated on their ability to evaluate employees fairly and impartially based on the employee's job description and performance.

The rater should not assume that all of the criteria are of equal importance. The degree of importance in each factor will vary according to the requirements of each employee's assignment.

1. Each performance category to be rated is followed by a brief description. Additionally, each of the five performance levels, Exceptional, Exceeds Standard, Meets Standard, Requires Improvement, Requires Substantial Improvement, cite types of conduct that would merit rating an employee at that level of performance. These examples are not meant to be an all-inclusive list of conduct that would dictate rating an employee at one of those levels of performance, nor would an employee have to have engaged in all those types of conduct to be given performance level.
2. Each category is followed by several blank lines that allow for recording of goals, improvements, or supportive comments which are required to justify a rating of Exceptional, Exceeds Standard, Requires Improvement, or Requires Substantial Improvement. The following are examples of established criteria.

a. Personal Appearance, Maintenance and Use:

1. Evaluates the officer's physical appearance, dress, equipment maintenance and use.
2. Evaluates how the officer conforms to department Rules and Regulations, Policies and Procedures.

b. Knowledge and Application of Duties, Laws, Procedures, and Rules and Regulations:

1. Evaluates the officer's knowledge of the department's written directives, rules and regulations, Penal Law, Vehicle and Traffic Law, City Codes, etc., and their ability to apply them in their position.

c. Attitude And Cooperation Within The Department:

1. Evaluates the officer's ability to effectively interact with other department members of various ranks and in various capacities.

d. Response to Supervision:

1. Evaluates the officer's ability to accept direction and carry it out to the best of their ability.

e. Support Of Department Goals and Objectives:

1. Evaluates the officer's performance in accordance with the goals and objectives of the department.
2. Evaluates the officer's interest in initiating police related activity in furtherance of the department's goals and objectives.

f. Information Gathering And Analysis:

1. Evaluates the officer's ability to use proper questioning techniques and their ability in varying those techniques to fit various persons they may interview.
2. Evaluates the officer's ability to seek as much available information as possible.
3. Evaluates the officer's ability to conduct a proper investigation with an emphasis on proper crime scene investigative procedures.
4. Evaluates the officer's ability to complete department forms and reports using proper grammar, spelling, punctuation, clarity, neatness and overall accuracy.

g. Situational Effectiveness/Reasoning Ability:

1. Evaluates the officer's ability to perform effectively in routine as well as emergency situations.

h. Attitudes And Cooperation With The Public And Outside Agencies:

1. Evaluates the officer's ability to interact in a positive and professional manner with the public, other law enforcement and non-law enforcement agencies.

i. Professionalism:

1. Evaluates the officer's ability to conduct himself/herself in a professional manner.
2. Evaluates the officer's interest in improving their professional skills, knowledge and abilities thus assisting them in their performance of their duties, career enhancement, or personal development.

j. Patrol Management and Communications (Radio And Computer) Skills:

1. Evaluates the officer's time management ability in identifying and addressing concerns in their patrol area.
2. Evaluates the officer's ability to use departmental computers and radios conforming to department rules and regulations, policies and procedures.
3. Evaluates the officer's ability as a Desk Officer.

k. Officer Safety:

1. Evaluates the officer's ability to perform police tasks in a safe manner in accordance with department policies, procedures and training while dealing with suspects, suspicious persons, violators, and in the normal course of police activity.

D. Employee Review

1. The rater will discuss the evaluation with the employee. Discussion should include performance areas that are below standards and recommendations for improvement. The rater should again insure that the employee understands the duties of the positions which he/she occupies, levels of performance expected and rating criteria in effect.
2. The employee will be asked to sign the evaluation. This signature does not indicate agreement with the evaluation, rather that the employee has had the opportunity to review it with their rater and has been furnished a copy of the evaluation. If the employee desires to add written comments, these comments will be attached to the evaluation form.
3. All employees will be afforded the opportunity to appeal/dispute the evaluation. At the employee's option they may check the box indicating a request to review the evaluation with the Division Commander. If this occurs attempts will be made to schedule the meeting at the earliest possible convenience of all parties. A supplemental report will be filed indicating the date, time, and conclusions reached at this meeting. The names of all parties in attendance should also be included in this report. Evaluations which have not been appealed shall be forwarded to the appropriate Commanding Officer for their review and signature.

- E. All performance evaluation records will be maintained pursuant to General Order 118.10

CITY OF RYE POLICE DEPARTMENT

Directive: #117.7	New [] Revised [x] Supersedes: 117.1 issued 11/01/96
Subject: Use of Safety Belts/Restraining Devices in Departmental Vehicles	
Date Issued	Date Effective
Page 1 of 1	
Issuing Authority: Michael C. Corcoran Jr., Commissioner of Public Safety	

PURPOSE:

To establish departmental policy in the use of safety belts and other related restraining devices to minimize the possibility of death or injury as a result of accidents involving drivers and passengers in departmental vehicles.

DISCUSSION:

There is available evidence indicating that the use of safety belts has a significant effect in reducing the number of deaths and the severity of injuries resulting from traffic crashes, and assisting officers in maintaining proper control of their vehicles in pursuit and/or emergency high speed operations.

POLICY:

All persons shall use the safety belt restraining system while operating or riding as a passenger in a departmental vehicle while the vehicle is in motion. This policy shall not apply to persons occupying a seating position that is not equipped with a safety belt assembly, or any officer possessing a written indication from a physician or the Commissioner of Public Safety that for medical or physical reasons the officer is unable to use the safety belt system.

Unless a replacement vehicle is unavailable, no person shall operate a departmental vehicle in which any belt in the front seat is inoperable.

When passengers are authorized or permitted to ride in a departmental vehicle, it is the operator's responsibility to ensure that safety belts are worn.

Members of the department must utilize rear seat safety restraints when transporting prisoners. However, situations may arise where rear seat safety belt use is not practical or feasible and good judgement on the part of the operator must prevail. (See G.O. 110.1)



CITY COUNCIL AGENDA

NO. 21 DEPT.: Golf Club DATE: October 4, 2017
CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Four appointments to the Rye Golf Club Commission by the Council for a three-year term expiring January 1, 2021.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the Council approve the appointments to the Rye Golf Club Commission.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND:

The following individuals were elected to serve on the Rye Golf Club Commission for three-year terms beginning on January 1, 2018:

Garrick M. Gelinas
Akhil Kumar
Neil O'Donnell
Benoit Ugeux

See attached voting results.

September 15, 2017

Rye Golf Club
330 Boston Post Road
Rye, NY
10580 United States of America

To Whom It May Concern:

The following election results are certified by Simply Voting to have been securely processed and accurately tabulated by our independently managed service.

Respectfully yours,



Brian Lack
President
Simply Voting Inc.

2018 Rye Golf Club Commission Election

Start: 2017-08-28 08:00:00 US/Eastern

End: 2017-09-15 17:00:00 US/Eastern

Turnout: 206 (10.6%) of 1948 electors voted in this ballot.

Rye Golf Club Commission

Option	Votes
Akhil Kumar	166 (26.9%)
Garrick M. Gelinias	158 (25.6%)
Benoit Ugeux	149 (24.2%)
Neil O'Donnell	143 (23.2%)

VOTER SUMMARY

Total	206
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CITY COUNCIL AGENDA

NO. 22 DEPT.: City Council DATE: October 4, 2017
CONTACT: Mayor Joseph A. Sack

AGENDA ITEM: One appointment to the Board of Architectural Review for a three-year term expiring January 1, 2020.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the Council approve the appointment of Nancy Patota.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND:

<u>Current Committee Members</u>	<u>Expiration Date</u>
Kevin Grainger, Chair	1-1-20
Jim Cornacchia	1-1-19
Frank Gadaleta	1-1-19
Kathy Grainger Hobbins	1-1-20
Lisa Hogan Luthringer	1-1-20
Michael Harrington	1-1-18
VACANCY	



CITY COUNCIL AGENDA

NO. 23

DEPT.: City Manager

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Consideration of a request by the Rye Little League to approve a parade to kickoff Opening Day of the 61st Little League Season on Saturday, April 14, 2018 beginning at 12:00 p.m.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the Council consider granting the request.

IMPACT: Environmental Fiscal Neighborhood Other:

BACKGROUND:

The Rye Little League is requesting the Council approve a parade to kickoff Opening Day of the 61st Little League Season on Saturday, April 14, 2018 beginning at 12:00 p.m. with a rain date of Sunday, April 15, 2018.

See attached request from Mark Howard, Parade Coordinator.



Dear Mr. Serrano,

The Rye Little League has designated Saturday, April 14th, 2018 as Opening Day of the 61st Little League Season.

We are planning to do what we have done for the past 60 years; a parade beginning at noon that originates at the Rye Train Station and ends at Grainger Field at Disbrow Park.

The parade will likely have upwards of 500 participants, including the Rye Little League, Rye Girls Sports League, marching bands, several vehicles and a variety of emergency vehicles (ambulance and fire trucks).

We respectfully request that you issue the League a permit to hold the parade on Saturday the 14th of April at noon and in addition, permission to reschedule for Sunday the 15th of April at noon in the event of rain on Saturday. We understand that the City's permission is conditioned upon the League furnishing a certificate evidencing \$1,000,000 liability insurance with the City of Rye named as additional insured and a hold harmless clause indemnifying the City against claims and judgments resulting from the use of City property. The certificate is forthcoming.

Upon the City's approval, the assistance and cooperation of the City of Rye Police will be requested directly through the Police Commissioner.

Sincerely,

Mark H. Howard
Parade Coordinator



CITY COUNCIL AGENDA

NO. 24

DEPT.: City Manager

DATE: October 4, 2017

CONTACT: Marcus Serrano, City Manager

AGENDA ITEM: Resolution to declare certain City of Rye equipment as surplus.

FOR THE MEETING OF:

October 4, 2017

RYE CITY CODE,

CHAPTER

SECTION

RECOMMENDATION: That the City Council adopt the following resolution:

WHEREAS, the City has been provided with a list of City equipment identified as being obsolete or will become obsolete during 2017, and,

WHEREAS, the Information Technology Department and Rye TV has recommended that said equipment be declared surplus, now, therefore, be it

RESOLVED, that said equipment are declared surplus, and, be it further

RESOLVED, that authorization is given to the City Comptroller to sell or dispose of said equipment in a manner that will serve in the best interests of the City.

IMPACT: Environmental Fiscal Neighborhood Other

BACKGROUND: The Information Technology Department and Rye TV has provided a list of equipment that is either currently obsolete or will become obsolete during calendar year 2017. The City Council is asked to approve that this equipment be declared as surplus.

See attached.

Kerry Donahue
Coordinator of Computer
Services
1051 Boston Post Road
Rye, NY 10580



Telephone: 914-967-2250
FAX: 914-967-7107
Email: kdonahue@ryeny.gov
<http://www.ryeny.gov>

CITY OF RYE
INFORMATION TECHNOLOGY DEPARTMENT

TO: Marcus Serrano, City Manager
FROM: Kerry Donahue, Coordinator of Computer Services
DATE: September 29, 2017
SUBJECT: Request to declare items surplus

The following equipment is either obsolete, nonfunctional or in disrepair and has been removed from service. I request that these items be declared surplus.

Description	Make	Model	Serial #
Computer	Posiflex	Jiva TP-8600-B	TP8A1116
Computer	Posiflex	Jiva TP-8600-B	TP8A1103
Computer	Posiflex	Jiva TP-8600-B	TP8A1098
Computer	Posiflex	Jiva TP-80151-B	TP7A2190
Computer	HP	Small Form Factor D530	USW404043G
Computer	Apple	A1186	G86404X9UPZ
Computer	HP	Small Form Factor 8000	KPD012617
Computer	HP	Small Form Factor DC7100	2UA5010F8S
Computer	HP	Small Form Factor Elite 8300	2UA2410TB8
Computer	HP	Pro 3500 Series MT	MXL3511G9G
Computer	HP	Small Form Factor DC7900	2UA93508TZ
Computer	HP	Small Form Factor DC7900	2UA9330YVW

Computer	COMPAQ	D5S	X215JYHZA404
Computer	hp	SFF dc 5100	2UA644078T
Computer	HP	Convertible DC7900 Minitower	2UA9400R9F
Computer	COMPAQ	DX 2200 MT	2UA6370WDC
Computer	SCI EDGE	1000	16764
Computer	SCI EDGE	Elite LX system	9170
Computer	HP	Workstation xw4200	2UA5240W15
Computer	HP	COMPAQ	USW404043G
Computer	HP	COMPAQ	MXL70409RH
Computer	HP	COMPAQ	2UA4150750
Computer	HP	COMPAQ	X216JYHZA966
Monitor	HP	L711g	MXG63300LJ
Monitor	HP	245T	HU24HVLQA00024 W
Monitor	HP	D5064	CN3070D77
Monitor	HP	L5006tm	CN202900W0
Monitor	HP	L5006tm	CN203000NR
Monitor	HP	L5006tm	CN202900TL
Monitor	HP	L1908w	3CQ8364R6V
Monitor	HP	D5064	CN2470B199
Monitor	Acer	AL716F	71236618540
Monitor	Compaq	1701	MY319EB975
Monitor	HP	1702	CNN3500LK6
Monitor	Compaq	473A	521F05AB150
Monitor	Compaq	471	32405277U520
Monitor	Compaq	471	31105277U196
Monitor	ViewSonic	VCDTS21384	C193690958
Monitor	Pisces	DM-10	S96110315
Monitor	ViewSonic	GS771	JY84691911
Monitor	HP	L2045W	CNT801Q0KB
Monitor	HP	L1706	CND725064X
Monitor	HP	1702	CNN3200F7Q
Convenience Base	Compaq	J0012	3J01CBX1ZK2J
Convenience Base	Compaq	J0012	J804BNH11629
Convenience Base	Compaq	J8062	J825BNH10352
Convenience Base	Compaq	135388-001	1Y23CMV1506T
Convenience Base	Compaq	135389-001	1Y0CCMT310DP

Convenience Base	Compaq	135389-001	1Y0CCMT310E5
Convenience Base	Compaq	135389-001	1Y0CCMT310DW
Convenience Base	Compaq	J0812	J804BNH11368
Convenience Base	Compaq	135389-001	1Y0CCMT310E1
Convenience Base	Compaq	135389-001	1Y0CCMT310E9
Convenience Base	Compaq	J8012	J804BNH11480
Convenience Base	Compaq	J8012	J804BNH11478
Credit Card terminal	Verifone	Omni 3730	712-206-763
Credit Card terminal	Verifone	Omni 3730	712-206-713
Credit Card terminal	Verifone	Omni 3730	712-206-697
Credit Card terminal	First Data	FD100ti	NT0000106568
Credit Card terminal	First Data	FD100ti	NT0000106540
Credit Card terminal	First Data	FD-35	806CD85720
Credit Card terminal	Verifone	TRANZ 380 X2	202-716-536
Check Scanner	Digital Check Corp.	TellerScan 230-65 DPM	31206510141
Credit Card terminal	Verifone	FD-30	82FB085146
Kitchen printer	Epson	M166A	FPPG002863
Kitchen printer	Epson	M129C	D6KG363544
Kitchen printer	Epson	M188B	FDBG001018
Receipt Printer	Verifone	Printer 900	203-004-276
Receipt Printer	Epson	M188B	F73G159317
Receipt Printer	Epson	M188B	F6WF020165
Printer	Cannon	H12255	CGZ84299
Printer`	HP	OfficeJet Pro K8600	TH83Q220FG
Printer	HP	Laserjet 1150	CNBJ214690
PRINTER	WESTREX INTERNATIONAL	7400	741524
PRINTER	HP	Scanjet 7000	TW15ND701H
Printer	HP	C7064A	CNGRG68171
Digital Disk Recorder	Grass Valley Inc.	Turbo iDDR	CH-01BE00178
Video Camera	Sylvania	High Shutter CCD	61214994
Desktop Projector with Rolling Case	Proxima	2810	16H050174F
Back-UPS Pro	APC	1000	QB9937221457
Back-UPS	APC	650	FB9813330680
DVR	Unitek	DVR-7104	120340639

RyeTV Surplus, September 29, 2017

1. Tightrope Carousel Player 300R S/N 6450 – the Operating System is no longer supported/end of life